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(54) Title: NOVEL SUBSTITUTED BENZIMIDAZOLE DOSAGE FORMS AND METHOD OF USING SAME

(57) Abstract: The present invention relates to pharmaceutical preparations comprising substituted benzimidazole proton pump inhibitors. There is provided a liquid or solid pharmaceutical dosage form that is not enteric coated or delayed released containing a proton pump inhibitor and a Primary Essential Buffer, and an optional Secondary Essential Buffer. When the dosage form is placed in a liquid phase the Primary Essential Buffer maintains the pH of the environment at a value greater than the pKa of the proton pump inhibitor for a time sufficient to substantially avoid acid degradation of the proton pump inhibitor in the environment. Also provided is a method for treating acid-related gastrointestinal disorders by administering a solid pharmaceutical dosage form; and a kit for the preparation of a liquid oral pharmaceutical composition.



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5                   **NOVEL SUBSTITUTED BENZIMIDAZOLE DOSAGE FORMS  
AND METHOD OF USING SAME**

**TECHNICAL FIELD**

The present invention relates to pharmaceutical preparations comprising substituted  
10 benzimidazole proton pump inhibitors.

**BACKGROUND OF THE INVENTION**

Omeprazole is a substituted benzimidazole, 5-methoxy-2-[(4-methoxy-3,5-dimethyl-  
2-pyridinyl) methyl] sulfinyl]-1H-benzimidazole, that inhibits gastric acid secretion.  
Omeprazole belongs to a class of antisecretory compounds called proton pump inhibitors  
15 ("PPIs") that do not exhibit anti-cholinergic or H<sub>2</sub> histamine antagonist properties. Drugs of  
this class suppress gastric acid secretion by the specific inhibition of the H<sup>+</sup>,K<sup>+</sup>-ATPase  
enzyme system (proton pump) at the secretory surface of the gastric parietal cell.

Typically, omeprazole, lansoprazole and other proton pump inhibitors are formulated  
in an enteric-coated solid dosage form (as either a delayed-release capsule or tablet) or as an  
20 intravenous solution (as a product for reconstitution), and are prescribed for short-term  
treatment of active duodenal ulcers, gastric ulcers, gastroesophageal reflux disease (GERD),  
severe erosive esophagitis, poorly responsive symptomatic GERD, and pathological  
hypersecretory conditions such as Zollinger Ellison syndrome. These conditions are caused  
by an imbalance between acid and pepsin production, called aggressive factors, and mucous,  
25 bicarbonate, and prostaglandin production, called defensive factors. These above-listed  
conditions commonly arise in healthy or critically ill patients, and may be accompanied by  
significant upper gastrointestinal bleeding.

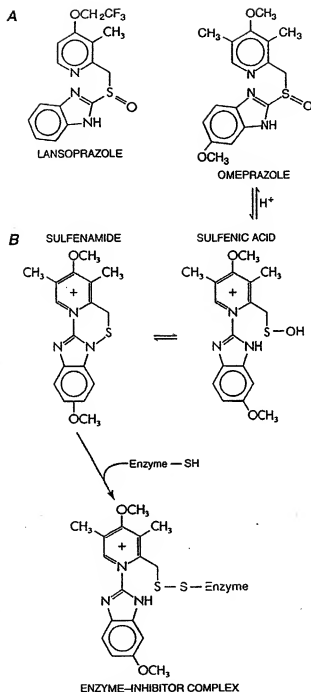
H<sub>2</sub>-antagonists, antacids, and sucralfate are commonly administered to minimize the  
pain and the complications related to these conditions. These drugs have certain

5 disadvantages associated with their use. Some of these drugs are not completely effective in the treatment of the aforementioned conditions and/or produce adverse side effects, such as mental confusion, constipation, diarrhea, and thrombocytopenia. H<sub>2</sub>-antagonists, such as ranitidine and cimetidine, are relatively costly modes of therapy, particularly in NPO patients, which frequently require the use of automated infusion pumps for continuous intravenous  
10 infusion of the drug.

Patients with significant physiologic stress are at risk for stress-related gastric mucosal damage and subsequent upper gastrointestinal bleeding (Marrone and Silen, *Pathogenesis, Diagnosis and Treatment of Acute Gastric Mucosa Lesions*, CLIN GASTROENTEROL 13: 635-650 (1984)). Risk factors that have been clearly associated with  
15 the development of stress-related mucosal damage are mechanical ventilation, coagulopathy, extensive burns, head injury, and organ transplant (Zinner et al., *The Prevention of Gastrointestinal Tract Bleeding in Patients in an Intensive Care Unit*, SURG. GYNECOL. OBSTET., 153: 214-220 (1981); Larson et al., *Gastric Response to Severe Head Injury*, AM. J. SURG. 147: 97-105 (1984); Czaja et al., *Acute Gastroduodenal Disease After Thermal Injury: An Endoscopic Evaluation of Incidence and Natural History*, N ENGL. J. MED., 291: 925-929  
20 (1974); Skillman et al., *Respiratory Failure, Hypotension, Sepsis and Jaundice: A Clinical Syndrome Associated with Lethal Hemorrhage From Acute Stress Ulceration*, AM. J. SURG., 117: 523-530 (1969); and Cook et al., *Risk Factors for Gastrointestinal Bleeding in Critically Ill Patients*, N. ENGL. J. MED., 330:377-381 (1994)). One or more of these factors are often  
25 found in critically ill, intensive care unit patients. A recent cohort study challenges other risk factors previously identified such as acid-base disorders, multiple trauma, significant hypertension, major surgery, multiple operative procedures, acute renal failure, sepsis, and coma (Cook et al., *Risk Factors for Gastrointestinal Bleeding in Critically Ill Patients*, N.

- 5 ENGL. J. MED., 330:377-381 (1994)). Regardless of the risk type, stress-related mucosal damage results in significant morbidity and mortality. Clinically significant bleeding occurs in at least twenty percent of patients with one or more risk factors who are left untreated (Martin et al., *Continuous Intravenous cimetidine Decreases Stress-related Upper Gastro-intestinal Hemorrhage Without Promoting Pneumonia*, CRIT. CARE MED., 21: 19-39 (1993)).
- 10 Of those who bleed, approximately ten percent require surgery (usually gastrectomy) with a reported mortality of thirty percent to fifty percent (Czaja et al., *Acute Gastroduodenal Disease After Thermal Injury: An Endoscopic Evaluation of Incidence and Natural History*, N ENGL. J. MED, 291: 925-929 (1974); Peura and Johnson, *Cimetidine for Prevention and Treatment of Gastroduodenal Mucosal Lesions in Patients in an Intensive Care Unit*, ANN
- 15 INTERN MED., 103: 173-177 (1985)). Those who do not need surgery often require multiple transfusions and prolonged hospitalization. Prevention of stress-related upper gastrointestinal bleeding is an important clinical goal.

- Omeprazole (Prilosec®), lansoprazole (Prevacid®) and other PPIs reduce gastric acid production by inhibiting  $H^+, K^+$ -ATPase of the parietal cell—the final common pathway for
- 20 gastric acid secretion (Fellenius et al., *Substituted Benzimidazoles Inhibit Gastric Acid Secretion by Blocking  $H^+, K^+$ -ATPase*, NATURE, 290: 159-161 (1981); Wallmark et al, *The Relationship Between Gastric Acid Secretion and Gastric  $H^+, K^+$ -ATPase Activity*, J. BIOL.CHEM., 260: 13681-13684 (1985); Fryklund et al., *Function and Structure of Parietal Cells After  $H^+, K^+$ -ATPase Blockade*, AM. J. PHYSIOL., 254 (3 PT 1); G399-407 (1988)).
- 25 PPIs contain a sulfinyl group in a bridge between substituted benzimidazole and pyridine rings, as illustrated below.



5

At neutral pH, omeprazole, lansoprazole and other PPIs are chemically stable, lipid-soluble, weak bases that are devoid of inhibitory activity. These neutral weak bases reach

- 5 parietal cells from the blood and diffuse into the secretory canaliculi, where the drugs become protonated and thereby trapped. The protonated agent rearranges to form a sulfenic acid and a sulfenamide. The sulfenamide interacts covalently with sulfhydryl groups at critical sites in the extracellular (luminal) domain of the membrane-spanning  $H^+,K^+$ -ATPase (Hardman et al., Goodman & Gilman's The Pharmacological Basis of Therapeutics, p. 907 (9<sup>th</sup> ed. 1996)).
- 10 Omeprazole and lansoprazole, therefore, are prodrugs that must be activated to be effective. The specificity of the effects of PPIs is also dependent upon: (a) the selective distribution of  $H^+,K^+$ -ATPase; (b) the requirement for acidic conditions to catalyze generation of the reactive inhibitor; and (c) the trapping of the protonated drug and the cationic sulfenamide within the acidic canaliculi and adjacent to the target enzyme. (Hardman et al., 1996).

- 15 Omeprazole and lansoprazole are available for oral administration as enteric-coated granules in gelatin capsules. Other proton pump inhibitors such as rabeprazole and pantoprazole are supplied as enteric-coated dosage forms. The enteric dosage forms of the prior art have been employed because they are acid labile; thus, it is important that these drugs not be exposed to low pH gastric acid prior to absorption. Although these drugs are
- 20 stable at alkaline pH, they are destroyed rapidly as pH falls (e.g., by gastric acid). Therefore, if the micro-encapsulation or the enteric coating is disrupted (e.g., trituration to compound a liquid, or chewing the capsule), the dosage forms of the prior art will be exposed to degradation by the gastric acid in the stomach.

- The absence of an intravenous or oral liquid dosage form in the United States has
- 25 limited the testing and use of omeprazole, lansoprazole and rabeprazole in the critical care patient population. Barie et al., *Therapeutic Use of Omeprazole for Refractory Stress-induced Gastric Mucosal Hemorrhage*, CRIT. CARE MED., 20: 899-901 (1992) have described the use of omeprazole enteric-coated pellets administered through a nasogastric tube to control

5 gastrointestinal hemorrhage in a critical care patient with multi-organ failure. However, such pellets are not ideal as they can aggregate and occlude such tubes, and they are not suitable for patients who cannot swallow the pellets. *AM J. HEALTH-SYST PHARM* 56:2327-30 (1999).

Proton pump inhibitors such as omeprazole represent an advantageous alternative to the use of H<sub>2</sub>-antagonists, antacids, and sucralfate as a treatment for complications related to stress-related mucosal damage. However, in their current form (capsules containing enteric-coated granules or enteric-coated tablets), proton pump inhibitors can be difficult or impossible to administer to patients who are either unwilling or unable to swallow tablets or capsules, such as critically ill patients, children, the elderly, and patients suffering from dysphagia. Therefore, it would be desirable to formulate a proton pump inhibitor solution or  
10 suspension which can be enterally delivered to a patient thereby providing the benefits of the proton pump inhibitor without the drawbacks of the current enteric-coated solid dosage forms.

Omeprazole, the first proton pump inhibitor introduced into use, has been formulated in many different embodiments such as in a mixture of polyethylene glycols, adeps solidus and sodium lauryl sulfate in a soluble, basic amino acid to yield a formulation designed for  
20 administration in the rectum as taught by United States Patent No. 5,219,870 to Kim.

United States Patent No. 5,395,323 to Berglund ('323) discloses a device for mixing a pharmaceutical from a solid supply into a parenterally acceptable liquid form for parenteral administration to a patient. The '323 patent teaches the use of an omeprazole tablet which is  
25 placed in the device and dissolved by normal saline, and infused parenterally into the patient. This device and method of parenteral infusion of omeprazole does not provide the omeprazole solution as an enteral product, nor is this omeprazole solution directly administered to the diseased or affected areas, namely the stomach and upper gastrointestinal

tract, nor does this omeprazole formulation provide the immediate antacid effect of the present formulation.

United States Patent No. 4,786,505 to Lovgren et al. discloses a pharmaceutical preparation containing omeprazole together with an alkaline reacting compound or an alkaline salt of omeprazole optionally together with an alkaline compound as a core material in a tablet formulation. The core is then enterically coated. The use of the alkaline material, which can be chosen from such substances as the sodium salt of carbonic acid, are used to form a "micro-pH" around each omeprazole particle to protect the omeprazole which is highly sensitive to acid pH. The powder mixture is then formulated into enteric-coated small beads, pellets, tablets and may be loaded into capsules by conventional pharmaceutical procedures. This formulation of omeprazole does not teach a non-enteric-coated omeprazole dosage form which can be enterally administered to a patient who may be unable and/or unwilling to swallow capsules, tablets or pellets, nor does it teach a convenient form which can be used to make an omeprazole or other proton pump inhibitor solution or suspension.

Several buffered omeprazole oral solutions/ suspensions have been disclosed. For example, Pilbrant et al., *Development of an Oral Formulation of Omeprazole*, SCAND. J. GASTROENT. 20(Suppl. 108): 113-120 (1985) teaches a suspension of micronized omeprazole, 60 mg, in 50 ml of water also containing 8 mmoles of sodium bicarbonate. The suspension was administered as follows: After fasting for at least 10 hours, patients were given a solution of 8 mmoles of sodium bicarbonate in 50 ml of water. Five minutes later patients took the omeprazole suspension and rinsed it down with another 50 ml of sodium bicarbonate solution. Ten (10), 20 and 30 minutes later, a further 50 ml of sodium bicarbonate solution was administered.



5           Andersson et al., *Pharmacokinetics of Various Single Intravenous and Oral Doses of Omeprazole*, EUR J. CLIN. PHARMACOL. 39: 195-197 (1990) discloses 10 mg, 40 mg, and 90 mg of oral omeprazole dissolved in PEG 400, sodium bicarbonate and water. The concentration of omeprazole cannot be determined, as volumes of diluent are not disclosed. Nevertheless, it is apparent from this reference that multiple doses of sodium bicarbonate  
10       were administered with and after the omeprazole suspension.

          Andersson et al., *Pharmacokinetics and Bioavailability of Omeprazole After Single and Repeated Oral Administration in Healthy Subjects*, BR. J. CLIN. PHARMAC. 29: 557-63 (1990) teaches the oral use of 20 mg of omeprazole, which was dissolved in 20g of PEG 400 (sp. gravity=1.14) and diluted with 50 ml of water containing 8 mmoles of sodium  
15       bicarbonate. In order to protect the omeprazole from gastric acid, the buffered solution was given with 48 mmoles of sodium bicarbonate in 300 ml of water.

          Regardh et al., *The Pharmacokinetics of Omeprazole in Humans—A Study of Single Intravenous and Oral Doses*, THER. DRUG MON. 12: 163-72 (1990) discloses an oral dose of omeprazole at a concentration 0.4 mg/ml after the drug was dissolved in PEG 400, water and  
20       sodium bicarbonate (8 mmoles). A solution containing 16 mmoles of sodium bicarbonate in 100 ml of water was concomitantly given with the omeprazole solution. That dose was followed by a solution of 50 ml of 0.16 mol/L sodium bicarbonate that was used for rinsing the vessel. In both the IV and oral experiment, 50 ml of 0.16 mol/L sodium bicarbonate was administered 5 minutes before administration, and 10, 20 and 30 minutes post-dose.

25       Landahl et al., *Pharmacokinetics Study of Omeprazole in Elderly Healthy Volunteers*, CLIN. PHARMACOKINETICS 23 (6): 469-476 (1992) teaches the use of an oral dose of 40 mg of omeprazole dissolved in PEG 400, sodium bicarbonate and water. This reference does not disclose the final concentrations utilized. Again, this reference teaches the multiple

5 administration of sodium bicarbonate (8 mmol/L and 16 mmol/L) after the omeprazole solution.

Andersson et al., *Pharmacokinetics of [<sup>14</sup>C] Omeprazole in Patients with Liver Cirrhosis*, CLIN. PHARMACOKINETICS 24(1): 71-78 (1993) discloses the oral administration of 40 mg of omeprazole, which was dissolved in PEG 400, water and sodium bicarbonate. This  
10 reference does not teach the final concentration of the omeprazole solution administered, although it emphasizes the need for pre, concomitant and post sodium bicarbonate dosing with a total of 48 mmoles to prevent acid degradation of the drug.

Nakagawa, et al., *Lansoprazole: Phase I Study of lansoprazole (AG-1749) Anti-ulcer Agent*, J. CLIN. THERAPEUTICS & MED.(1991) teaches the oral administration of 30 mg of  
15 lansoprazole suspended in 100 ml of sodium bicarbonate, which was administered to patients through a nasogastric tube.

All of the buffered omeprazole solutions described in these references were administered orally, and were given to healthy subjects who were able to ingest the oral dose. In all of these studies, omeprazole was suspended in a solution including sodium bicarbonate,  
20 as a pH buffer, in order to protect the acid sensitive omeprazole during administration. In all of these studies, repeated administration of sodium bicarbonate both prior to, during, and following omeprazole administration were required in order to prevent acid degradation of the omeprazole given via the oral route of administration. In the above-cited studies, as much as 48 mmoles of sodium bicarbonate in 300 ml of water must be ingested for a single dose of  
25 omeprazole to be orally administered.

The buffered omeprazole solutions of the above cited prior art require the ingestion of large amounts of sodium bicarbonate and large volumes of water by repeated administration. This has been considered necessary to prevent acid degradation of the omeprazole. In the

5 above-cited studies, basically healthy volunteers, rather than sick patients, were given dilute buffered omeprazole utilizing pre-dosing and post-dosing with large volumes of sodium bicarbonate.

The administration of large amounts of sodium bicarbonate can produce at least six significant adverse effects, which can dramatically reduce the efficacy of the omeprazole in  
10 patients and reduce the overall health of the patients. First, the fluid volumes of these dosing protocols would not be suitable for sick or critically ill patients who must receive multiple doses of omeprazole. The large volumes would result in the distention of the stomach and increase the likelihood of complications in critically ill patients such as the aspiration of gastric contents.

15 Second, because bicarbonate is usually neutralized in the stomach or is absorbed, such that belching results, patients with gastroesophageal reflux may exacerbate or worsen their reflux disease as the belching can cause upward movement of stomach acid (Brunton, *Agents for the Control of Gastric Acidity and Treatment of Peptic Ulcers*, IN, Goodman AG, et al. The Pharmacologic Basis of Therapeutics. (New York, p. 907 (1990)).

20 Third, patients with conditions such as hypertension or heart failure are standardly advised to avoid the intake of excessive sodium as it can cause aggravation or exacerbation of their hypertensive conditions (Brunton, supra). The ingestion of large amounts of sodium bicarbonate is inconsistent with this advice.

Fourth, patients with numerous conditions that typically accompany critical illness  
25 should avoid the intake of excessive sodium bicarbonate as it can cause metabolic alkalosis that can result in a serious worsening of the patient's condition.

Fifth, excessive antacid intake (such as sodium bicarbonate) can result in drug interactions that produce serious adverse effects. For example, by altering gastric and urinary

5     pH, antacids can alter rates of drug dissolution and absorption, bioavailability, and renal elimination (Brunton, supra).

         Sixth, because the buffered omeprazole solutions of the prior art require prolonged administration of sodium bicarbonate, it makes it difficult for patients to comply with the regimens of the prior art. For example, Pilbrant et al. disclose an oral omeprazole  
10     administration protocol calling for the administration to a subject who has been fasting for at least ten hours, a solution of 8 mmoles of sodium bicarbonate in 50 ml of water. Five minutes later, the subject ingests a suspension of 60 mg of omeprazole in 50 ml of water that also contains 8 mmoles of sodium bicarbonate. This is rinsed down with another 50 ml of 8 mmoles sodium bicarbonate solution. Ten minutes after the ingestion of the omeprazole  
15     dose, the subject ingests 50 ml of bicarbonate solution (8 mmoles). This is repeated at twenty minutes and thirty minutes post omeprazole dosing to yield a total of 48 mmoles of sodium bicarbonate and 300 ml of water in total that are ingested by the subject for a single omeprazole dose. Not only does this regimen require the ingestion of excessive amounts of bicarbonate and water, which is likely to be dangerous to some patients, it is unlikely that  
20     even healthy patients would comply with this regimen.

         It is well documented that patients who are required to follow complex schedules for drug administration are non-compliant and, thus, the efficacy of the buffered omeprazole solutions of the prior art would be expected to be reduced due to non-compliance. Compliance has been found to be markedly reduced when patients are required to deviate  
25     from a schedule of one or two (usually morning and night) doses of a medication per day. The use of the prior art buffered omeprazole solutions which require administration protocols with numerous steps, different drugs (sodium bicarbonate + omeprazole + PEG 400 versus sodium bicarbonate alone), and specific time allotments between each stage of the total

- 5 omeprazole regimen in order to achieve efficacious results is clearly in contrast with both current drug compliance theories and human nature.

The prior art (Pilbrant et al., 1985) teaches that the buffered omeprazole suspension can be stored at refrigerator temperatures for a week and deep frozen for a year while still maintaining 99% of its initial potency. It would be desirable to have an omeprazole or other  
10 proton pump inhibitor solution or suspension that could be stored at room temperature or in a refrigerator for periods of time which exceed those of the prior art while still maintaining 99% of the initial potency. Additionally, it would be advantageous to have a form of the omeprazole and bicarbonate which can be utilized to instantly make the omeprazole solution/suspension of the present invention which is supplied in a solid form which imparts  
15 the advantages of improved shelf-life at room temperature, lower cost to produce, less expensive shipping costs, and which is less expensive to store.

It would, therefore, be desirable to have a proton pump inhibitor formulation, which provides a cost-effective means for the treatment of the aforementioned conditions without the adverse effect profile of  $H_2$  receptor antagonists, antacids, and sucralfate. Further, it  
20 would be desirable to have a proton pump inhibitor formulation which is convenient to prepare and administer to patients unable to ingest solid dosage forms such as tablets or capsules, which is rapidly absorbed, and can be orally or enterally delivered as a liquid form or solid form. It is desirable that the liquid formulation not clog indwelling tubes, such as nasogastric tubes or other similar tubes, and which acts as an antacid immediately upon  
25 delivery.

It would further be advantageous to have a potentiator or enhancer of the pharmacological activity of the PPIs. It has been theorized by applicant that the PPIs can only exert their effects on  $H^+, K^+$ -ATPase when the parietal cells are active. Accordingly,

- 5 applicant has identified, as discussed below, parietal cell activators that are administered to synergistically enhance the activity of the PPIs.

Additionally, the intravenous dosage forms of PPIs of the prior art are often administered in larger doses than the oral forms. For example, the typical adult IV dose of omeprazole is greater than 100 mg/day whereas the adult oral dose is 20 to 40 mg/day. Large  
10 IV doses are necessary to achieve the desired pharmacologic effect because, it is believed, many of the parietal cells are in a resting phase (mostly inactive) during an IV dose given to patients who are not taking oral substances by mouth (npo) and, therefore, there is little active (that which is inserted into the secretory canalicular membrane)  $H^+, K^+$ -ATPase to inhibit. Because of the clear disparity in the amount of drug necessary for IV versus oral doses, it  
15 would be very advantageous to have compositions and methods for IV administration where significantly less drug is required.

#### SUMMARY OF THE INVENTION AND ADVANTAGES

The foregoing advantages and objects are accomplished by the present invention. The present invention provides an oral solution/suspension comprising a proton pump inhibitor  
20 and at least one buffering agent. The PPI can be any substituted benzimidazole compound having  $H^+, K^+$ -ATPase inhibiting activity and being unstable to acid. The inventive composition can alternatively be formulated as a powder, tablet, suspension tablet, chewable tablet, capsule, two-part tablet or capsule, effervescent powder, effervescent tablet, pellets and granules. Such dosage forms are advantageously devoid of any enteric coating or  
25 delayed or sustained-release delivery mechanisms, and comprise a PPI and at least one buffering agent to protect the PPI against acid degradation. Both the liquid and dry dosage forms can further include anti-foaming agents, parietal cell activators and flavoring agents.

5           In another embodiment, oral dosage forms are disclosed comprising a combination of enteric-coated or delayed-released PPI with an antacid(s). Such forms may optionally comprise non-enteric-coated PPI.

Kits utilizing the inventive dry dosage forms are also disclosed herein to provide for the easy preparation of a liquid composition from the dry forms.

10           In accordance with the present invention, there is further provided a method of treating gastric acid disorders by orally administering to a patient a pharmaceutical composition(s) and/or dosage form(s) disclosed herein.

            Additionally, the present invention relates to a method for enhancing the pharmacological activity of an intravenously administered proton pump inhibitor in which at  
15   least one parietal cell activator is orally administered to the patient before, during and/or after the intravenous administration of the proton pump inhibitor.

            Finally, the present invention relates to a method for optimizing the type and amount of buffer desirable for individual PPIs.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

20           Other advantages of the present invention will be readily appreciated as the same becomes better understood by reference to the following detailed description when considered in connection with the accompanying drawing wherein:

            Figure 1 is a graph showing the effect of the omeprazole solution of the present invention on gastric pH in patients at risk for upper gastrointestinal bleeding from stress-  
25   related mucosal damage;

            Figure 2 is a flow chart illustrating a patient enrollment scheme;

            Figure 3 is a bar graph illustrating gastric pH both pre- and post-administration of omeprazole solution according to the present invention;

5           Figure 4 is a graph illustrating the stomach pH values after the oral administration of both ChocoBase plus lansoprazole and lansoprazole alone;

          Figure 5 is a graph illustrating a pH probe confirmation of GERD;

          Figure 6 is a graph illustrating an endoscopic confirmation of GERD;

          Figure 7 is a graph illustrating the percentage of patients who had undergone any type  
10   of reflux therapy in the past;

          Figure 8 is a graph illustrating the effectiveness of the Choco-Base Formulation 1;  
and

          Figure 9 is a graph illustrating the environmental pH values after administration of the PPI/buffer formulation.

## 15                           **DETAILED DESCRIPTION OF THE INVENTION**

### **I.   Introduction**

          In general, the present invention relates to a pharmaceutical composition comprising a proton pump inhibitor and a buffering agent with or without one or more parietal cell  
activators, and which is not enteric coated, sustained or delayed-release. While the present  
20   invention may be embodied in many different forms, several specific embodiments are discussed herein with the understanding that the present disclosure is to be considered only as an exemplification of the principles of the invention, and it is not intended to limit the invention to the embodiments illustrated.

          For the purposes of this application, the term "proton pump inhibitor" (or "PPI") shall  
25   mean any substituted benzimidazole possessing pharmacological activity as an inhibitor of  $H^+, K^+-ATPase$ , including, but not limited to, omeprazole, lansoprazole, pantoprazole, rabeprazole, esomeprazole, pariprazole, and leminoprazole. The definition of "PPI" also means that the active agents of the present invention may be administered, if desired, in the



5 form of salts, esters, amides, enantiomers, isomers, tautomers, prodrugs, derivatives and the like, provided the salt, ester, amide, enantiomer, isomer, tautomer, prodrug, or derivative is suitable pharmacologically, that is, effective in the present methods, combinations and compositions. Salts, esters, amides, enantiomers, isomers, tautomers, prodrugs and other derivatives of the active agents may be prepared using standard procedures known to those skilled in the art of synthetic organic chemistry and described, for example, by J. March, Advanced Organic Chemistry; Reactions, Mechanisms and Structure, 4th Ed. (New York: Wiley-Interscience, 1992).

The therapeutic agents of the present invention can be formulated as a single pharmaceutical composition or as independent multiple pharmaceutical dosage forms.

15 Pharmaceutical compositions according to the present invention include those suitable for oral, rectal, buccal (for example, sublingual), or parenteral (for example, intravenous) administration, although the most suitable route in any given case will depend on the nature and severity of the condition being treated and on the nature of the particular compound which is being used.

20 As explained further herein, the PPIs generally inhibit ATPase in the same way. Differences in onset and relative potencies are largely due to differences in the acid instability of the parent compounds.

The inventive composition comprises dry formulations, solutions and/or suspensions of the proton pump inhibitors. As used herein, the terms "suspension" and "solution" are interchangeable with each other and mean solutions and/or suspensions of the substituted benzimidazoles.

After absorption of the PPI (or administration intravenously) the drug is delivered via the bloodstream to various tissues and cells of the body including the parietal cells. Not

5 intending to be bound by any one theory, research suggests that when PPI is in the form of a weak base and is non-ionized, it freely passes through physiologic membranes, including the cellular membranes of the parietal cell. It is believed that the non-ionized PPI moves into the acid-secreting portion of the parietal cell, the secretory canaliculus. Once in the acidic milieu of the secretory canaliculus, the PPI is apparently protonated (ionized) and converted to the  
10 active form of the drug. Generally, ionized proton pump inhibitors are membrane impermeable and form disulfide covalent bonds with cysteine residues in the alpha subunit of the proton pump. Such active forms are included within the definition of "PPI" herein.

The inventive pharmaceutical composition comprising a proton pump inhibitor such as omeprazole, lansoprazole or other proton pump inhibitor and derivatives thereof can be  
15 used for the treatment or prevention of gastrointestinal conditions including, but not limited to, active duodenal ulcers, gastric ulcers, dyspepsia, gastroesophageal reflux disease (GERD), severe erosive esophagitis, poorly responsive symptomatic GERD, and pathological hypersecretory conditions such as Zollinger Ellison Syndrome. Treatment of these conditions is accomplished by administering to a patient an effective amount of the pharmaceutical  
20 composition according to the present invention.

The proton pump inhibitor is administered and dosed in accordance with good medical practice, taking into account the clinical condition of the individual patient, the site and method of administration, scheduling of administration, and other factors known to medical practitioners. The term "effective amount" means, consistent with considerations  
25 known in the art, the amount of PPI or other agent effective to achieve a pharmacologic effect or therapeutic improvement without undue adverse side effects, including but not limited to, raising of gastric pH, reduced gastrointestinal bleeding, reduction in the need for blood transfusion, improved survival rate, more rapid recovery, parietal cell activation and  $H^+$ ,  $K^+$  -

5     ATPase inhibition or improvement or elimination of symptoms, and other indicators as are selected as appropriate measures by those skilled in the art.

      The dosage range of omeprazole or other proton pump inhibitors can range from less than approximately 2 mg/day to approximately 300 mg/day. For example, the standard approximate adult daily oral dosage is typically 20 mg of omeprazole, 30 mg lansoprazole, 40  
10    mg pantoprazole, 20 mg rabeprazole, 20 mg esomeprazole, and the pharmacologically equivalent doses of pariprazole, and leminoprazole.

      A pharmaceutical formulation of the proton pump inhibitors utilized in the present invention can be administered orally or enterally to the patient. This can be accomplished, for example, by administering the solution via a nasogastric (ng) tube or other indwelling tubes  
15    placed in the GI tract. In order to avoid the critical disadvantages associated with administering large amounts of sodium bicarbonate, the PPI solution of the present invention is administered in a single dose which does not require any further administration of bicarbonate, or other buffer following the administration of the PPI solution, nor does it require a large amount of bicarbonate or buffer in total. That is, unlike the prior art PPI  
20    solutions and administration protocols outlined above, the formulation of the present invention is given in a single dose, which does not require administration of bicarbonate either before or after administration of the PPI. The present invention eliminates the need to pre-or post-dose with additional volumes of water and sodium bicarbonate. The amount of bicarbonate administered via the single dose administration of the present invention is less  
25    than the amount of bicarbonate administered as taught in the prior art references cited above.

## **II.    Preparation of Oral Liquids**

      As described in Phillips U.S. Pat. No. 5,840,737, the liquid oral pharmaceutical composition of the present invention is prepared by mixing omeprazole enteric-coated

5 granules (Prilosec® AstraZeneca), or omeprazole base, or other proton pump inhibitor or derivatives thereof with a solution including at least one buffering agent (with or without a parietal cell activator, as discussed below). In one embodiment, omeprazole or other proton pump inhibitor, which can be obtained from powder, capsules, and tablets or obtained from the solution for parenteral administration, is mixed with a sodium bicarbonate solution to  
10 achieve a desired final omeprazole (or other PPI) concentration. As an example, the concentration of omeprazole in the solution can range from approximately 0.4 mg/ml to approximately 10.0 mg/ml. The preferred concentration for the omeprazole in the solution ranges from approximately 1.0 mg/ml to approximately 4.0 mg/ml, with 2.0 mg/ml being the standard concentration. For lansoprazole (Prevacid® TAP Pharmaceuticals, Inc.) the  
15 concentration can range from about 0.3 mg/ml to 10 mg/ml with the preferred concentration being about 3 mg/ml.

Although sodium bicarbonate is the preferred buffering agent to protect the PPIs against acid degradation, many other weak and strong bases (and mixtures thereof) can be utilized. For the purposes of this application, "buffering agent" or "buffer" shall mean any  
20 pharmaceutically appropriate weak base or strong base (and mixtures thereof) that, when formulated or delivered with (e.g., before, during and/or after) the PPI, functions to substantially prevent or inhibit the acid degradation of the PPI by gastric acid sufficient to preserve the bioavailability of the PPI administered. The buffering agent is administered in an amount sufficient to substantially achieve the above functionality. Therefore, the  
25 buffering agent of the present invention, when in the presence of gastric acid, must only elevate the pH of the stomach sufficiently to achieve adequate bioavailability of the drug to effect therapeutic action.

5           Accordingly, examples of buffering agents include, but are not limited to, sodium bicarbonate, potassium bicarbonate, magnesium hydroxide, magnesium lactate, magnesium gluconate, other magnesium salts, aluminum hydroxide, aluminum hydroxide/ sodium bicarbonate coprecipitate, a mixture of an amino acid and a buffer, a mixture of aluminum glycinate and a buffer, a mixture of an acid salt of an amino acid and a buffer, and a mixture  
10 of an alkali salt of an amino acid and a buffer. Additional buffering agents include sodium citrate, sodium tartarate, sodium acetate, sodium carbonate, sodium polyphosphate, potassium polyphosphate, sodium pyrophosphate, potassium pyrophosphate, disodium hydrogenphosphate, dipotassium hydrogenphosphate, trisodium phosphate, tripotassium phosphate, sodium acetate, potassium metaphosphate, magnesium oxide, magnesium  
15 hydroxide, magnesium carbonate, magnesium silicate, calcium acetate, calcium glycerophosphate, calcium chloride, calcium hydroxide, calcium lactate, calcium carbonate, calcium bicarbonate, and other calcium salts.

          The pharmaceutically acceptable carrier of the oral liquid may comprise a bicarbonate salt of Group IA metal as buffering agent, and can be prepared by mixing the bicarbonate salt  
20 of the Group IA metal, preferably sodium bicarbonate, with water. The concentration of the bicarbonate salt of the Group IA metal in the composition generally ranges from approximately 5.0 percent to approximately 60.0 percent. In one embodiment, the content of the bicarbonate salt of the Group IA metal ranges from about 3 mEq to about 45 mEq per oral dose.

25           In another embodiment, the amount of sodium bicarbonate 8.4% used in the solution of the present invention is approximately 1 mEq (or mmole) sodium bicarbonate per 2 mg omeprazole, with a range of approximately 0.2 mEq (mmole) to 5 mEq (mmole) per 2 mg of omeprazole.

5 In an embodiment of the present invention, enterically-coated omeprazole particles are obtained from delayed release capsules (Prilosec® AstraZeneca). Alternatively, omeprazole base powder can be used. The enterically coated omeprazole particles are mixed with a sodium bicarbonate ( $\text{NaHCO}_3$ ) solution (8.4%), which dissolves the enteric coating and forms an omeprazole solution.

10 The inventive solutions and other dosage forms of the present invention have pharmacokinetic advantages over standard enteric-coated and time-released PPI dosage forms, including: (a) more rapid drug absorbance time (about 10 to 60 minutes) following administration for the PPI solution or dry form versus about 1 to 3 hours following administration for the enteric-coated pellets; (b) the buffer solution protects the PPI from acid  
15 degradation prior to absorption; (c) the buffer acts as an antacid while the PPI is being absorbed for rapid antacid relief; and (d) the solutions can be administered through an existing indwelling tube without clogging, for example, nasogastric or other feeding tubes (jejunal or duodenal), including small bore needle catheter feeding tubes.

Solutions, suspensions and powders for reconstitutable delivery systems include  
20 vehicles such as suspending agents (for example, gums, xanthans, cellulose and sugars), humectants (for example, sorbitol), solubilizers (for example, ethanol, water, PEG and propylene glycol), surfactants (for example, sodium lauryl sulfate, Spans, Tweens, and cetyl pyridine), preservatives and antioxidants (for example, parabens, vitamins E and C, and ascorbic acid), anti-caking agents, coating agents, and chelating agents (for example, EDTA).

25 Additionally, various additives can be incorporated into the inventive solution to enhance its stability, sterility and isotonicity. Antimicrobial preservatives, such as ampicillin, antioxidants, chelating agents, and additional buffers can be added. However, microbiological evidence shows that this formulation inherently possesses antimicrobial and

5 antifungal activity. Various antibacterial and antifungal agents such as, for example, parabens, chlorobutanol, phenol, sorbic acid, and the like can enhance prevention of the action of microorganisms.

In many cases, it would be desirable to include isotonic agents, for example, sugars, sodium chloride, and the like. Additionally, thickening agents such as methyl cellulose are  
10 desirable to use in order to reduce the settling of the omeprazole or other PPI or derivatives thereof from the suspension.

The liquid oral solution may further comprise flavoring agents (e.g., chocolate, thalmanin, aspartame, root beer or watermelon) or other flavorings stable at pH 7 to 9, anti-foaming agents (e.g., simethicone 80 mg, Mylicon<sup>®</sup>) and parietal cell activators (discussed  
15 below).

The present invention further includes a pharmaceutical composition comprising omeprazole or other proton pump inhibitor and derivatives thereof and at least one buffering agent in a form convenient for storage, whereby when the composition is placed into an aqueous solution, the composition dissolves and/or disperses yielding a suspension suitable  
20 for enteral administration to a subject. The pharmaceutical composition is in a solid form prior to dissolution or suspension in an aqueous solution. The omeprazole or other PPIs and buffering agent can be formed into a tablet, capsule, pellets or granules, by methods well known to those skilled in the art.

The resultant omeprazole solution is stable at room temperature for several weeks and  
25 inhibits the growth of bacteria or fungi as shown in Example X below. Indeed, as established in Example XIII, the solution maintains greater than 90% of its potency for 12 months. By providing a pharmaceutical composition including omeprazole or other PPI with buffer in a solid form, which can be later dissolved or suspended in a prescribed amount of aqueous

5 solution to yield the desired concentration of omeprazole and buffer, the cost of production, shipping, and storage are greatly reduced as no liquids are shipped (reducing weight and cost), and there is no need to refrigerate the solid form of the composition or the solution. Once mixed the resultant solution can then be used to provide dosages for a single patient over a course of time, or for several patients.

10 **III. Tablets and Other Solid Dosage Forms**

As mentioned above, and as described in part in Phillips U.S. Pat. No. 5,840,737, the formulations of the present invention can also be manufactured in concentrated forms, such as powders, capsules, tablets, suspension tablets and effervescent tablets or powders, which can be swallowed whole or first dissolved such that upon reaction with water, gastric  
15 secretions or other diluent, the aqueous form of the present invention is produced.

The present pharmaceutical tablets or other solid dosage forms disintegrate rapidly in aqueous media and form an aqueous solution of the PPI and buffering agent with minimal shaking or agitation. Such tablets utilize commonly available materials and achieve these and other desirable objectives. The tablets or other solid dosage forms of this invention provide  
20 for precise dosing of a PPI that may be of low solubility in water. They may be particularly useful for medicating children and the elderly and others in a way that is much more acceptable than swallowing or chewing a tablet. The tablets that are produced have low friability, making them easily transportable.

The term "suspension tablets" as used herein refers to compressed tablets which  
25 rapidly disintegrate after they are placed in water, and are readily dispersible to form a suspension containing a precise dosage of the PPI. The suspension tablets of this invention comprise, in combination, a therapeutic amount of a PPI, a buffering agent, and a



5 disintegrant. More particularly, the suspension tablets comprise about 20 mg omeprazole and about 4-30 mEq of sodium bicarbonate.

Croscarmellose sodium is a known disintegrant for tablet formulations, and is available from FMC Corporation, Philadelphia, Pa. under the trademark Ac-Di-Sol®. It is frequently blended in compressed tableting formulations either alone or in combination with  
10 microcrystalline cellulose to achieve rapid disintegration of the tablet.

Microcrystalline cellulose, alone or co processed with other ingredients, is also a common additive for compressed tablets and is well known for its ability to improve compressibility of difficult to compress tablet materials. It is commercially available under the Avicel® trademark. Two different Avicel® products are utilized, Avicel® PH which is  
15 microcrystalline cellulose, and Avicel® AC-815, a co processed spray dried residue of microcrystalline cellulose and a calcium-sodium alginate complex in which the calcium to sodium ratio is in the range of about 0.40:1 to about 2.5:1. While AC-815 is comprised of 85% microcrystalline cellulose (MCC) and 15% of a calcium-sodium alginate complex, for purposes of the present invention this ratio may be varied from about 75% MCC to 25%  
20 alginate up to about 95% MCC to 5% alginate. Depending on the particular formulation and active ingredient, these two components may be present in approximately equal amounts or in unequal amounts, and either may comprise from about 10% to about 50% by weight of the tablet.

The suspension tablet composition may, in addition to the ingredients described  
25 above, contain other ingredients often used in pharmaceutical tablets, including flavoring agents, sweetening agents, flow aids, lubricants or other common tablet adjuvants, as will be apparent to those skilled in the art. Other disintegrants, such as crospovidone and sodium starch glycolate may be employed, although croscarmellose sodium is preferred.

5           In addition to the suspension tablet, the solid formulation of the present invention can be in the form of a powder, a tablet, a capsule, or other suitable solid dosage form (e.g., a pelleted form or an effervescent tablet, troche or powder), which creates the inventive solution in the presence of diluent or upon ingestion. For example, the water in the stomach secretions or water, which is used to swallow the solid dosage form, can serve as the aqueous  
10   diluent.

          Compressed tablets are solid dosage forms prepared by compacting a formulation containing an active ingredient and excipients selected to aid the processing and improve the properties of the product. The term "compressed tablet" generally refers to a plain, uncoated tablet for oral ingestion, prepared by a single compression or by pre-compaction tapping  
15   followed by a final compression.

          Dry oral formulations can contain excipients such as binders (for example, hydroxypropylmethylcellulose, polyvinyl pyrrolidone, other cellulosic materials and starch), diluents (for example, lactose and other sugars, starch, dicalcium phosphate and cellulosic materials), disintegrating agents (for example, starch polymers and cellulosic materials) and  
20   lubricating agents (for example, stearates and talc).

          Such solid forms can be manufactured as is well known in the art. Tablet forms can include, for example, one or more of lactose, mannitol, corn starch, potato starch, microcrystalline cellulose, acacia, gelatin, colloidal silicon dioxide, croscarmellose sodium, talc, magnesium stearate, stearic acid, and other excipients, colorants, diluents, buffering  
25   agents, moistening agents, preservatives, flavoring agents, and pharmaceutically compatible carriers. The manufacturing processes may employ one, or a combination of, four established methods: (1) dry mixing; (2) direct compression; (3) milling; and (4) non-aqueous granulation. Lachman et al., The Theory and Practice of Industrial Pharmacy (1986). Such

- 5 tablets may also comprise film coatings, which preferably dissolve upon oral ingestion or upon contact with diluent.

Non-limiting examples of buffering agents which could be utilized in such tablets include sodium bicarbonate, alkali earth metal salts such as calcium carbonate, calcium hydroxide, calcium lactate, calcium glycerophosphate, calcium acetate, magnesium carbonate, magnesium hydroxide, magnesium silicate, magnesium aluminate, aluminum hydroxide or aluminum magnesium hydroxide. A particular alkali earth metal salt useful for making an antacid tablet is calcium carbonate.

- An example of a low density alkali earth metal salt useful for making the granules according to the present invention is extra light calcium carbonate available from Specialty Minerals Inc., Adams, Me. The density of the extra light calcium carbonate, prior to being processed according to the present invention, is about 0.37 g/ml. Other acceptable buffers are provided throughout this application.

- The granules used to make the tablets according to one embodiment of the present invention are made by either spray drying or pre-compacting the raw materials. Prior to being processed into granules by either process, the density of the alkali earth metal salts useful in the present invention ranges from about 0.3 g/ml to about 0.55 g/ml, preferably about 0.35 g/ml to about 0.45 g/ml, even more preferably about 0.37 g/ml to about 0.42 g/ml.

- Additionally, the present invention can be manufactured by utilizing micronized compounds in place of the granules or powder. Micronization is the process by which solid drug particles are reduced in size. Since the dissolution rate is directly proportional to the surface area of the solid, and reducing the particle size increases the surface area, reducing the particle size increases the dissolution rate. Although micronization results in increased surface area possibly causing particle aggregation, which can negate the benefit of

5 micronization and is an expensive manufacturing step, it does have the significant benefit of increasing the dissolution rate of relatively water insoluble drugs, such as omeprazole and other proton pump inhibitors.

The present invention also relates to administration kits to ease mixing and administration. A month's supply of powder or tablets, for example, can be packaged with a  
10 separate month's supply of diluent, and a re-usable plastic dosing cup. More specifically, the package could contain thirty (30) suspension tablets containing 20 mg omeprazole each, 1 L sodium bicarbonate 8.4% solution, and a 30 ml dose cup. The user places the tablet in the empty dose cup, fills it to the 30 ml mark with the sodium bicarbonate, waits for it to dissolve (gentle stirring or agitation may be used), and then ingests the suspension. One skilled in the  
15 art will appreciate that such kits may contain many different variations of the above components. For example, if the tablets or powder are compounded to contain PPI and buffering agent, the diluent may be water, sodium bicarbonate, or other compatible diluent, and the dose cup can be larger or smaller than 30 ml in size. Also, such kits can be packaged in unit dose form, or as weekly, monthly, or yearly kits, etc.

20 Although the tablets of this invention are primarily intended as a suspension dosage form, the granulations used to form the tablet may also be used to form rapidly disintegrating chewable tablets, lozenges, troches, or swallowable tablets. Therefore, the intermediate formulations as well as the process for preparing them provide additional novel aspects of the present invention.

25 Effervescent tablets and powders are also prepared in accordance with the present invention. Effervescent salts have been used to disperse medicines in water for oral administration. Effervescent salts are granules or coarse powders containing a medicinal agent in a dry mixture, usually composed of sodium bicarbonate, citric acid and tartaric acid.

- 5 When the salts are added to water, the acids and the base react to liberate carbon dioxide gas, thereby causing "effervescence."

The choice of ingredients for effervescent granules depends both upon the requirements of the manufacturing process and the necessity of making a preparation which dissolves readily in water. The two required ingredients are at least one acid and at least one  
10 base. The base releases carbon dioxide upon reaction with the acid. Examples of such acids include, but are not limited to, tartaric acid and citric acid. Preferably, the acid is a combination of both tartaric acid and citric acid. Examples of bases include, but are not limited to, sodium carbonate, potassium bicarbonate and sodium bicarbonate. Preferably, the base is sodium bicarbonate, and the effervescent combination has a pH of about 6.0 or higher.

- 15 Effervescent salts preferably include the following ingredients, which actually produce the effervescence: sodium bicarbonate, citric acid and tartaric acid. When added to water the acids and base react to liberate carbon dioxide, resulting in effervescence. It should be noted that any acid-base combination which results in the liberation of carbon dioxide could be used in place of the combination of sodium bicarbonate and citric and tartaric acids,  
20 as long as the ingredients were suitable for pharmaceutical use, and result in a pH of about 6.0 or higher.

It should be noted that it requires 3 molecules of  $\text{NaHCO}_3$  to neutralize 1 molecule of citric acid and 2 molecules of  $\text{NaHCO}_3$  to neutralize 1 molecule of tartaric acid. It is desired that the approximate ratio of ingredients is as follows:

- 25 Citric Acid:Tartaric Acid:Sodium Bicarbonate = 1:2:3.44 (by weight). This ratio can be varied and continue to produce an effective release of carbon dioxide. For example, ratios of about 1:0:3 or 0:1:2 are also effective.

5           The method of preparation of the effervescent granules of the present invention employs three basic processes: wet and dry granulation, and fusion. The fusion method is used for the preparation of most commercial effervescent powders. It should be noted that although these methods are intended for the preparation of granules, the formulations of effervescent salts of the present invention could also be prepared as tablets, according to well known prior art technology for tablet preparation.

          Wet granulation is the oldest method of granule preparation. The individual steps in the wet granulation process of tablet preparation include milling and sieving of the ingredients; dry powder mixing; wet massing; granulation; and final grinding.

15           Dry granulation involves compressing a powder mixture into a rough tablet or "slug" on a heavy-duty rotary tablet press. The slugs are then broken up into granular particles by a grinding operation, usually by passage through an oscillation granulator. The individual steps include mixing of the powders; compressing (slugging); and grinding (slug reduction or granulation). No wet binder or moisture is involved in any of the steps.

          The fusion method is the most preferred method for preparing the granules of the present invention. In this method, the compressing (slugging) step of the dry granulation process is eliminated. Instead, the powders are heated in an oven or other suitable source of heat.

#### IV. PPIs Administered with Parietal Cell Activators

25           Applicant has unexpectedly discovered that certain compounds, such as chocolate, calcium and sodium bicarbonate and other alkaline substances, stimulate the parietal cells and enhance the pharmacologic activity of the PPI administered. For the purposes of this application, "parietal cell activator" or "activator" shall mean any compound or mixture of compounds possessing such stimulatory effect including, but not limited to, chocolate,

5 sodium bicarbonate, calcium (e.g., calcium carbonate, calcium gluconate, calcium hydroxide, calcium acetate and calcium glycerophosphate), peppermint oil, spearmint oil, coffee, tea and colas (even if decaffeinated), caffeine, theophylline, theobromine, and amino acids (particularly aromatic amino acids such as phenylalanine and tryptophan) and combinations thereof, and the salts thereof.

10 Such parietal cell activators are administered in an amount sufficient to produce the desired stimulatory effect without causing untoward side effects to patients. For example, chocolate, as raw cocoa, is administered in an amount of about 5 mg to 2.5 g per 20 mg dose of omeprazole (or equivalent pharmacologic dose of other PPI). The dose of activator administered to a mammal, particularly a human, in the context of the present invention  
15 should be sufficient to effect a therapeutic response (i.e., enhanced effect of PPI) over a reasonable time frame. The dose will be determined by the strength of the particular compositions employed and the condition of the person, as well as the body weight of the person to be treated. The size of the dose also will be determined by the existence, nature, and extent of any adverse side effects that might accompany the administration of a particular  
20 composition.

The approximate effective ranges for various parietal cell activators per 20 mg dose of omeprazole (or equivalent dose of other PPI) are:

25 Chocolate (raw cocoa) – 5 mg to 2.5 g  
Sodium bicarbonate – 7 mEq to 25 mEq  
Calcium carbonate – 1 mg to 1.5 g  
Calcium gluconate – 1 mg to 1.5 g  
Calcium lactate – 1 mg to 1.5 g  
Calcium hydroxide – 1 mg to 1.5 g  
Calcium acetate – 0.5 mg to 1.5 g  
30 Calcium glycerophosphate – 0.5 mg to 1.5 g  
Peppermint oil – (powdered form) 1 mg to 1 g  
Spearmint oil – (powdered form) 1 mg to 1 g  
Coffee – 20 ml to 240 ml  
Tea – 20 ml to 240 ml

5 Cola – 20 ml to 240 ml  
Caffeine – 0.5 mg to 1.5g  
Theophylline – 0.5 mg to 1.5g  
Theobromine – 0.5 mg to 1.5g  
10 Phenylalanine – 0.5 mg to 1.5g  
Tryptophan – 0.5 mg to 1.5g

Pharmaceutically acceptable carriers are well-known to those who are skilled in the art. The choice of carrier will be determined, in part, both by the particular composition and by the particular method used to administer the composition. Accordingly, there is a wide  
15 variety of suitable formulations of the pharmaceutical compositions of the present invention.

#### V. Examples

The present invention is further illustrated by the following formulations, which should not be construed as limiting in any way. The practice of the present invention will employ, unless otherwise indicated, conventional techniques of pharmacology and  
20 pharmaceuticals, which are within the skill of the art.

#### **Example I**

##### **A. *Fast Disintegrating Suspension Tablets of Omeprazole.***

A fast disintegrating tablet is compounded as follows: Croscarmellose sodium 300 g is added to the vortex of a rapidly stirred beaker containing 3.0 kg of deionized water. This  
25 slurry is mixed for 10 minutes. Omeprazole 90 g (powdered) is placed in the bowl of a Hobart mixer. After mixing, the slurry of croscarmellose sodium is added slowly to the omeprazole in the mixer bowl, forming a granulation, which is then placed in trays and dried at 70°C for three hours. The dry granulation is then placed in a blender, and to it is added 1,500 g of Avicel® AC-815 (85% microcrystalline cellulose coprocessed with 15% of a  
30 calcium, sodium alginate complex) and 1,500 g of Avicel® PH-302 (microcrystalline cellulose). After this mixture is thoroughly blended, 35 g of magnesium stearate is added and mixed for 5 minutes. The resulting mixture is compressed into tablets on a standard tablet



5 press (Hata HS). These tablets have an average weight of about 0.75 g, and contain about 20 mg omeprazole. These tablets have low friability and rapid disintegration time. This formulation may be dissolved in an aqueous solution containing a buffering agent for immediate oral administration.

Alternatively, the suspension tablet may be swallowed whole with a solution of  
10 buffering agent. In both cases, the preferred solution is sodium bicarbonate 8.4%. As a further alternative, sodium bicarbonate powder (about 975 mg per 20 mg dose of omeprazole (or an equipotent amount of other PPI) is compounded directly into the tablet. Such tablets are then dissolved in water or sodium bicarbonate 8.4%, or swallowed whole with an aqueous diluent.

15 **B1. 10 mg Tablet Formula.**

	Omeprazole	10 mg (or lansoprazole or pantoprazole or other PPI in an equipotent amount)
	Calcium lactate	175mg
	Calcium glycerophosphate	175mg
20	Sodium bicarbonate	250mg
	Aspartame calcium (phenylalanine)	0.5mg
	Colloidal silicon dioxide	12mg
	Corn starch	15 mg
	Croscarmellose sodium	12 mg
25	Dextrose	10mg
	Peppermint	3mg
	Maltodextrin	3mg
	Mannitol	3mg
30	Pregelatinized starch	3mg

**B2. 10 mg Tablet Formula.**

	PPI: one of the following:	
	Omeprazole	10 mg
	Lansoprazole	15mg
35	Pantoprazole sodium	20mg
	Rabeprazole sodium	10mg
	Other PPI in an equipotent amount	
	Calcium lactate	375mg
	Calcium glycerophosphate	375mg
40	Aspartame calcium (phenylalanine)	0.5mg
	Colloidal silicon dioxide	12mg

5	Corn starch	15 mg
	Croscarmellose sodium	12 mg
	Dextrose	10 mg
	Peppermint	3 mg
	Maltodextrin	20 mg
10	Mannitol	30 mg
	Pregelatinized starch	30 mg

**B3. 10 mg Tablet Formula.**

15	PPI: one of the following:	
	Omeprazole	10 mg
	Lansoprazole	15mg
	Pantoprazole sodium	20mg
	Rabeprazole sodium	10mg
20	Other PPI in an equipotent amount	
	Sodium bicarbonate	750 mg
	Aspartame sodium (phenylalanine)	0.5 mg
	Colloidal silicon dioxide	12 mg
25	Corn starch	15 mg
	Croscarmellose sodium	12 mg
	Dextrose	10 mg
	Peppermint	3 mg
	Maltodextrin	20 mg
30	Mannitol	30 mg
	Pregelatinized starch	30 mg

**C1. 20 mg Tablet Formula.**

35	Omeprazole	20mg (or lansoprazole or pantoprazole or other PPI in an equipotent amount)
	Calcium lactate	175mg
	Calcium glycerophosphate	175mg
	Sodium bicarbonate	250mg
	Aspartame calcium (phenylalanine)	0.5mg
40	Colloidal silicon dioxide	12mg
	Corn starch	15 mg
	Croscarmellose sodium	12 mg
	Dextrose	10mg
	Calcium hydroxide	10mg
45	Peppermint	3mg
	Maltodextrin	3mg
	Mannitol	3mg
	Pregelatinized starch	3mg

**C2. 20 mg Tablet Formula.**

5	PPI: One of the following:	
	Omeprazole	20mg
	Lansoprazole	30 mg
	Pantoprazole	40 mg
	Other PPI in an equipotent amount	
10	Calcium lactate	375mg
	Calcium glycerophosphate	375mg
	Aspartame calcium (phenylalanine)	0.5mg
	Colloidal silicon dioxide	12mg
	Corn starch	15 mg
15	Croscarmellose sodium	12 mg
	Dextrose	10 mg
	Peppermint	3 mg
	Maltodextrin	20 mg
	Mannitol	30 mg
20	Pregelatinized starch	30 mg

**C3. 20 mg Tablet Formula.**

	PPI: One of the following:	
	Omeprazole	20mg
25	Lansoprazole	30 mg
	Pantoprazole	40 mg
	Other PPI in an equipotent amount	
	Sodium bicarbonate	750mg
30	Aspartame sodium (phenylalanine)	0.5mg
	Colloidal silicon dioxide	12mg
	Corn starch	15 mg
	Croscarmellose sodium	12 mg
	Dextrose	10 mg
35	Peppermint	3 mg
	Maltodextrin	20 mg
	Mannitol	30 mg
	Pregelatinized starch	30 mg

**D1. Tablet for Rapid Dissolution.**

	Omeprazole	20mg (or lansoprazole or pantoprazole or other PPI in an equipotent amount)
	Calcium lactate	175mg
	Calcium glycerophosphate	175mg
45	Sodium bicarbonate	500mg
	Calcium hydroxide	50mg
	Croscarmellose sodium	12 mg

**D2. Tablet for Rapid Dissolution.**

5	PPI: One of the following:	
	Omeprazole	20mg
	Lansoprazole	30 mg
	Pantoprazole	40 mg
	Rabeprazole sodium	20mg
10	Esomeprazole magnesium	20mg
	Other PPI in an equipotent amount	
	Calcium lactate	300mg
	Calcium glycerophosphate	300mg
15	Calcium hydroxide	50mg
	Croscarmellose sodium	12 mg

**D3. Tablet for Rapid Dissolution.**

	PPI: One of the following:	
20	Omeprazole	20mg
	Lansoprazole	30 mg
	Pantoprazole	40 mg
	Rabeprazole sodium	20mg
	Esomeprazole magnesium	20mg
25	Other PPI in an equipotent amount	
	Sodium bicarbonate	700 mg
	Trisodium phosphate dodecahydrate	100 mg
	Croscarmellose sodium	12 mg
30		

**E1. Powder for Reconstitution for Oral Use (or per ng tube).**

	Omeprazole	20mg (or lansoprazole or pantoprazole or other PPI in an equipotent amount)
	Calcium lactate	175mg
35	Calcium glycerophosphate	175mg
	Sodium bicarbonate	500mg
	Calcium hydroxide	50mg
	Glycerine	200mg

**E2. Powder for Reconstitution for Oral Use (or per ng tube).**

	PPI: One of the following:	
	Omeprazole	20mg
	Lansoprazole	30 mg
	Pantoprazole	40 mg
45	Rabeprazole sodium	20mg
	Esomeprazole magnesium	20mg
	Other PPI in an equipotent amount	
	Calcium lactate	300mg

5	Calcium glycerophosphate	300mg
	Calcium hydroxide	50mg
	Glycerine	200mg

**E3. Powder for Reconstitution for Oral Use (or per ng tube).**

10	PPI: One of the following:	
	Omeprazole	20mg
	Lansoprazole	30 mg
	Pantoprazole	40 mg
	Rabeprazole sodium	20mg
15	Esomeprazole magnesium	20mg
	Other PPI in an equipotent amount	
	Sodium bicarbonate	850 mg
20	Trisodium phosphate	50mg

**F1. 10 mg Tablet Formula.**

	Omeprazole	10mg (or lansoprazole or pantoprazole or other PPI in an equipotent amount)
	Calcium lactate	175mg
25	Calcium glycerophosphate	175mg
	Sodium bicarbonate	250mg
	Polyethylene glycol	20mg
	Croscarmellose sodium	12 mg
	Peppermint	3mg
30	Magnesium silicate	1mg
	Magnesium stearate	1mg

**F2. 10 mg Tablet Formula.**

	PPI: One of the following:	
35	Omeprazole	10mg
	Lansoprazole	15mg
	Pantoprazole sodium	20mg
	Rabeprazole sodium	10mg
	Esomeprazole magnesium	10mg
40	Other PPI in an equipotent amount	
	Calcium lactate	475 mg
	Calcium glycerophosphate	250 mg
	Polyethylene glycol	20 mg
45	Croscarmellose sodium	12 mg
	Peppermint	3 mg
	Magnesium silicate	10 mg
	Magnesium stearate	10 mg

**F3. 10 mg Tablet Formula.**

PPI: One of the following:

Omeprazole	10mg
Lansoprazole	15mg
Pantoprazole sodium	20mg
Rabeprazole sodium	10mg
Esomeprazole magnesium	10mg

Other PPI in an equipotent amount

Sodium bicarbonate	700 mg
Polyethylene glycol	20 mg
Croscarmellose sodium	12 mg
Peppermint	3 mg
Magnesium silicate	10 mg
Magnesium stearate	10 mg

**G1. 10 mg Tablet Formula.**

## Omeprazole

10mg (or lansoprazole or pantoprazole or other PPI in an equipotent amount)

Calcium lactate	200mg
Calcium glycerophosphate	200mg
Sodium bicarbonate	400mg
Croscarmellose sodium	12 mg
Pregelatinized starch	3mg

**G2. 10 mg Tablet Formula.**

PPI: One of the following:

Omeprazole	10mg
Lansoprazole	15mg
Pantoprazole sodium	20mg
Rabeprazole sodium	10mg
Esomeprazole magnesium	10mg

Other PPI in an equipotent amount

Calcium lactate	400mg
Calcium glycerophosphate	400mg
Croscarmellose sodium	12 mg
Pregelatinized starch	3mg

**G3. 10 mg Tablet Formula.**

PPI: One of the following:

Omeprazole	10mg
Lansoprazole	15mg
Pantoprazole sodium	20mg

5	Rabeprazole sodium	10mg
	Esomeprazole magnesium	10mg
	Other PPI in an equipotent amount	
	Sodium bicarbonate	750mg
10	Croscarmellose sodium	12 mg
	Pregelatinized starch	3mg

All of the tablets and powders of this Example may be swallowed whole, chewed or mixed with an aqueous medium prior to administration.

15 **Example II**

***Standard Tablet of PPI and Buffering Agent.***

Ten (10) tablets were prepared using a standard tablet press, each tablet comprising about 20 mg omeprazole and about 975 mg sodium bicarbonate uniformly dispersed throughout the tablet. To test the disintegration rate of the tablets, each was added to 60 ml of water. Using previously prepared liquid omeprazole/sodium bicarbonate solution as a visual comparator, it was observed that each tablet was completely dispersed in under three (3) minutes.

Another study using the tablets compounded according to this Example evaluated the bioactivity of the tablets in five (5) adult critical care patients. Each subject was administered one tablet via ng with a small amount of water, and the pH of ng aspirate was monitored using paper measure. The pH for each patient was evaluated for 6 hours and remained above 4, thus demonstrating the therapeutic benefit of the tablets in these patients.

Tablets were also prepared by boring out the center of sodium bicarbonate USP 975 mg tablets with a knife. Most of the removed sodium bicarbonate powder was then triturated with the contents of a 20 mg Prilosec® capsule and the resulting mixture was then packed into the hole in the tablet and sealed with glycerin.

5

**Example III*****PPI Central Core Tablet.***

Tablets are prepared in a two-step process. First, about 20 mg of omeprazole is formed into a tablet as is known in the art to be used as a central core. Second, about 975 mg sodium bicarbonate USP is used to uniformly surround the central core to form an outer protective cover of sodium bicarbonate. The central core and outer cover are both prepared using standard binders and other excipients to create a finished, pharmaceutically acceptable tablet. The tablets may be swallowed whole with a glass of water.

**Example IV*****Effervescent Tablets and Granules.***

15 The granules of one 20mg Prilosec® capsule were emptied into a mortar and triturated with a pestle to a fine powder. The omeprazole powder was then geometrically diluted with about 958 mg sodium bicarbonate USP, about 832 mg citric acid USP and about 312 mg potassium carbonate USP to form a homogeneous mixture of effervescent omeprazole powder. This powder was then added to about 60 ml of water whereupon the  
20 powder reacted with the water to create effervescence. A bubbling solution resulted of omeprazole and principally the antacids sodium citrate and potassium citrate. The solution was then administered orally to one adult male subject and gastric pH was measured using pHHyron paper. The results were as follows:

	<u><b>Time Interval</b></u>	<u><b>pH Measured</b></u>
25	Immediately prior to dose	2
	1 hour post dose	7
	2 hours post dose	6
	4 hours post dose	6
	6 hours post dose	5
30	8 hours post dose	4



5 One skilled in the art of pharmaceutical compounding will appreciate that bulk powders can be manufactured using the above ratios of ingredients, and that the powder can be pressed into tablets using standard binders and excipients. Such tablets are then mixed with water to activate the effervescent agents and create the desired solution. In addition, lansoprazole 30 mg (or an equipotent dose of other PPI) can be substituted for omeprazole.

10 The effervescent powder and tablets can alternatively be formulated by employing the above mixture but adding an additional 200 mg of sodium bicarbonate USP to create a resulting solution with a higher pH. Further, instead of the excess 200 mg of sodium bicarbonate, 100 mg of calcium glycerophosphate or 100 mg of calcium lactate can be employed. Combinations of the same can also added.

#### 15 **Example V**

##### *Parietal Cell Activator "Choco-Base™" Formulations and Efficacy.*

Children are affected by gastro esophageal reflux disease (GERD) with atypical manifestations. Many of these atypical symptoms are difficult to control with traditional drugs such as H<sub>2</sub>-antagonists, cisapride, or sucralfate. PPIs are more effective in controlling  
20 gastric pH and the symptoms of GERD than other agents. However, PPIs are not available in dosage forms that are easy to administer to young children. To address this problem, applicant employed omeprazole or lansoprazole in a buffered chocolate suspension (Choco-Base), in children with manifestations of GERD.

Applicant performed a retrospective evaluation of children with GERD referred to the  
25 University of Missouri-Columbia from 1995 to 1998 who received treatment with the experimental omeprazole or lansoprazole Choco-Base suspension formulated in accordance with Formulation I stated below. Data were included on all patients with follow up information sufficient to draw conclusions about pre/post treatment (usually > 6 months). There were 25 patients who met the criteria for this evaluation. Age range was several weeks

5 to greater than 5 years. Most patients had a history of numerous unsuccessful attempts at ameliorating the effects of GERD. Medication histories indicated many trials of various drugs.

The primary investigator reviewed all charts for uniformity of data collection. When insufficient data was available in the University charts, attempts were made to review charts  
10 in the local primary care physicians' offices for follow-up data. If information was still unavailable to review, attempts were made to contact family for follow-up. If data were still unavailable the patients were considered inevaluable.

Patient charts were reviewed in detail. Data noted were date of commencement of therapy, date of termination of therapy and any reason for termination other than response to  
15 treatment. Patient demographics were also recorded, as were any other medical illnesses. Medical illnesses were divided grossly into those that are associated with or exacerbate GERD and those that do not.

Patient charts were examined for evidence of response to therapy. As this was largely a referral population, and a retrospective review, quantification of symptomatology based on  
20 scores, office visits and ED visits was difficult. Therefore, applicant examined charts for evidence of an overall change in patient symptoms. Any data to point towards improvement, decline or lack of change were examined and recorded.

### *Results.*

A total of 33 pediatric patients to date have been treated with the above-described  
25 suspension at the University of Missouri - Columbia. Of the 33 patients, 9 were excluded from the study, all based upon insufficient data about commencement, duration or outcome in treatment with PPI therapy. This left 24 patients with enough data to draw conclusions.

Of the 24 remaining patients, 18 were males and 6 females. Ages at implementation of PPI therapy ranged from 2 weeks of age to 9 years old. Median age at start of therapy was

26.5 months [mean of 37 mo.]. Early on, reflux was usually documented by endoscopy and confirmed by pH probe. Eventually, pH probe was dropped and endoscopy was the sole method for documenting reflux, usually at the time of another surgery (most often T-tubes or adenoidectomy). Seven patients had pH probe confirmation of GERD, whereas 18 had endoscopic confirmation of reflux including all eight who had pH probing done (See Figure 5 and 6). Reflux was diagnosed on endoscopy most commonly by cobblestoning of the tracheal wall, with laryngeal and pharyngeal cobblestoning as findings in a few patients. Six patients had neither pH nor endoscopic documentation of GERD, but were tried on PPI therapy based on symptomatology alone.

Past medical history was identified in each chart. Ten patients had reflux-associated diagnoses. These were most commonly cerebral palsy, prematurity and Pierre Robin sequence. Other diagnoses were Charcot-Marie-Tooth disease, Velocardiofacial syndrome, Down syndrome and De George's syndrome. Non-reflux medical history was also identified and recorded separately (See Table 2 below).

Patients were, in general, referral patients from local family practice clinics, pediatricians, or other pediatric health care professionals. Most patients were referred to ENT for upper airway problems, sinusitis, or recurrent/chronic otitis media that had been refractory to medical therapy as reported by the primary care physician. Symptoms and signs most commonly found in these patients were recorded and tallied. All signs and symptoms were broken down into six major categories: (1) nasal; (2) otologic; (3) respiratory; (4) gastrointestinal; (5) sleep-related; and (6) other. The most common problems fell into one or all of the first 3 categories (See Table 1 below).

Most patients had been treated in the past with medical therapy in the form of antibiotics, steroids, asthma medications and other diagnosis-appropriate therapies. In

5 addition, nine of the patients had been on reflux therapy in the past, most commonly in the form of conservative therapy such as head of bed elevation 30°, avoidance of evening snacks, avoidance of caffeinated beverages as well as cisapride and ranitidine (See Figure 7).

The proton pump inhibitor suspension used in this group of patients was Choco-Base suspension of either lansoprazole or omeprazole. The dosing was very uniform, with patients  
10 receiving doses of either 10 or 20 mg of omeprazole and 23 mg of lansoprazole. Initially, in April of 1996 when therapy was first instituted 10 mg of omeprazole was used. There were 3 patients in this early phase who were treated initially with 10 mg po qd of omeprazole. All three subsequently were increased to either 20 mg po qd of omeprazole or 23 mg po qd of lansoprazole. All remaining patients were given either the 20 mg omeprazole or the 23 mg  
15 lansoprazole treatment qd, except in one case, where 30 mg of lansoprazole was used. Patients were instructed to take their doses once per day, preferably at night in most cases. Suspensions were all filled through the University of Missouri Pharmacy at Green Meadows. This allowed for tracking of usage through refill data.

Most patients responded favorably to and tolerated the once daily dosing of Choco-  
20 Base proton pump inhibitor suspension. Two patients had documented adverse effects associated with the use of the PPI suspension. In one patient, the mother reported increased burping up and dyspepsia, which was thought to be related to treatment failure. The other patient had small amounts of bloody stools per mother. This patient never had his stool tested, as his bloody stool promptly resolved upon cessation of therapy, with no further  
25 sequelae. The other 23 patients had no documented adverse effects.

Patients were categorized based on review of clinic notes and chart review into general categories: (1) improved; (2) unchanged; (3) failed; and (4) inconclusive. Of 24 patients with sufficient data for follow up, 18 showed improvement in symptomatology upon

commencement of PPI therapy [72%]. The seven who did not respond were analyzed and grouped. Three showed no change in symptomatology and clinical findings while on therapy, one complained of worsening symptoms while on therapy, one patient had therapy as prophylaxis for surgery, and two stopped therapy just after its commencement (see Figure 8). Setting aside the cases in which therapy was stopped before conclusions could be drawn and the case in which PPI therapy was for purely prophylactic reasons, leaves (17/21) 81% of patients that responded to Choco-Base suspension. This means that 19% (4/21) of patients received no apparent benefit from PPI therapy. Of all these patients, only 4% complained of worsening symptoms and the side effects were 4% (1/21) and were mild bloody stool that completely resolved upon cessation of therapy.

#### *Discussion.*

GERD in the pediatric population is relatively common, affecting almost 50% of newborns. Even though most infants outgrow physiologic reflux, pathologic reflux still affects approximately 5% of all children throughout childhood. Recently considerable data has pointed to reflux as an etiologic factor in extra-esophageal areas. GERD has been attributed to sinusitis, dental caries, otitis media, asthma, apnea, arousal, pneumonia, bronchitis, and cough, among others. Despite the common nature of reflux, there seems to have been little improvement in therapy for reflux, especially in the non-surgical arena.

The standard of therapy for the treatment of GERD in the pediatric population has become a progression from conservative therapy to a combination of a pro-kinetic agent and H-2 blocker therapy. Nonetheless, many patients fail this treatment protocol and become surgical candidates. In adults, PPI therapy is effective in 90% of those treated for gastroesophageal reflux disease. As a medical alternative to the H-2 blockers, the proton pump inhibitors have not been studied extensively in the pediatric population. Part of the reason for this lack of data may be related to the absence of a suitable dosage formulation for

- 5 this very young population, primarily under 2 years of age, that does not swallow capsules or tablets. It would be desirable to have a true liquid formulation (solution or suspension) with good palatability such as is used for oral antibiotics, decongestants, antihistamines, H-2 blockers, cisapride, metoclopramide, etc. The use of lansoprazole granules (removed from the gelatin capule) and sprinkled on applesauce has been approved by the Food and Drug
- 10 Administration as an alternative method of drug administration in adults but not in children. Published data are lacking on the efficacy of the lansoprazole sprinkle method in children. Omeprazole has been studied for bioequivalence as a sprinkle in adults and appears to produce comparable serum concentrations when compared to the standard capsule. Again no data are available on the omeprazole sprinkle in children. An additional disadvantage of
- 15 omeprazole is its taste which is quinine-like. Even when suspended in juice, applesauce or the like, the bitter nature of the medicine is easily tasted even if one granule is chewed. For this reason applicant eventually progressed to use lansoprazole in Choco-Base. Pantoprazole and rabeprazole are available as enteric-coated tablets only. Currently, none of the proton pump inhibitors available in the United States are approved for pediatric use. There is some
- 20 controversy as to what the appropriate dosage should be in this group of patients. A recent review by Israel D., et al. suggests that effective PPI dosages should be higher than that originally reported, i.e., from 0.7 mg/kg to 2 or 3 mg/kg omeprazole. Since toxicity with the PPIs is not seen even at >50mg/kg, there appears little risk associated with the higher dosages. Based on observations at the University of Missouri consistent with the findings of
- 25 this review, applicant established a simple fixed dosage regimen of 10ml Choco-Base suspension daily. This 10ml dose provided 20mg omeprazole or 23 mg lansoprazole.

In the ICU setting, the University of Missouri-Columbia has been using an unflavored PPI suspension given once daily per various tubes (nasogastric, g-tube, jejunal feeding tube,

5 duo tube, etc.) for stress ulcer prophylaxis. It seemed only logical that if this therapy could be made into a palatable form, it would have many ideal drug characteristics for the pediatric population. First, it would be liquid, and therefore could be administered at earlier ages. Second, if made flavorful it could help to reduce noncompliance. Third, it could afford once daily dosing, also helping in reducing noncompliance. In the process, applicant discovered  
10 that the dosing could be standardized, which nearly eliminated dosing complexity.

Choco-Base is a product which protects drugs which are acid labile, such as proton pump inhibitors, from acid degradation. The first few pediatric patients with reflux prescribed Choco-Base were sicker patients. They had been on prior therapy and had been diagnosed both by pH probe and endoscopy. In the first few months, applicant treated patients with  
15 mg of omeprazole qd (1 mg/kg) and found this to be somewhat ineffective, and quickly increased the dosing to 20 mg (2 mg/kg) of omeprazole. About halfway through the study, applicant began using lansoprazole 23 mg po qd. Applicant's standard therapy was then either 20 mg of omeprazole or 23 mg of lansoprazole once daily. The extra 3 mg of lansoprazole is related only to the fact that the final concentration was 2.25 mg/ml, and  
20 applicant desired to keep dosing simple, so he used a 10 ml suspension.

The patients that were treated represented a tertiary care center population, and they were inherently sicker and refractory to medical therapy in the past. The overall 72% success rate is slightly lower than the 90% success rates of PPIs in the adult population, but this can be attributed to the refractory nature of their illness, most having failed prior non-PPI  
25 treatment. The population in this study is not indicative of general practice populations.

#### *Conclusion.*

PPI therapy is a beneficial therapeutic option in the treatment of reflux related symptoms in the pediatric population. Its once daily dosing and standard dosing scheme combined with a palatable formulation makes it an ideal pharmacologic agent.

5

TABLE 1

Symptoms	Patient Numbers
<b>Nasal:</b>	35
Sinusitis	7
Congestion	8
Nasal discharge	16
Other	4
<b>Otologic:</b>	26
Otitis Media	17
Otorrhea	9
<b>Respiratory:</b>	34
Cough	10
Wheeze	11
<b>Respiratory Distress:</b>	5
Pneumonia	2
Other	6
<b>Gastrointestinal:</b>	10
Abdominal Pain	1
Reflux/Vomiting	4
Other	4
<b>Sleep Disturbances:</b>	11
Other	2



5

TABLE 2

<b>Reflux Associated:</b>	12
Premature	5
Pierre-Robin	2
Cerebral Palsy	2
Down Syndrome	1
Charcot-Marie-Tooth	1
Velocardiofacial Syndrome	1
Other Medical History	12
Cleft Palate	3
Asthma	3
Autism	2
Seizure Disorder	1
Diabetes Mellitus	1
Subglottic Stenosis	1
Tracheostomy Dependent	1

The Choco-Base product is formulated as follows:

FORMULATION 1	
PART A INGREDIENTS	AMOUNT (mg)
Omeprazole	200
Sucrose	26000
Sodium Bicarbonate	9400
Cocoa	1800
Corn Syrup Solids	6000
Sodium Caseinate	1000
Soy Lecithin	150
Sodium Chloride	35
Tricalcium Phosphate	20
Dipotassium Phosphate	12
Silicon Dioxide	5
Sodium Stearoyl Lactylate	5
PART B INGREDIENTS	AMOUNT (ml)
Distilled Water	100
COMPOUNDING INSTRUCTIONS	
Add Part B to Part A to create a total volume of approximately 130 ml with an omeprazole concentration of about 1.5 mg/ml.	

5

<b>FORMULATION 2</b>	
<b>PART A INGREDIENTS (mg)</b>	<b>AMOUNT (mg)</b>
Sucrose	26000
Cocoa	1800
Corn Syrup Solids	6000
Sodium Caseinate	1000
Soy Lecithin	150
Sodium Chloride	35
Tricalcium Phosphate	20
Dipotassium Phosphate	12
Silicon Dioxide	5
Sodium Stearoyl Lactylate	5
<b>PART B INGREDIENTS</b>	<b>AMOUNT</b>
Distilled Water	100 ml
Sodium Bicarbonate	8400 mg
Omeprazole	200 mg
<b>COMPOUNDING INSTRUCTIONS</b>	
Mix the constituents of Part B together thoroughly and then add to Part A. This results in a total volume of approximately 130 ml with an omeprazole concentration of about 1.5 mg/ml.	

5

<b>FORMULATION 3</b>	
<b>PART A INGREDIENTS (mg)</b>	<b>AMOUNT (mg)</b>
Sucrose	26000
Sodium Bicarbonate	9400
Cocoa	1800
Corn Syrup Solids	6000
Sodium Caseinate	1000
Soy Lecithin	150
Sodium Chloride	35
Tricalcium Phosphate	20
Dipotassium Phosphate	12
Silicon Dioxide	5
Sodium Stearoyl Lactylate	5
<b>PART B INGREDIENTS</b>	<b>AMOUNT</b>
Distilled Water	100 ml
Omeprazole	200 mg
<b>COMPOUNDING INSTRUCTIONS</b>	
This formulation is reconstituted at the time of use by a pharmacist. Part B is mixed first and is then uniformly mixed with the components of Part A. A final volume of about 130 ml is created having an omeprazole concentration of about 1.5 mg/ml.	

5

<b>FORMULATION 4</b>	
<b>PART A INGREDIENTS (mg)</b>	<b>AMOUNT (mg)</b>
Sucrose	26000
Cocoa	1800
Corn Syrup Solids	6000
Sodium Caseinate	1000
Soy Lecithin	150
Sodium Chloride	35
Tricalcium Phosphate	20
Dipotassium Phosphate	12
Silicon Dioxide	5
Sodium Stearoyl Lactylate	5
<b>PART B INGREDIENTS</b>	<b>AMOUNT</b>
Distilled Water	100 ml
Sodium Bicarbonate	8400 mg
Omeprazole	200 mg
<b>COMPOUNDING INSTRUCTIONS</b>	
This formulation is reconstituted at the time of use by a pharmacist. Part B is mixed first and is then uniformly mixed with the components of Part A. A final volume of about 130 ml is created having an omeprazole concentration of about 1.5 mg/ml.	

In all four of the above formulations, lansoprazole or other PPI can be substituted for omeprazole in equipotent amounts. For example, 300 mg of lansoprazole may be substituted for the 200 mg of omeprazole. Additionally, aspartame can be substituted for sucrose, and the following other ingredients can be employed as carriers, adjuvants and excipients: maltodextrin, vanilla, carrageenan, mono and diglycerides, and lactated monoglycerides. One skilled in the art will appreciate that not all of the ingredients are necessary to create a Choco-Base formulation that is safe and effective.

Omeprazole powder or enteric-coated granules can be used in each formulation. If the enteric-coated granules are used, the coating is either dissolved by the aqueous diluent or inactivated by trituration in the compounding process.

5           Applicant additionally analyzed the effects of a lansoprazole Choco-Base formulation on gastric pH using a pH meter (Fisher Scientific) in one adult patient versus lansoprazole alone. The patient was first given a 30 mg oral capsule of lansoprazole (Prevacid®), and the patient's gastric pH was measured at 0, 4, 8, 12, and 16 hours post dose. The results are illustrated in Fig. 4.

10           The ChocoBase product was compounded according to Formulation 1 above, except 300 mg of lansoprazole was used instead of omeprazole. A dose of 30 mg lansoprazole Choco-Base was orally administered at hour 18 post lansoprazole alone. Gastric pH was measured using a pH meter at hours 18, 19, 24, 28, 32, 36, 40, 48, 52, and 56 post lansoprazole alone dose.

15           Figure 4 illustrates the lansoprazole/cocoa combination resulted in higher pH<sub>s</sub> at hours 19-56 than lansoprazole alone at hours 4-18. Therefore, the combination of the lansoprazole with chocolate enhanced the pharmacologic activity of the lansoprazole. The results establish that the sodium bicarbonate as well as chocolate flavoring and calcium were all able to stimulate the activation of the proton pumps, perhaps due to the release of gastrin. Proton  
20   pump inhibitors work by functionally inhibiting the proton pump and effectively block activated proton pumps (primarily those inserted into the secretory canalicular membrane). By further administering the proton pump inhibitor with one of these activators or enhancers, there is a synchronization of activation of the proton pump with the absorption and subsequent parietal cell concentrations of the proton pump inhibitor. As illustrated in Figure  
25   4, this combination produced a much longer pharmacologic effect than when the proton pump inhibitor was administered alone.

5

**Example VI*****Combination Tablet Delivering Bolus And Time-Released Doses of PPI***

Tablets were compounded using known methods by forming an inner core of 10mg omeprazole powder mixed with 750 mg sodium bicarbonate, and an outer core of 10 mg omeprazole enteric-coated granules mixed with known binders and excipients. Upon ingestion of the whole tablet, the tablet dissolves and the inner core is dispersed in the stomach where it is absorbed for immediate therapeutic effect. The enteric-coated granules are later absorbed in the duodenum to provide symptomatic relief later in the dosing cycle. This tablet is particularly useful in patients who experience breakthrough gastritis between conventional doses, such as while sleeping or in the early morning hours.

15

**Example VII*****Therapeutic Application.***

Patients were evaluable if they met the following criteria: had two or more risk factors for SRMD (mechanical ventilation, head injury, severe burn, sepsis, multiple trauma, adult respiratory distress syndrome, major surgery, acute renal failure, multiple operative procedures, coagulotherapy, significant hypotension, acid-base disorder, and hepatic failure), gastric pH of  $\leq 4$  prior to study entry, and no concomitant prophylaxis for SRMD.

25

The omeprazole solution was prepared by mixing 10 ml of 8.4% sodium bicarbonate with the contents of a 20 mg capsule of omeprazole (Merck & Co. Inc., West Point, PA) to yield a solution having a final omeprazole concentration of 2 mg/ml.

Nasogastric (ng) tubes were placed in the patients and an omeprazole dosage protocol of buffered 40 mg omeprazole solution (2 mg omeprazole/1 ml  $\text{NaHCO}_3$  – 8.4%) followed by 40 mg of the same buffered omeprazole solution in eight hours, then 20 mg of the same buffered omeprazole solution per day, for five days. After each buffered omeprazole solution administration, nasogastric suction was turned off for thirty minutes.

5           Eleven patients were evaluable. All patients were mechanically ventilated. Two hours after the initial 40 mg dose of buffered omeprazole solution, all patients had an increase in gastric pH to greater than eight as shown in Figure 1. Ten of the eleven patients maintained a gastric pH of greater than or equal to four when administered 20 mg omeprazole solution. One patient required 40 mg omeprazole solution per day (closed head injury, five total risk factors for SRMD). Two patients were changed to omeprazole solution after having developed clinically significant upper gastrointestinal bleeding while receiving conventional intravenous H<sub>2</sub>-antagonists. Bleeding subsided in both cases after twenty-four hours. Clinically significant upper gastrointestinal bleeding did not occur in the other nine patients. Overall mortality was 27%, mortality attributable to upper gastrointestinal bleeding was 0%.  
10           Pneumonia developed in one patient after initiating omeprazole therapy and was present upon the initiation of omeprazole therapy in another patient. The mean length of prophylaxis was five days.

          A pharmacoeconomic analysis revealed a difference in the total cost of care for the prophylaxis of SRMD:

20           ranitidine (Zantac®) continuous infusion intravenously (150 mg/24 hours) x five days \$125.50;

          cimetidine (Tagamet®) continuous infusion intravenously (900 mg/24 hours) x five days \$109.61;

          sucralfate one g slurry four times a day per (ng) tube x five days \$73.00; and

25           buffered omeprazole solution regimen per (ng) tube x five days \$65.70.

          This example illustrates the efficacy of the buffered omeprazole solution of the present invention based on the increase in gastric pH, safety and cost of the buffered omeprazole solution as a method for SRMD prophylaxis.

5

**Example VIII*****Effect on pH.***

Experiments were carried out in order to determine the effect of the omeprazole solution (2 mg omeprazole/1 ml  $\text{NaHCO}_3$  – 8.4%) administration on the accuracy of subsequent pH measurements through a nasogastric tube.

10

After preparing a total of 40 mg of buffered omeprazole solution, in the manner of Example VII, doses were administered into the stomach, usually through a nasogastric (ng) tube. Nasogastric tubes from nine different institutions were gathered for an evaluation. Artificial gastric fluid (gf) was prepared according to the USP. pH recordings were made in triplicate using a Microcomputer Portable pH meter model 6007 (Jenco Electronics Ltd., Taipei, Taiwan).

15

First, the terminal portion (tp) of the nasogastric tubes was placed into a glass beaker containing the gastric fluid. A 5 ml aliquot of gastric fluid was aspirated through each tube and the pH recorded; this was called the “pre-omeprazole solution/suspension measurement.” Second, the terminal portion (tp) of each of the nasogastric tubes was removed from the beaker of gastric fluid and placed into an empty beaker. Twenty (20) mg of omeprazole solution was delivered through each of the nasogastric tubes and flushed with 10 ml of tap water. The terminal portion (tp) of each of the nasogastric tubes was placed back into the gastric fluid. After a one hour incubation, a 5 ml aliquot of gastric fluid was aspirated through each nasogastric tube and the pH recorded; this was called the “after first dose SOS [Simplified Omeprazole Solution] measurement.” Third, after an additional hour had passed, the second step was repeated; this was called the “after second dose SOS [Simplified Omeprazole Solution] measurement.” In addition to the pre-omeprazole measurement, the pH of the gastric fluid was checked in triplicate after the second and third steps. A change in the pH measurements of  $\pm 0.3$  units was considered significant. The Friedman test was

20

25



- 5 used to compare the results. The Friedman test is a two way analysis of variance which is used when more than two related samples are of interest, as in repeated measurements.

The results of these experiments are outlined in Table 3.

**TABLE 3**

	ng1	ng2	ng3	ng4	ng5	ng6	ng7	ng8	ng9
[1] gf	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3
Pre									
SOS									
[2] gf p	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3
1 <sup>st</sup> dose									
1.3←check of gf pH									
[3] gf p	1.3	1.3	1.4	1.4	1.4	1.3	1.4	1.3	1.3
2 <sup>nd</sup>									
Dose									
1.3←check of gf pH									SOS pH = 9.0

- 10 Table 3 illustrates the results of the pH measurements that were taken during the course of the experiment. These results illustrate that there were no statistically significant latent effects of omeprazole solution administration (per nasogastric tube) on the accuracy of subsequent pH measurements obtained through the same nasogastric tube.

### Example IX

- 15 *Efficacy of Buffered Omeprazole Solution in Ventilated Patients.*

Experiments were performed in order to determine the efficacy, safety, and cost of buffered omeprazole solution in mechanically ventilated critically ill patients who have at least one additional risk factor for stress-related mucosal damage.

- Patients: Seventy-five adult, mechanically ventilated patients with at least one  
20 additional risk factor for stress-related mucosal damage.

5        Interventions: Patients received 20 ml omeprazole solution (prepared as per Example VII and containing 40 mg of omeprazole) initially, followed by a second 20 ml dose six to eight hours later, then 10 ml (20 mg) daily. Omeprazole solution according to the present invention was administered through a nasogastric tube, followed by 5-10 ml of tap water. The nasogastric tube was clamped for one to two hours after each administration.

10        Measurements and Main Results: The primary outcome measure was clinically significant gastrointestinal bleeding determined by endoscopic evaluation, nasogastric aspirate examination, or heme-positive coffee ground material that did not clear with lavage and was associated with a five percent decrease in hematocrit. Secondary efficacy measures were gastric pH measured four hours after omeprazole was first administered, mean gastric  
15        pH after omeprazole was started, and the lowest gastric pH during omeprazole therapy. Safety-related outcomes included the incidence of adverse events and the incidence of pneumonia. No patient experienced clinically significant upper gastrointestinal bleeding after receiving omeprazole suspension. The four-hour post omeprazole gastric pH was 7.1 (mean), the mean gastric pH after starting omeprazole was 6.8 (mean) and the lowest pH after starting  
20        omeprazole was 5.6 (mean). The incidence of pneumonia was twelve percent. No patient in this high-risk population experienced an adverse event or a drug interaction that was attributable to omeprazole.

Conclusions: Omeprazole solution prevented clinically significant upper gastrointestinal bleeding and maintained gastric pH above 5.5 in mechanically ventilated  
25        critical care patients without producing toxicity.

#### **Materials and Methods:**

      The study protocol was approved by the Institutional Review Board for the University of Missouri at Columbia.

5        Study Population: All adult (>18 years old) patients admitted to the surgical intensive care and burn unit at the University of Missouri Hospital with an intact stomach, a nasogastric tube in place, and an anticipated intensive care unit stay of at least forty-eight hours were considered for inclusion in the study. To be included patients also had to have a gastric pH of <4, had to be mechanically ventilated and have one of the following additional

10 risk factors for a minimum of twenty-four hours after initiation of omeprazole suspension: head injury with altered level of consciousness, extensive burns (>20% Body Surface Area), acute renal failure, acid-base disorder, multiple trauma, coagulopathy, multiple operative procedures, coma, hypotension for longer than one hour or sepsis (see Table 4). Sepsis was defined as the presence of invasive pathogenic organisms or their toxins in blood or tissues

15 resulting in a systematic response that included two or more of the following: temperature greater than 38°C or less than 36°C, heart rate greater than 90 beats/minute, respiratory rate greater than 20 breaths/minute (or  $pO_2$  less than 75 mm Hg), and white blood cell count greater than 12,000 or less than 4,000 cells/mm<sup>3</sup> or more than 10 percent bands (Bone, *Let's Agree on Terminology: Definitions of Sepsis*, CRIT. CARE MED., 19: 27 (1991)). Patients in

20 whom H<sub>2</sub>-antagonist therapy had failed or who experienced an adverse event while receiving H<sub>2</sub>-antagonist therapy were also included.

Patients were excluded from the study if they were receiving azole antifungal agents through the nasogastric tube; were likely to swallow blood (e.g., facial and/or sinus fractures, oral lacerations); had severe thrombocytopenia (platelet count less than 30,000 cells/mm<sup>3</sup>);

25 were receiving enteral feedings through the nasogastric tube; or had a history of vagotomy, pyloroplasty, or gastroplasty. In addition, patients with a gastric pH above four for forty-eight hours after ICU admission (without prophylaxis) were not eligible for participation. Patients who developed bleeding within the digestive tract that was not stress-related mucosal

- 5 damage (e.g., endoscopically verified variceal bleeding or Mallory-Weiss tears, oral lesions, nasal tears due to placement of the nasogastric tube) were excluded from the efficacy evaluation and categorized as having non-stress-related mucosal bleeding. The reason for this exclusion is the confounding effect of non-stress-related mucosal bleeding on efficacy-related outcomes, such as the use of nasogastric aspirate inspection to define clinically  
10 significant upper gastrointestinal bleeding.

- Study Drug Administration: Omeprazole solution was prepared immediately before administration by the patient's nurse using the following instructions: empty the contents of one or two 20 mg omeprazole capsule(s) into an empty 10 ml syringe (with 20 gauge needle in place) from which the plunger has been removed. (Omeprazole delayed-release capsules,  
15 Merck & Co., Inc., West Point, PA); replace the plunger and uncapped the needle; withdraw 10 ml of 8.4% sodium bicarbonate solution or 20 ml if 40 mg given (Abbott Laboratories, North Chicago, IL), to create a concentration of 2 mg omeprazole per ml of 8.4% sodium bicarbonate; and allow the enteric coated pellets of omeprazole to completely breakdown,  $\approx$ 30 minutes (agitation is helpful). The omeprazole in the resultant preparation is partially  
20 dissolved and partially suspended. The preparation should have a milky white appearance with fine sediment and should be shaken before administration. The solution was not administered with acidic substances. A high-pressure liquid chromatography study was performed that demonstrated that this preparation of simplified omeprazole suspension maintains >90% potency for seven days at room temperature. This preparation remained free  
25 of bacterial and fungal contamination for thirty days when stored at room temperature (See Table 7).

The initial dose of omeprazole solution was 40 mg, followed by a second 40 mg dose six to eight hours later, then a 20 mg daily dose administered at 8:00 AM. Each dose was

administered through the nasogastric tube. The nasogastric tube was then flushed with 5-10 ml of tap water and clamped for at least one hour. Omeprazole therapy was continued until there was no longer a need for stress ulcer prophylaxis (usually after the nasogastric tube was removed and the patient was taking water/food by mouth, or after the patient was removed from mechanical ventilation).

Primary Outcome Measures: The primary outcome measure in this study was the rate of clinically significant stress-related mucosal bleeding defined as endoscopic evidence of stress-related mucosal bleeding or bright red blood per nasogastric tube that did not clear after a 5-minute lavage or persistent Gastrocult (SmithKline Diagnostics, Sunnyville, CA) positive coffee ground material for four consecutive hours that did not clear with lavage (at least 100 ml) and produced a 5% decrease in hematocrit.

Secondary Outcome Measures: The secondary efficacy measures were gastric pH measured four hours after omeprazole was administered, mean gastric pH after starting omeprazole and lowest gastric pH during omeprazole administration. Gastric pH was measured immediately after aspirating gastric contents through the nasogastric tube. pH paper (pHydriion improved pH papers, Microessential Laboratory, Brooklyn, NY) was used to measure gastric aspirate pH. The pH range of the test strips was 1 to 11, in increments of one pH unit. Gastric pH was measured before the initiation of omeprazole solution therapy, immediately before each dose, and every four hours between doses.

Other secondary outcome measures were incidence of adverse events (including drug interactions) and pneumonia. Any adverse event that developed during the study was recorded. Pneumonia was defined using indicators adapted from the Centers for Disease Prevention and Control definition of nosocomial pneumonia (Garner et al., 1988). According to these criteria, a patient who has pneumonia is one who has rales or dullness to percussion

- 5 on physical examination of the chest or has a chest radiograph that shows new or progressive infiltrate(s), consolidation, cavitation, or pleural effusion and has at least two of the following present: new purulent sputum or changes in character of the sputum, an organism isolated from blood culture, fever or leukocytosis, or evidence of infection from a protective specimen brush or bronchoalveolar lavage. Patients who met the criteria for pneumonia and were  
10 receiving antimicrobial agents for the treatment of pneumonia were included in the pneumonia incidence figure. These criteria were also used as an initial screen before the first dose of study drug was administered to determine if pneumonia was present prior to the start of omeprazole suspension.

- Cost of Care Analysis: A pharmacoeconomic evaluation of stress ulcer prophylaxis  
15 using omeprazole solution was performed. The evaluation included total drug cost (acquisition and administration), actual costs associated with adverse events (e.g., psychiatry consultation for mental confusion), costs associated with clinically significant upper gastrointestinal bleeding. Total drug cost was calculated by adding the average institutional costs of omeprazole 20 mg capsules, 50 ml sodium bicarbonate vials, and 10 ml syringes with  
20 needle; nursing time (drug administration, pH monitoring); pharmacy time (drug preparation); and disposal costs. Costs associated with clinically significant upper gastrointestinal bleeding included endoscopy charges and accompanying consultation fees, procedures required to stop the bleeding (e.g., surgery, hemostatic agents, endoscopic procedures), increased hospital length of stay (as assessed by the attending physician), and  
25 cost of drugs used to treat the gastrointestinal bleeding.

Statistical Analysis: The paired t-test (two-tailed) was used to compare gastric pH before and after omeprazole solution administration and to compare gastric pH before

omeprazole solution administration with the mean and lowest gastric pH value measured after beginning omeprazole.

### Results:

Seventy-seven patients met the inclusion and exclusion criteria and received omeprazole solution (See Figure 2). Two patients were excluded from the efficacy evaluation because the protocol for omeprazole administration was not followed. In one case, the omeprazole enteric-coated pellets had not completely broken down prior to the administration of the first two doses, which produced an erratic effect on gastric pH. The gastric pH increased to above six as soon as the patient was given a dose of omeprazole solution (in which the enteric coated pellets of omeprazole had been allowed to completely breakdown).

The reason for the second exclusion was that nasogastric suctioning was not turned off after the omeprazole dose was administered. This resulted in a transient effect on gastric pH. The suction was turned off with subsequent omeprazole doses, and control of gastric pH was achieved. Two patients were considered efficacy failures because omeprazole failed to maintain adequate gastric pH control on the standard omeprazole 20 mg/day maintenance dose. When the omeprazole dose was increased to 40 mg/day (40 mg once/day or 20 mg twice/day), gastric pH was maintained above four in both patients. These two patients were included in the safety and efficacy evaluations, including the gastric pH analysis. After the two patients were declared failures, their pH values were no longer followed.

The ages of the remaining seventy-five patients ranged from eighteen to eighty-seven years; forty-two patients were male and thirty-three were female. All patients were mechanically ventilated during the study. Table 4 shows the frequency of risk factors for stress-related bleeding that were exhibited by the patients in this study. The most common

- 5 risk factors in this population were mechanical ventilation and major surgery. The range of risk factors for any given patient was two to ten, with a mean of 3 ( $\pm 1$ ) (standard deviation). Five patients enrolled in the study had developed clinically significant bleeding while receiving continuous infusions of ranitidine (150 mg/24 hr) or cimetidine (900 mg/24 hr). In all five cases, the bleeding subsided and the gastric pH rose to above five within thirty-six
- 10 hours after initiating omeprazole therapy. Three patients were enrolled after having developed two consecutive gastric pH values below three while receiving an H<sub>2</sub>-antagonist (in the doses outlined above). In all three cases, gastric pH rose to above five within four hours after omeprazole therapy was initiated. Four other patients were enrolled in this study after experiencing confusion (n=2) or thrombocytopenia (n=2) during H<sub>2</sub>-antigen therapy.
- 15 Within thirty-six hours of switching therapy, these adverse events resolved.

*Stress-related Mucosal Bleeding and Mortality:* None of the sixty-five patients who received buffered omeprazole solution as their initial prophylaxis against stress-related mucosal bleeding developed overt or clinically significant upper gastrointestinal bleeding. In four of the five patients who had developed upper gastrointestinal bleeding before study

20 entry, bleeding diminished to the presence of occult blood only (Gastroccult-positive) within eighteen hours of starting omeprazole solution; bleeding stopped in all patients within thirty-six hours. The overall mortality rate in this group of critically ill patients was eleven percent. No death was attributable to upper gastrointestinal bleeding or the use of omeprazole solution.

25 *Gastric pH:* The mean ( $\pm$  standard deviation) pre-omeprazole gastric pH was  $3.5 \pm 1.9$ . Within four hours of omeprazole administration, the gastric pH rose to  $7.1 \pm 1.1$  (See Figure 3); this difference was significant ( $p < 0.001$ ). The differences between pre-omeprazole gastric pH and the mean and lowest gastric pH measurements during omeprazole



- 5 administration ( $6.8 \pm 0.6$  and  $5.6 \pm 1.3$ , respectively) were also statistically significant ( $p < 0.001$ ).

Safety: Omeprazole solution was well tolerated in this group of critically ill patients.

- Only one patient with sepsis experienced an adverse event that may have been drug-related thrombocytopenia. However, the platelet count continued to fall after omeprazole was  
10 stopped. The platelet count then returned to normal despite reinstitution of omeprazole therapy. Of note, one patient on a jet ventilator continuously expelled all liquids placed in her stomach up and out through her mouth, and thus was unable to continue on omeprazole. No clinically significant drug interactions with omeprazole were noted during the study period. As stated above, metabolic alkalosis is a potential concern in patients receiving  
15 sodium bicarbonate. However, the amount of sodium bicarbonate in omeprazole solution was small ( $\approx 12$  mEq/10 ml) and no electrolyte abnormalities were found.

Pneumonia: Pneumonia developed in nine (12%) patients receiving omeprazole solution. Pneumonia was present in an additional five patients before the start of omeprazole therapy.

- 20 Pharmacoeconomic evaluation: The average length of treatment was nine days. The cost of care data are listed in Tables 5 and 6. The costs of drug acquisition, preparation, and delivery for some of the traditional agents used in the prophylaxis of stress-related upper gastrointestinal bleeding are listed in Table 5. There were no costs to add from toxicity associated with omeprazole solution. Since two of seventy-five patients required 40 mg of  
25 omeprazole solution daily to adequately control gastric pH, the acquisition/preparation cost should reflect this. The additional 20 mg of omeprazole with vehicle adds seven cents per day to the cost of care. Therefore, the daily cost of care for omeprazole solution in the prophylaxis of stress-related mucosal bleeding was \$12.60 (See Table 6).

5 Omeprazole solution is a safe and effective therapy for the prevention of clinically significant stress-related mucosal bleeding in critical care patients. The contribution of many risk factors to stress-related mucosal damage has been challenged recently. All of the patients in this study had at least one risk factor that has clearly been associated with stress-related mucosal damage - mechanical ventilation. Previous trials and data from a recently published study show that stress ulcer prophylaxis is of proven benefit in patients at risk and, therefore, it was thought to be unethical to include a placebo group in this study. No clinically significant upper gastrointestinal bleeding occurred during omeprazole solution therapy. Gastric pH was maintained above 4 on omeprazole 20 mg/day in seventy-three of seventy-five patients. No adverse events or drug interaction associated with omeprazole were encountered.

**TABLE 4**

Mech Vent	Major Surgery	Multi-trauma	Head Injury	Hypotension	Renal Failure	Sepsis	Multiple Operation	Acid/Base	Coma	Liver Failure	Burn
75	61	35	16	14	14	14	12	10	4	2	2

Risk factors present in patients in this study (n = 75)

5

TABLE 5

		Per day
<u>RANITIDINE (day 1-9)</u>		
Ranitidine	150 mg/24 hr	6.15
Ancillary Product (1)	Piggyback (60%)	0.75
Ancillary Product (2)	micro tubing (etc.)	2.00
Ancillary Product (3)	filter	0.40
Sterile Prep required	yes	
R.N. time (\$24/hr)	20 minutes/day (includes pH monitoring)	8.00
R.Ph. time, hood maint.	3 minutes (\$40/hr)	2.00
Pump cost	\$29/24 hrs x 50%)	14.50
TOTAL for 9 days		304.20
RANITIDINE Cost per day		33.80
<u>CIMETIDINE (day 1-9)</u>		
Cimetidine	900 mg/24 hr	3.96
Ancillary Product (1)	Piggyback	1.25
Ancillary Product (2)	micro tubing (etc.)	2.00
Ancillary Product (3)	filter	0.40
Sterile Prep required	yes	8.00
R.N. time (\$24/hr)	20 minutes/day (includes pH monitoring)	
R.Ph. time, hood maint.	3 minutes (\$40/hr)	2.00
Pump cost	\$29/24 hrs x 50%)	14.50
TOTAL for 9 days		288.99
CIMETIDINE Cost per day		32.11
<u>SUCRALFATE (day 1-9)</u>		
Sucralfate	1 g x 4	2.40
Ancillary Product (1)	syringe	0.20
Sterile Prep required	no	
R.N. time (\$24/hr)	30 minutes/day (includes pH monitoring)	12.00
TOTAL for 9 days		131.40
SUCRALFATE Cost per day		14.60

## Note:

Does not include the cost of failure and/or adverse effect.

Acquisition, preparation and delivery costs of traditional agents.

5

TABLE 6

The average length of treatment was 9 days. Cost of care was calculated from these data

		Per Day	Total
<b>OMEPRAZOLE (day 1)</b>			
Product acquisition cost	40 mg load x 2 (5.66/dose)	11.32	11.32
Ancillary product	materials for solution preparation	0.41	0.41
Ancillary product	syringe w/needle	0.20	0.40
Sterile preparation required	no		
SOS preparation time (R.N.)	6 minutes	2.40	4.80
R.N. time (\$24/hr)	21 minutes/day (includes pH monitoring)	8.40	8.40
<b>OMEPRAZOLE (days 2-9)</b>			
Product acquisition cost	20 mg per day	2.80	22.65
Ancillary product	materials for solution preparation	0.41	0.82
Ancillary product	syringe w/needle	0.20	1.60
Sterile preparation required	no		
SOS preparation time (R.N.)	6 minutes	2.40	4.80
R.N. time (\$24/hr)	18 minutes/day (includes pH monitoring)	8.40	57.60
2/75 patient require 40 mg simplified omeprazole solution per day (days 2-9)			
No additional cost for adverse effects or for failure			
TOTAL			
<b>Simplified Omeprazole Solution cost per day</b>			

Pharmacoeconomic evaluation of omeprazole cost of care

TABLE 7

Time	Control	1 hour	24 hour	2 day	7 day	14 day
Conc (mg/ml)	2.01	2.07	1.94	1.96	1.97	1.98

Stability of Simplified Omeprazole Solution at room temperature

(25° C.) Values are the mean of three samples

10

### Example X

#### *Bacteriostatic and Fungistatic Effects of Omeprazole Solution*

The antimicrobial or bacteriostatic effects of the omeprazole solution were analyzed by applicant. An omeprazole solution (2 mg/ml of 8.4% sodium bicarbonate) made according to the present invention was stored at room temperature for four weeks and then was analyzed for fungal and bacterial growth. Following four weeks of storage at room temperature, no bacterial or fungal growth was detected.

15

An omeprazole solution (2 mg/ml of 8.4% sodium bicarbonate) made in accordance with the present invention was stored at room temperature for twelve weeks and then was

- 5 analyzed for fungal and bacterial growth. After twelve weeks of incubation at room temperature, no fungal or bacterial growth was detected.

The results of these experiments illustrate the bacteriostatic and fungistatic characteristics of the omeprazole solution of the present invention.

#### Example XI

10 A. *Bioequivalency Study.*

Healthy male and female study participants over the age of 18 will be randomized to receive omeprazole in the following forms:

- (A) 20 mg of a liquid formulation of approximately 20 mg omeprazole in 4.8 mEq sodium bicarbonate qs to 10 ml with water;
- 15 (B) 20 mg of a liquid formulation of approximately 2 mg omeprazole per 1 ml of 8.4% sodium bicarbonate.
- (C) Prilosec® (omeprazole) 20 mg capsule;
- (D) Capsule prepared by inserting non-enteric coated omeprazole 20 mg into a #4 empty gelatin capsule (Lilly) uniformly dispersed in 240 mg of sodium bicarbonate powder
- 20 USP to form an inner capsule. The inner capsule is then inserted into a #00 empty gelatin capsule (Lilly) together with a homogeneous mixture of 600 mg sodium bicarbonate USP and 110 mg pregelatinized starch NF.

- After appropriate screening and consent, healthy volunteers will be randomized to receive one of the following four regimens as randomly assigned by Latin Square. Each
- 25 subject will be crossed to each regimen according to the randomization sequence until all subjects have received all four regimens (with one week separating each regimen).

Regimen A (20mg omeprazole in 4.8 mEq sodium bicarbonate in 10ml volume);  
Regimen B (20mg omeprazole in 10ml 8.4% sodium bicarbonate in 10ml volume); Regimen  
C (an intact 20mg omeprazole capsule); Regimen D (Capsule in capsule formulation, see

- 5 above). For each dose/week, subjects will have an i.v. saline lock placed for blood sampling. For each regimen, blood samples will be taken over 24 hours a total of 16 times (with the last two specimens obtained 12 hours and 24 hours after drug administration).

**B. Patient Eligibility**

Four healthy females and four healthy males will be consented for the study.

10 **C. Inclusion Criteria**

Signed informed consent.

**D. Exclusion Criteria**

1. Currently taking H<sub>2</sub>-receptor antagonist, antacid, or sucralfate.
2. Recent (within 7 days) therapy with lansoprazole, omeprazole, or other proton  
15 pump inhibitor.
3. Recent (within 7 days) therapy with warfarin.
4. History of variceal bleeding.
5. History of peptic ulcer disease or currently active G.I. bleed.
6. History of vagotomy or pyloroplasty.
- 20 7. Patient has received an investigational drug within 30 days.
8. Treatment with ketoconazole or itraconazole.
9. Patient has an allergy to omeprazole.

**E. Pharmacokinetic Evaluation and Statistical Analysis**

- Blood samples will be centrifuged within 2 hours of collection and the plasma will  
25 then separated and frozen at -10° C (or lower) until assayed. Pharmacokinetic variables will include: time to peak concentration, mean peak concentration, AUC (0-t) and (0-infinity). Analysis of variance will be used to detect statistical difference. Bioavailability will be assessed by the 90% confidence interval of the two one-sided tests on the natural logarithm of AUC.

## F. HPLC Analysis

Omeprazole and internal standard (H168/24) will be used. Omeprazole and internal standard will be measured by modification of the procedure described by Amantea and Narang. (Amantea MA, Narang PK. *Improved Procedure for Quantification of Omeprazole and Metabolites Using Reversed-Phased High Performance Liquid Chromatography*. J. CHROMATOGRAPHY 426; 216-222 (1988)). Briefly, 20ul of omeprazole 2mg/ml NaHCO<sub>3</sub> or Choco-Base omeprazole suspension and 100ul of the internal standard are vortexed with 150ul of carbonate buffer (pH=9.8), 5 ml of dichloroethane, 5 ml of hexane, and 980 ul of sterile water. After the sample is centrifuged, the organic layer is extracted and dried over a nitrogen stream. Each pellet is reconstituted with 150 ul of mobile phase (40% methanol, 52% 0.025 phosphate buffer, 8% acetonitrile, pH=7.4). Of the reconstituted sample, 75ul is injected onto a C<sub>18</sub> 5 U column equilibrated with the same mobile phase at 1.1ml/min. Under these conditions, omeprazole is eluted at approximately 5 minutes, and the internal standard at approximately 7.5 minutes. The standard curve is linear over the concentration range 0-3 mg/ml (in previous work with SOS), and the between-day coefficient of variation has been <8% at all concentrations. The typical mean R<sup>2</sup> for the standard curve has been 0.98 in prior work with SOS (omeprazole 2mg/ml NaHCO<sub>3</sub> 8.4%).

Applicant expects that the above experiments will demonstrate there is more rapid absorption of formulations (a), (b) and (d) as compared to the enteric coated granules of formulation (c). Additionally, applicant expects that although there will be a difference in the rates of absorption among forms (a) through (d), the extent of absorption (as measured by the area under the curve (AUC)) should be similar among the formulations (a) through (d).

5

**Example XII*****Intravenous PPI in Combination With Oral Parietal Cell Activator***

Sixteen (16) normal, healthy male and female study subjects over the age of 18 will be randomized to receive pantoprazole as follows:

- (a) 40 mg IV over 15 to 30 minutes in combination with a 20 ml oral dose of sodium bicarbonate 8.4%; and
- (b) 40 mg IV over 15 to 30 minutes in combination with a 20 ml oral dose of water.

The subjects will receive a single dose of (a) or (b) above, and will be crossed-over to (a) and (b) in random fashion. Serum concentrations of pantoprazole versus time after administration data will be collected, as well as gastric pH control as measured with an indwelling pH probe.

Further, similar studies are contemplated wherein chocolate or other parietal cell activator is substituted for the parietal cell activator sodium bicarbonate, and other PPIs are substituted for pantoprazole. The parietal cell activator can be administered either within about 5 minutes before, during or within about 5 minutes after the IV dose of PPI.

Applicant expects that these studies will demonstrate that significantly less IV PPI is required to achieve therapeutic effect when it is given in combination with an oral parietal cell activator.

Additionally, administration kits of IV PPI and oral parietal cell activator can be packaged in many various forms for ease of administration and to optimize packing and shipping the product. Such kits can be in unit dose or multiple dose form.

**Example XIII*****Six (6) Month Stability of Omeprazole Suspension.***

A suspension was prepared by mixing 8.4% sodium bicarbonate with omeprazole to produce a final concentration of 2 mg/ml to determine the stability of omeprazole solution



5 after 6 months. The resultant preparation was stored in clear glass at room temperature, refrigerated and frozen. Samples were drawn after thorough agitation from the stored preparations at the prescribed times. The samples were then stored at 70°C. Frozen samples remained frozen until they were analyzed. When the collection process was completed, the samples were shipped to a laboratory overnight on dry ice for analysis. Samples were

10 agitated for 30 seconds and sample aliquots were analyzed by HPLC in triplicate according to well known methods. Omeprazole and the internal standard were measured by a modification of the procedure described by Amantea and Narang. (Amantea MA, Narang PK, *Improved Procedure For Quantitation Of Omeprazole And Metabolites Using Reverse-Phased High-Performance Liquid Chromatography*, J. CHROMATOGRAPHY, 426: 216-222 (1988)). Twenty

15 (20)  $\mu$ l of the omeprazole 2mg/ml  $\text{NaHCO}_3$  solution and 100  $\mu$ l of the internal standard solution were vortexed with 150  $\mu$ l of carbonate buffer (pH = 9.8), 5 ml dichloroethane, 5 ml hexane, and 980  $\mu$ l of sterile water. The sample was centrifuged and the organic layer was extracted and dried over a nitrogen stream. Each pellet was reconstituted with 150  $\mu$ l of mobile phase (40% methanol, 52% 0.025 phosphate buffer, 8% acetonitrile, pH=7.4). Of the

20 reconstituted sample, 75 $\mu$ l were injected onto a C185u column equilibrated with the same mobile phase at 1.1 ml/min. Omeprazole was eluted at ~5 min, and the internal standard at ~7.5 min. The standard curve was linear over the concentrated range 0-3 mg/ml, and between-day coefficient of variation was < 8% at all concentrations. Mean  $R^2$  for the standard curve was 0.980.

25 The 6 month sample showed stability at greater than 90% of the original concentration of 2 mg/ml. (i.e., 1.88 mg/ml, 1.94 mg/ml, 1.92 mg/ml).

5 VI. PPI Compositions and Method for Optimizing the Buffer to be Administered in Combination With a PPI

A. Introduction

The compositions of the present invention are designed to produce rapid release of active drug to the site of delivery (typically the stomach) without the necessity of enteric

10 coatings or delayed released dosage forms, while preventing acid degradation of the drug.

Acid labile PPIs, for example, can be formulated or coadministered with one or more buffers sufficient to protect the PPI in any environment, with the ultimate goal being to deliver a PPI to the stomach (or other environment) either via a liquid, a powder or solid dosage form that produces an immediate release of active drug to the site of delivery such that the PPI is

15 quickly available for absorption. Accordingly, Applicant has found that certain amounts of buffers coadministered or mixed with certain PPIs prevent acid degradation of the PPI when the buffers produce a pH in the stomach or other site of environment that is equal to the pKa of the PPI plus an amount sufficient to protect the PPI from acids and provide undegraded and bioactive PPI to the blood upon administration (e.g., a final pH of pKa of PPI + 0.7 log  
20 value will reduce the degradation to about 10%). Such buffers should interact with hydrogen ion at rates that exceed the interaction of hydrogen ion with the PPI. Thus, the solubilities of the buffers and PPIs are important considerations because solubility is a key determinant of the rate of interaction of H<sup>+</sup> ion with another compound.

Typically, a PPI formulation of the present invention comprises two primary  
25 components: a PPI and an Essential Buffer. An Essential Buffer may include a buffer or combination of buffers that interact with HCl (or other acids in the environment of interest) faster than the PPI interacts with the same acids. When placed in a liquid phase (usually in water), the Essential Buffer produces and maintains a pH of at least the pKa of the PPI. In one embodiment, by raising the pH of the environment to the same of the pKa of the PPI plus

- 5 about 0.7 log value (or greater), the expected degradation (ionization) can be reduced from about 50% to about 10%. As used herein, the "Essential pH" is the lowest pH of the environment of interest needed to minimize or eliminate the acid-induced degradation of the PPI. The buffering agent(s) employed may raise the pH of the environment to the Essential pH such that 30%, 40% or 50% of the PPI is undegraded, or be present in an amount
- 10 sufficient to substantially protect (i.e., greater than 50% stability) the PPI.

- In another embodiment, the Essential pH is the pKa of the PPI. In a further embodiment, the Essential pH is the sum of the pKa of the PPI plus log 0.7. A log value of about 0.7 is added to the pKa, which represents a decrease of about 5.01187% in stability of the PPI from the pKa plus 1 log value, thus resulting in a stability of approximately 90%, a
- 15 value widely accepted as desirable in pharmaceutical products. In some cases it may be permissible to accept a value of less than log 0.7.

- One aspect of the invention provides that there is also sufficient buffer available to provide the neutralization capacity (Essential Buffer Capacity ("EBC")) to maintain the elevated pH of the environment (usually gastric) throughout the dwell time that the PPI is
- 20 passed from the environment and into the blood.

#### **B. Essential Buffers**

- Essential Buffers can be divided into two groups: Primary Essential Buffers and Secondary Essential Buffers. Every formulation is combined with, either directly or indirectly, at least one Primary Essential Buffer. The Primary Essential Buffers, when used
- 25 alone or in combination, provide buffering activity below the value that leads to tissue irritation or damage and above a lower limit for the Essential pH of the PPI. Secondary Essential Buffers are not required in every formulation but can be combined with Primary

- 5 Essential Buffers to produce a higher pH and added neutralization capacity for the formulation.

Determining the type and dose of buffer to protect acid labile substituted benzimidazole PPIs (and other drugs) is useful for efficacious PPI delivery to and action upon parietal cell proton pumps, particularly when the PPI is administered as an immediate release product designed to disintegrate in the stomach rather than a traditional delayed-release product designed to disintegrate beyond the stomach in higher pH environments such as the duodenum. The present compositions and methods employ determinations of the nature of the buffer(s) to be used, as well as calculations to determine Essential pH, buffering capacity, and volume measurements for individual PPI doses based on their respective solubilities and pKa's. Such inventive methods are applicable for determining the type and amount of buffer(s) necessary to protect the PPI in an array of environments (e.g., mouth, esophagus, stomach, duodenum, jejunum, rectal vault, nasogastric tube, or a powder, tablet, capsule, liquid, etc. in storage before administration). Dosage forms in storage may be exposed to various environments, but a typical set of storage conditions includes storage at room temperature (65-80°F), and minimal or no exposure to heat, cold, light or humidity as is known in the art.

The present method includes all substituted benzimidazole PPIs, their salts, esters, amides, enantiomers, racemates, prodrugs, derivatives and the like, and is not limited to those PPIs used to exemplify the following calculations.

- 25 The Essential Buffering Capacity ("EBC") is the capacity of a PPI/buffer formulation to resist degradation from its environment. The buffering capacity of a PPI/buffer formulation is primarily derived from components of the formulation that possess the ability to combine with acids ( $H^+$  ions) from the environment. The EBC contributes to both acid

5 neutralization (antacid effect) and to maintaining an environmental  $\text{pH} > \text{pK}_a + 0.7$  to protect  
PPIs from acid degradation throughout the dwell time. The Primary Essential Buffer is  
designed to maintain the  $\text{pH}$  of stomach contents (or other environment) at a somewhat  
constant level within a desired range for a period of time so that the PPI can be absorbed from  
the gastric or other environment. Accordingly, the Essential Buffer is generally more rapid in  
10 its complexation with  $\text{HCl}$  (or other acid) than the PPI administered so that the Essential  
Buffer is capable of protecting the PPI.

Any weak base, strong base, or combination thereof may be a suitable Essential  
Buffer. Essential Buffers include, but are not limited to, electrolytes containing the cations  
sodium, potassium, calcium, magnesium or bismuth. In addition, amino acids, proteins or  
15 protein hydrolysates can serve as Essential Buffers owing to their ability to rapidly neutralize  
acid. When PPIs are mixed with the Essential Buffer, the PPIs may be in the free base form,  
such as omeprazole or lansoprazole; in the sodium salt form, such as esomeprazole sodium,  
omeprazole sodium, rabeprazole sodium, pantoprazole sodium, etc.; or in a magnesium salt  
form such as esomeprazole magnesium or omeprazole magnesium or calcium salt forms; or  
20 other salt forms. Essential Buffers provide the Essential Buffering Capacity either alone or in  
combination with Secondary Essential Buffers.

Tribasic sodium phosphate and sodium carbonate are examples of Secondary  
Essential Buffers for adjusting the  $\text{pH}$  of any Primary Essential Buffer. Secondary Essential  
Buffers may assist the Primary Essential Buffer in producing the desirable  $\text{pH}_E$  over the dwell  
25 time. Secondary Essential Buffers neutralize  $\text{HCl}$  (or other acids in the environment)  
similarly to the Primary Essential Buffers; however, they produce  $\text{pH}$  values too high to be  
used alone, as they would lead to gastrointestinal mucosal irritation. They are used to

- 5 increase the pH and provide additional buffering capacity in combination with a Primary Essential Buffer.

Secondary Essential Buffers do not play an important role in protecting the PPI from early acid-induced degradation. Because they do not work as rapidly, they do not play a major role in PPI protection through the dwell time. Other buffers ("Non-Essential Buffers")  
 10 can be added to the Primary and/or Secondary Essential Buffers to provide a latent antacid effect that extends beyond the antacid effect of Essential Buffers.

- Many additional buffers can be used, alone or in combination, to achieve an effective buffering capacity for PPIs or acid labile drugs. A desirable characteristic of buffers includes rapid neutralization of acid environments to greater than  $pK_a + 0.7$  for the drug being  
 15 considered.

Non-limiting examples of Primary and Secondary Essential Buffers are set forth in Tables 8 and 9 below.

**TABLE 8**  
**Examples of Primary Essential Buffers**

Essential Buffer	Solubility†	pH§	MW
Sodium bicarbonate	9.96 g/100 mL	8 – 8.4	84
Sodium sesquicarbonate	6.3 g/100 mL	9.9 – 10	174
Dibasic sodium phosphate	10 g/100 mL	8.6 – 9.3	142
Sodium tripolyphosphate	6 gm/100 mL	9.7 – 10	368
Tetrasodium pyrophosphate	5 g/100 mL	9.8 – 10.3	266
Sodium citrate	72 g/100 mL	5	294
Calcium citrate	10 mg/100 mL	6.8	498
Calcium carbonate	1.5 mg/100 mL	6.1 – 7.1	100
Magnesium oxide	0.62 mg/100 mL	9.5 – 10.5	40
Sodium gluconate	60 g/100 mL	6 – 8	218
Sodium lactate	40 g / 100 mL	7	112
Sodium acetate	119 g/100 mL	8.9	82
Dipotassium phosphate	150 g/100 mL	9.3	174

Tetrapotassium pyrophosphate	185 g/100 mL	10.4	330
Potassium bicarbonate	36 g/100 mL	8.2	100
Calcium lactate	6 g/100 mL	7	218
Calcium glycerophosphate	6 g/100 mL	7	210
Calcium gluconate	3 g/100 mL	7.4	430
Magnesium lactate	10 g/100 mL	5.5-7.5	269
Magnesium gluconate	16 g/100 mL	7.3	414

‡ solubility is altered by temperature

§ pH is altered by concentration and temperature

Note: hydrated and anhydrous forms are acceptable provided they meet the criteria of a Primary Essential Buffer.

TABLE 9

**Examples of Secondary Essential Buffers**

These buffers are too caustic to be used alone but are suitable for addition in low quantities to the Primary Essential Buffers from Table 8.

Essential Buffer	Solubility‡	pH§	MW
Sodium carbonate	45.5 g/100 mL	10.6 - 11.4	106
Potassium carbonate		11.5	138
Sodium phosphate (tribasic)	8 g/100 mL	10.7 - 12.1	163
Calcium hydroxide	185 mg/100 mL	12	74
Sodium hydroxide		11.4 - 13.2	40

‡ solubility is altered by temperature

§ pH is altered by concentration and temperature

Note: hydrated and anhydrous forms are acceptable provided they meet the criteria of a Secondary Essential Buffer.

Amino acids can also be employed as Primary or Secondary Essential Buffers, the doses of which may be calculated according to the following information.

TABLE 10

One Letter Symbol	Three Letter Symbol	Amino Acid	MW	pH	Solubility (g/100g H <sub>2</sub> O at 25°C)
A	Ala	Alanine	89	6	16.65
C	Cys	Cysteine	121	5.02	Very
D	Asp	Aspartic Acid	133	2.77	0.778
E	Glu	Glutamic Acid	147	3.22	0.864
F	Phe	Phenylalanine	165	5.48	2.965
G	Gly	Glycine	75	5.97	24.99
H	His	Histidine	155	7.47	4.19
I	Ile	Isoleucine	133	5.94	4.117
K	Lys	Lysine	146	9.59	Very
L	Leu	Leucine	131	5.98	2.426
M	Met	Methionine	149	5.74	3.381
N	Asn	Asparagine	132	5.41	3.53
P	Pro	Proline	115	6.30	162.3

Q	Gln	Glutamine	146	5.65	2.5
R	Arg	Arginine	174	11.15	15
S	Ser	Serine	105	5.68	5.023
T	Thr	Threonine	119	5.64	Very
V	Val	Valine	117	5.96	8.85
W	Trp	Tryptophan	204	5.89	1.136
Y	Tyr	Tyrosine	181	5.66	0.0453

5



## 5           References:

- IUPAC-IUB Commission on Biochemical Nomenclature (CBN), Rules for Naming Synthetic Modifications of Natural Peptides*, (1966); ARCH. BIOCHEM. BIOPHYS. 121: 6-8 (1967); BIOCHEM. J. 104: 17-19 (1967), corrected 135: 9 (1973); BIOCHEMISTRY 6: 362-364 (1967); BIOCHIM. BIOPHYS. ACTA 133: 1-5 (1967); BULL. SOC. CHIM. BIOL. 49: 325-330 (1967) (in French); EUR. J. BIOCHEM. 1: 379-381 (1967), corrected 45: 3 (1974); Hoppe-Seyler's, Z., PHYSIOL. CHEM. 348: 262-265 (1967) (in German); J. BIOL. CHEM. 242 555-557 (1967); MOL. BIOL. 2: 466-469 (1968) (in Russian); PURE APPL. CHEM. 31: 647-653 (1972); *IUPAC Commission on Nomenclature of Organic Chemistry (CNOC), Nomenclature of Organic Chemistry*, STEREOCHEM. REC. E: (1974), PURE APPL. CHEM. 45: 11-30 (1976). See also *Biochemical Nomenclature and Related Documents*, PORTLAND PRESS. 2: 1-18 (1992).

C.     **The Essential pH (pH<sub>E</sub>)**

Substituted benzimidazole PPIs are labile under acidic conditions. Orally administered PPIs must be protected from the strongly acidic conditions of the stomach, whether acidic from gastric acids or acids introduced through tube feeds or other sources. In general, the higher the pH of the gastric environment, the greater the stability of the PPI, and thus the more time it has to undergo absorption into the blood and reach and act upon the proton pumps of the gastric parietal cells.

As mentioned, the "Essential pH" is the lowest pH of the environment of interest needed to minimize or eliminate the acid-induced degradation of the PPI during the dwell time in the environment. It is generally expressed herein as pH range. Such pH is the pH of the environment in which the PPI/buffer formulation resides. For example, the environment may be a storage container or the stomach. The environment presents a set of conditions to the PPI/buffer, such as temperature, pH, and the presence or absence of water. The dwell

5 time is the time that the PPI dwells in a specific environment, i.e., the GI tract prior to its passage into a different environment, i.e. the bloodstream. The shelf-life is another example of a dwell time, in which case, the specific environment may be a container of dry, powdered formulation. As used herein, "Resultant pH" is the pH that is the result of adding a PPI/buffer formulation to an environment of interest. "Formulation pH" is the pH of the  
10 PPI/buffer formulation when it is in liquid form.

A PPI dose within its calculated  $pH_E$  range is designed to ensure sufficient PPI protection from acid degradation such that delivery to and action upon proton pumps occur. In one desirable embodiment, the  $pH_E$  is the sum of the  $pK_a$  of a given PPI plus about 0.7. The  $pK_a$  is defined as the pH at which 50% of a chemical is in the ionized form. When the  
15 pH of the environment equals the  $pK_a$  of the PPI, then 50% ionization (degradation) of the PPI occurs. However, by adding the factor of 0.7, this ionization is reduced to 90%.

The Stability Range Factor ("SRF") is the range of pH elevation in which the lower limit is the sum of the  $pK_a$  of a given PPI +0.7 log, and the upper limit is the pH at which elimination of acid degradation occurs without producing tissue irritation from extreme  
20 alkalinity. SRF is calculated based on the desirable shelf-life (or a dwell time), the environmental pH and the amount of acid expected to be encountered, along with a knowledge of the time of exposure expected after the drug is administered and before the drug reaches the blood (i.e., the dwell time).

The upper limit of the SRF is a function of the tolerability of the gastrointestinal  
25 mucosa to alkaline substances, which is determined by the Formulation pH and the concentration of alkaline material presented. For practical purposes,  $pH = 10.9$  delineates an upper limit of the SRF. It is acknowledged that the amount of buffer is an important aspect

5 of the tissue destructive potential of an alkaline substance. Therefore, the SRF for any given PPI begins at the sum of the  $pK_a$  of the PPI + 0.7, and extends upwards to a pH of about 10.9.

The Essential pH used with the SRF establishes a desirable range for the stability to the actions of  $H^+$  ion (or other acidic component) on the PPI/buffer formulation. Sufficient buffering capacity maintains an Essential pH as described below as "Essential Buffering

10 Capacity."

Examples of  $pH_E$  calculations with SRF for specific PPIs are as follows:

$pH_E$  of PPI =  $pK_a$  of PPI + 0.7.

SRF = the range:  $pH_E$  to 10.9.

SRF for omeprazole = ( $pK_a$  omeprazole + 0.7) to 10.9 = (3.9 + 0.7) = 4.6 to 10.9.

15 SRF for lansoprazole = ( $pK_a$  lansoprazole + 0.7) to 10.9 = (4.1 + 0.7) = 4.8 to 10.9.

SRF for rabeprazole = ( $pK_a$  rabeprazole + 0.7) to 10.9 = (4.9 + 0.7) = 5.6 to 10.9.

SRF for pantoprazole = ( $pK_a$  pantoprazole + 0.7) to 10.9 = (3 + 0.7) = 3.7 to 10.9.

In most instances, the lower end of each of the above ranges is increased by one pH unit to minimize, by a factor of 10, any local effects within the stomach that may produce  
20 areas of lower pH that might cause PPI degradation. A value of +1 log value is also supported by the observation that weak bases operate most efficiently at neutralizing acid beginning at +1 log value above the  $pK_a$ .

For example, one would expect to encounter about 100-150 ml of 0.11 to 0.16N HCl in the adult fasting stomach, which is equivalent to about 12-24 mEq of HCl. Therefore, an  
25 equal amount of base will neutralize this acid. If about 12-24 mEq of sodium bicarbonate is employed as the buffer, the resulting pH will be left at the  $pK_a$  of the conjugate acid of sodium bicarbonate (carbonic acid), which is about 6.14 or greater. This is greater than the lower limit of the  $pH_E$  for omeprazole of 4.6. Thus, administering 12-24 mEq of sodium

5 bicarbonate with omeprazole protects greater than 95% of the drug when encountering 12-24 mEq of HCl. Because sodium bicarbonate complexes with HCl at a rate that exceeds the rate of interaction of omeprazole, it is considered a suitable buffer.

It should be noted that depending on age and disease, the amount of acid to be encountered can be significantly more or less than the 12-24 mEq range, but is generally from  
10 about 4 mEq to about 30 mEq.

Using magnesium oxide or magnesium hydroxide in an amount of 12 to 24 mEq also provides sufficient neutralizing capacity leaving the pH at approximately 7 (lowered only slightly by the minimal hydrolysis of magnesium). However, magnesium hydroxide is not rapid in onset and care should be taken to ensure that early degradation of the PPI does not  
15 occur. Early degradation can be avoided by making a tablet comprising two layers: an inner layer of PPI and sodium bicarbonate, and an outer layer of magnesium hydroxide dried gel or magnesium oxide with suitable disintegrant such that the magnesium oxide would rapidly disintegrate in the stomach. Alternatively, the inner layer can contain the magnesium buffer and the outer layer has the PPI and sodium bicarbonate.

20 Additionally, micronization of the slower acting buffer can be used to enhance its ability to combine with acid. Calcium carbonate (and many other calcium buffers) is a similar slower acting (compared to sodium bicarbonate) but potent buffer. Therefore, if used, it would be best suited in an outer layer of a tablet formulation with the inner layer comprising a rapid acting buffer with PPI (or vice versa). Alternatively, mixtures of the  
25 buffers can be employed for the outer layer. If developing a liquid formulation or a powder for reconstitution, a mixture of a rapid acting buffer and slower acting buffer can be used (e.g., sodium bicarbonate and magnesium oxide, respectively).

5 Modifications to the formulations may entail adjusting the pH of products with basic or acidic chemicals, including but not limited to, chemicals described throughout this application. Modifications of buffer pH based on the  $pH_E$  may or may not be performed in specific instances, depending upon species, age, disease and other variations between patients.

#### 10 D. $pK_a$ and Solubility of PPIs

As mentioned above, the  $pK_a$  of a given PPI indicates inherent stability with respect to acid degradation; the lower the  $pK_a$ , the more stable the PPI. The solubility of the PPI will also dictate the rate at which the PPI complexes with, and is degraded by, acid. These two physicochemical characteristics ( $pK_a$  and solubility) of the PPI interact with the

15 physicochemical characteristics of the buffer(s) (pH, buffering capacity and rate of buffering action) in the presence of acid in the environment to determine the degradation of the PPI over time. The less soluble a PPI is in water, the lower the initial degradation when placed in an acidic environment. The following Table 11 elaborates on the time for 50% of drug to be degraded ( $t_{1/2}$ ),  $pK_a$  and solubility in water of several PPIs.

20

TABLE 11

<i><b><u>PH</u></b></i>	<i><b><u>Pantoprazole</u></b></i> <i><b><u>sodium</u></b></i>	<i><b><u>Omeprazole</u></b></i>	<i><b><u>Lansoprazole</u></b></i>	<i><b><u>Rabeprazole</u></b></i> <i><b><u>sodium</u></b></i>
1.2	4.6 min	2.8 min	2.0 min	1.3 min
5	2.8 hr	1.0 hr	1.1 hr	
5.1	4.7 hr	1.4 hr	1.5 hr	7.2 minutes
6	21 hr	7.3 hr	6.4 hr	
7	73 hr	39 hr	35 hr	
<i><b><u><math>pK_a</math></u></b></i>	3	3.9	4.1	4.9
<i><b><u>Solubility</u></b></i>	very soluble	slightly soluble	very slightly soluble	Very soluble

Kromer W, *et al.* Differences in pH-Dependent Activation Rates of Substituted Benzimidazoles and Biological in vitro Correlates, *PHARMACOLOGY* 1998; 56:57-70.

5           Although pantoprazole sodium, with a pKa of 3, is inherently more stable in an acidic environment than other PPIs, it is also very soluble in water and thus could undergo 50% degradation in an acidic stomach with a pH of 1.2 in less than 5 minutes. Therefore, it is important for the buffer(s) used with pantoprazole sodium to interact with H<sup>+</sup> ion (or other acidic substances) more rapidly than the pantoprazole sodium interacts with such acids and

10 maintain the rapid complexation through the dwell time; otherwise, additional dosing of buffer may be required. The overall pH of the gastric contents should be kept at least at the pKa + 0.7 (i.e., 3.7) from the time the PPI in solution comes into contact with the gastric acid continuing throughout the dwell time. Essential Buffers for liquid formulations of pantoprazole sodium include those buffers whose conjugate acids possess a pKa > 3.7 and

15 which are very soluble (e.g., potassium bicarbonate and sodium bicarbonate). Oral solid formulations likewise would require buffers whose conjugate acid possesses a pKa > 3.7 and rapid complexation potential. Most magnesium, calcium and aluminum salts are not suitable unless the pantoprazole sodium is placed (with or without additional buffer) in an inner portion of a tablet or capsule with such antacids, and surrounded by a rapid acting buffer with

20 a rapid disintegrant. Another formulation method for pantoprazole is to decrease its solubility such as by selecting a less soluble salt form or the non-salt form, pantoprazole.

          Rabeprazole sodium is also very soluble in water and could undergo 50% degradation in an acidic stomach with a pH of 1.2 in less than 1.5 minutes. It is not very stable to acid degradation due to its higher pKa of 4.9. A suitable buffer(s) for rabeprazole sodium

25 interacts with H<sup>+</sup> ion (or other acidic substances) more rapidly than the rabeprazole sodium interacts with such acids to prevent early degradation, and should possess high neutralizing capacity to enable rabeprazole to survive through the dwell time. Sodium or potassium bicarbonate would be good choices in this instance.

5 Another option for rabeprazole sodium (as well as any sodium salt of a proton pump inhibitor, which would tend to be more soluble than the base form) is to reduce the solubility of rabeprazole sodium when in aqueous form such as using a less soluble salt form or using the non-salt form. This decreases early degradation because the rabeprazole must first undergo dissolution in water before it is degraded by acid. In this embodiment, the suitable  
10 buffer(s) for rabeprazole sodium should possess high neutralizing capacity to enable rabeprazole to survive through the dwell time.

For PPIs that possess high  $pK_a$ 's, such as rabeprazole sodium, a two-part liquid formulation can be utilized. The liquid part has the PPI and a high pH, but a low mEq buffering capacity. The liquid part is added to a second part that possesses a lower pH but a  
15 higher mEq buffering capacity. When these two parts are added together just prior to administration, a formulation with a lower pH and a higher buffering capacity is produced which will neutralize stomach acid but not be too caustic to tissues. Examples of such formulations are provided below.

For highly soluble PPIs, the formulation may be produced in a solid dosage form such  
20 as a tablet, capsule or powder with a buffer(s), which disintegrate and reach solution at a rate that exceeds the PPI and thereby provides the Essential pH for protection of the PPI prior to its dissolution and interaction with the acid in the environment. Further, the tablet or capsule may be formulated to possess an outer portion of buffer and an inner portion comprising PPI, or a blend of PPI and buffer. Additional methods include formulating the buffer in a smaller  
25 particle size (e.g., micronized) and the PPI in a larger particle size. This results in the disintegration of the buffer component prior to disintegration of the PPI component. All of these methods of formulation aim to create an environment of stability for the PPI during the dwell time.

5           The dosage form may affect the suitability of a buffer for use in a formulation. For example, magnesium oxide is a buffer with high buffering capacity but slow onset when formulated as a tablet. However, when formulated as a powder, or a tablet of low compression, or with tablet disintegrants such as pregelatinized starch, it disintegrates more rapidly.

10           Omeprazole base is only slightly soluble in water and, as such, less of the drug is subject to early and continued degradation. The soluble portion of omeprazole is vulnerable to early degradation in the gastric environment. Dissolution of the remaining insoluble portion is expected to occur within minutes of encountering the water of the gastric secretions. This dissolution time provides some protection against early degradation  
15 provided that relatively low volumes of water are used during delivery or in the product formulation. After several minutes in the gastric environment, upon complete dissolution, omeprazole could undergo 50% degradation in less than 3 minutes. Omeprazole is moderately stable owing to its pKa of 3.9. A suitable buffer(s) for omeprazole is rapid acting and possesses at least moderate neutralizing capacity to enable omeprazole to survive through  
20 the dwell time.

As used herein, "rapid acting" in the context of a buffer means a buffer that raises the pH of the environment to greater than or equal to the  $pH_E$  of a particular PPI in a time sufficient to prevent significant degradation of the PPI. In one embodiment, the rapid acting buffer raises the pH to at least the  $pK_a$  of the PPI plus 0.7 log value within 10 minutes.

25           Preferred buffer(s) produce an environment where the Resultant pH of the environment is equal to or greater than the Essential pH such that: (1) the onset of pH change to equal to or greater than the  $pH_E + 0.7$  begins before the acid-induced degradation of the PPI occurs, and (2) the Resultant pH at or greater than the  $pH_E + 0.7$  lasts throughout the



5 dwell time, which is typically a minimum of 30 minutes in the case of gastric emptying for an adult. It is desirable that the buffer be rapid acting to minimize early acid-induced degradation. The most rapid acting buffers are water soluble (or soluble in the environment). High solubility, however, is not an absolute necessity as magnesium oxide and calcium carbonate, both only slightly soluble, are capable of significant complexation with gastric  
10 acid albeit at a slower rate. If a dry formulation is used, such as a tablet, the particle size of the buffer(s) can be reduced to enhance the dissolution rate while the particle size of the PPI can be increased. Disintegrants can be added to enhance the availability of poorly soluble buffers.

Lansoprazole base is very slightly soluble in water and, as such, less of the drug is  
15 subject to early degradation. The soluble portion is vulnerable to early degradation. Dissolution of the remaining insoluble portion is expected to occur within several minutes of encountering the water of the gastric secretions. This dissolution time provides some protection against early degradation provided that relatively low volumes of water are used for delivery or in the product formulation. After several minutes, upon complete dissolution,  
20 lansoprazole could undergo 50% degradation in 2 minutes. Lansoprazole is moderately stable owing to its pKa of 4.1. A suitable buffer(s) for lansoprazole should be rapid acting, and should possess moderate to high neutralizing capacity to enable lansoprazole to survive through the dwell time. The pH of the gastric contents (or other environment) should be kept at greater than about 4.8 from the time the PPI in solution comes into contact with the gastric  
25 acid continuing throughout the dwell time.

5           **E.       Calculating the Acid Neutralizing Capacity of Buffers**

The acid neutralizing capacity ("ANC") of soluble buffers may be used to assist in selecting a preferred amount of buffer(s) needed to provide the EBC. The ANC uses both the formula weight (FWt.) and the valence to determine buffering capacity.

An example of an ANC calculation for sodium bicarbonate is as follows:

10           Sodium Bicarbonate,  $\text{Na}^+\text{HCO}_3^-$ , FWt.=84, valence=1. The  
conversion equation from equivalent weight to grams is:

$$(Equivalent\ Weight\ ("EW"))(1/1000mmol)(1mmol/1mEq) =$$

15           grams of  $\text{NaHCO}_3$

$$EW=(FWt.)/(valence) = 84/1 = 84\ g/mol.$$

$$(84g/mol)(1mol/1000mmol)(1mmol/1mEq)(4mEq)=0.34g$$

$\text{NaHCO}_3$  needed for 4mEq of buffering capacity.

20           Accordingly, for 10mEq, one needs 0.840 g  $\text{NaHCO}_3$ , and for 30 mEq, 2.52 gm is required. The range of 4-30m Eq is used because that is the range of mEq of acid to be encountered in most patients.

The ANCs of other buffers are similarly calculated. ANC determinations are from Drake and Hollander, *Neutralizing Capacity And Cost Effectiveness Of Antacids*, ANN  
25   INTERN. MED. 109:215-17 (1981). Generally, the formulations of the present invention need about 4 to about 30 mEq of buffering capacity although higher amounts could be used in some patients.

Sodium bicarbonate in solution possesses a  $\text{pH} > \text{pH}_E$  of omeprazole and rapidly neutralizes acidic environments. As stated above, rapid complexation with  $\text{HCl}$  is a  
30   desirable characteristic of an Essential Buffer. Ideally, but not necessarily required as indicated in formulations that contain a tablet in a tablet, the Essential Buffer complexes with the acid at a faster rate than the PPI it is intended to protect.

5 In selecting Essential Buffers, a knowledge of buffering capacity is also useful since they possess differing pHs at various concentrations. The magnitude of the resistance of a buffer to pH changes is referred to as buffer capacity (Beta). It has been defined by Koppel, Spiro and Van Slyke as the ratio of the increment of strong acid (or base) to the change in pH brought about by addition of acid. The following formula is used to measure buffer capacity:

10 Buffer capacity = the increment (in gram equivalents per liter) of strong acid added to the buffer solution to produce a pH change (change as measured in absolute terms), or buffer capacity = change in acid/change in pH. Improvements in the formula have been made to improve the precision, and these form the basis for mathematical comparison of buffers for consideration. See Koppel, BioChem, Z. (65) 409-439 (1914), Van Slyke, J. BIOL. CHEM.  
15 52:525 (1922).

When the PPI/buffer formulation is placed in the environment, the PPI is subject to degradation by the acid in that environment. As depicted in Figure 9, PPI solubility, the pKa of the PPI, and the amount and concentration of acid ( $H^+$  ion) encountered in the environment are variables that can be used to determine the appropriate candidate as an  
20 Essential Buffer. Early degradation occurs when the soluble portion of the PPI (that portion available for immediate interaction with  $H^+$  ion) undergoes hydrolysis by  $H^+$  ion. PPIs differ in their solubility and, therefore, those that are more soluble have a potential for a higher portion of PPI degraded by early interaction with  $H^+$  ion. The pKa of the PPI and the pH of the environment of the stomach (or other site of interest) after addition of the PPI/buffer  
25 formulation (Resultant pH) can be used to determine the desirable Essential Buffer. By measuring the Resultant pH over time, the pH data versus time can be plotted as seen in Figure 9. The graph of pH over time can then be used to evaluate various buffers.

5           Such a graph can be developed for a potential buffer or buffer combination using the Rossett-Rice test (Rosset NE, Marion L: *An In Vitro Evaluation Of The Efficacy Of The More Frequently Used Antacids With Particular Attention To Tablets*. ANTACIDS 26: 490-95 (1954), modified with continual addition of simulated gastric fluid. See USP XXIII, The United States Pharmacopeia, 23<sup>rd</sup> Revision, United States Pharmacopeia Convention, Inc.

10       Briefly, the test employs 150 mL of simulated gastric fluid consisting of 2 Gm of sodium chloride and 3.2 Gm of pepsin, which are dissolved in 7 mL of 1N HCl, q.s. to 1000 mL with distilled water. The pH of the simulated gastric fluid is 1.2. A container of 150 mL of this fluid is stirred at 300 rpm  $\pm$  30 rpm with a magnetic stirrer and kept at 37.1° C. A pH electrode is kept in the upper region of the solution. The test buffer or the subject

15       formulation is added to the container to start the evaluation. At 10 minutes, a continuous drip of simulated gastric fluid is added to the test container at a rate of 1.6 mL/min to simulate gastric secretion. Approximately 1.6 mL/min is removed from the test container to keep the volume in the test container constant. The evaluation continues for at least 90 minutes.

          This methodology allows for a dynamic evaluation of buffering capacity in a model

20       designed to mimic a fasting human stomach. It has been described in part for use in evaluating antacids by Beneyto JE, et. al., *Evaluation of a New Antacid, Almagate*, ARZNEIM-FORSCH/DRUG RES 1984; 34 (10A):1350-4; Kerkhof NJ, et al, *pH-Stat Titration of Aluminum Hydroxide Gel*, J. PHARM. SCI. 1977; 66: 1528-32.

          Using this method, a pH tracing can be developed for evaluating buffers as well as

25       finished products. In addition, a sample of the test solution can be taken during the experiment to evaluate the extent of PPI degradation at various times. Those buffers with a suitable profile as exemplified in Figure 9 able to maintain pH greater than or equal to pH<sub>E</sub>

5 for 30 minutes or greater, can be considered suitable Essential Buffers. In one embodiment, as depicted in Figure 9, the pH was recorded over 10 second intervals.

A number of buffers may be applicable for use as Essential Buffers. Therefore, once an Essential Buffer is chosen, the amount necessary to provide the EBC is calculated. As used herein, the EBC is the buffering capacity, or amount of alkaline buffer, included in the  
10 dose and calculated to maintain the Essential pH range and thereby protect any substituted benzimidazole PPI in the gastric (or other) environment. In patients requiring continuing PPI administration (e.g. daily), more buffering capacity may be necessary with the first dose or first few doses than with subsequent doses because the PPI may encounter more acid with the initial doses. Subsequent doses will require less buffering capacity because the initial PPI  
15 doses will have reduced gastric acid production. The EBC could therefore be reduced in subsequent doses. The product's buffering capacity may be formulated as desired, for instance with respect to patient age, gender or species.

Experimental data from adult human subjects showed an effective EBC range of a first dose of omeprazole to be about 4 to about 20 mEq ("EBC-O range") of sodium  
20 bicarbonate, with a range of about 12 to about 25 mEq suitable in most instances. Subsequent doses of omeprazole require less EBC, with a range of about 4 to 15 mEq sodium bicarbonate. In one embodiment, this latter EBC range proved optimal for an omeprazole suspension administered to patients with varying degrees of gastrointestinal transit and acid output, based on a knowledge of basal and maximal acid outputs of 2 and 25 mEq/hour,  
25 respectively. These studies have been reported in Phillips J.O. et al., CRIT. CARE MED. 1996; Lasky et al., J. TRAUMA 1998.

Based on the EBC-O range, the above ANC calculation can be employed. Additionally, it is expected to encounter about 100-150 mL of 0.1 N HCl (equating to about

5 12-24 mEq of acid) in a fasting stomach. Variations in the acid encountered in the environment will affect the Essential Buffering Capacity required. The above EBC ranges relate to adult patients. Children, however, produce less acid per unit time in comparison to adults. Therefore, depending on the patient population, the amount of Essential Buffering Capacity required may be altered.

10 Numerous references are available to assist the skilled artisan in identifying a suitable buffer companion with a PPI to determine the desirable characteristics stated herein. *See, e.g.,* Holbert, et. al., *A Study of Antacid Buffers: I. The Time Factor in Neutralization of Gastric Acidity*, J. AMER. PHARM. ASSN. 36: 149-51 (1947); Lin, et. al., *Evaluation of Buffering Capacity and Acid Neutralizing pH Time Profile of Antacids*, J. FORMOSA MED. ASSN. 97 (10) 704-710 (1998); Physical Pharmacy, pp 169-189; Remington: The Science and Practice of Pharmacy (2000).

#### F. The Desirable Volume

The Desirable Volume ("DV") of a PPI dose may affect PPI delivery to and action upon parietal cell proton pumps. The DV of a dose is partly based on the EBC. For liquid formulations, a desirable volume should deliver sufficient buffer to act as an antacid to neutralize a substantial amount of gastric or other acids. For solid formulations such as tablets, a nominal amount of water or other fluid will be consumed to aid in swallowing the tablet. Liquid preparations of the present invention use volumes as small as about 2 ml or in excess of about 60 ml. Volumes smaller than 2 ml and larger than 60 ml are contemplated, and may be used as desired to suit individual patients, such as those of advanced or very young age or of different species. Very large volumes may lead to higher amounts of less soluble PPIs (e.g., omeprazole, lansoprazole base forms) going into solution, which could result in vulnerability to early degradation.

5 For instance, volumes smaller than about 2 ml may be used in newborns or premature infants, or in small animals, because of their smaller stomach size. Also, a large DV may be required for doses formulated with dilute buffer concentrations, to achieve the EBC. The relationship between the EBC and DV is in part shown below:

If  $EBC(mg \text{ buffer}) = \text{Buffer conc.}(mg/ml) \times DV(ml)$ ,

10 then  $DV(ml) = EBC(mg) / \text{Buffer conc.}(mg/ml)$ .

Alternatively, mEq can be substituted for mg in the formula.

#### **G. Secondary Components of the Formulations**

Secondary components are not required but may be used to enhance the pharmacological action or as pharmaceutical aids. Secondary components may include, but  
15 are not limited to, parietal cell activators and other ingredients. Parietal cell activators, as discussed above, are compounds that produce an increase in proton pump activity such that proton pumps are relocated from storage sites of the parietal cell, i.e. tubulovesicles, to the site of  $H^+$ ,  $K^+$  exchange at the secretory canaliculus. A parietal cell activator may also serve other functions. For example, sodium bicarbonate is an Essential Buffer as well as a parietal  
20 cell activator, chocolate is a parietal cell activator and a flavoring agent, and aspartame, which contains phenylalanine, is a sweetener as well as a parietal cell activator.

Parietal cell activators can be divided into four groups: 1) rapid acting buffers that are weak bases, strong bases or combinations thereof that also produce a rapid onset of effect (the pH drops rather suddenly after the buffer is exhausted; these buffers typically cause the pH of  
25 the stomach to rise to above 5); 2) amino acids, protein hydrolysates and proteins; 3) calcium containing compounds such as calcium chloride or calcium carbonate; and 4) compositions such as coffee, cocoa, caffeine and peppermint.

5           The other ingredients comprise components of a formulation that are secondary to the primary components. Other ingredients include, but are not limited to, thickening agents, flavoring agents, sweeteners, antifoaming agents (such as simethicone), preservatives, antibacterial or antimicrobials agents (such as cefazolin, amoxicillin, sulfamethoxazole, sulfisoxazole, erythromycin and other macrolides such as clarithromycin or azithromycin),  
10   and Secondary Essential Buffers.

          Desirable flavoring agents may be added to the dosage forms, and may or may not need to be buffered to the  $pH_E$ . Flavoring agents with pH values inherently suitable to the range of  $pH_E$  values of PPIs include, but are not limited to, apple, caramel, meat, chocolate, root beer, maple, cherry, coffee, mint, licorice, nut, butter, butterscotch, and peanut butter  
15   flavorings, used alone or in any combination. Similarly, all substances included in the formulation of any PPI product, including but not limited to, activators, antifoaming agents, potentiators, antioxidants, antimicrobial agents, chelators, sweeteners, thickeners, preservatives, or other additives or substances may be buffered to the  $pH_E$ .

#### **H.    Examples Utilizing the Calculations**

20           The  $pH_E$ , the EBC, and the DV of a PPI dose may affect PPI delivery to, and action upon, parietal cell proton pumps. The following calculations tailor an Essential Buffer dose for any substituted benzimidazole PPI to promote PPI efficacy in an oral administration.

**Example 1:** To deliver a 20 mg dose of omeprazole ( $pK_a = 3.9$ ) in sodium bicarbonate:

25           Step 1: The  $pH_E$  of omeprazole =  $pK_a$  of omeprazole + 0.7 = 4.6. The SRF of omeprazole =  $pH_E$  to 10.9 = 4.6 to 10.9. At a Formulation pH of 4.6 to 10.9, the conjugate base of sodium bicarbonate (carbonic acid) has a  $pK_a$  of 6.14. Therefore, an amount of sodium bicarbonate equivalent to the amount of acid to be encountered would produce a pH



- 5 of 6.14, which is within the SRF of 4.6 to 10.9. Sodium bicarbonate would make a suitable choice as a buffer.

Step 2: The EBC = 4 to 30 mEq buffering capacity equivalent.

Step 3: To determine the amount of sodium bicarbonate to administer with the omeprazole, the ANC for sodium bicarbonate is calculated. The ANC for sodium

- 10 bicarbonate ( $MW=84$  for 4 – 30 mEq) =  $(EW)(1/1000mmol)(1mmol/1mEq)(EBC)$

$$EW = MW/(\text{valence}) = 84/1 = 84 \text{ g/mol}$$

$$(84 \text{ g/mol})(1\text{mol}/1000\text{mmol})(1\text{mmol}/1\text{mEq})(4 \text{ to } 30 \text{ mEq}) = 0.34 \text{ g to } 2.52 \text{ g}$$

Step 4: For liquid formulations, if the DV = 20 ml, then DV = Essential Buffer (EB) (mg)/Buffer conc. (mg/ml)

- 15 Buffer conc. =  $EB/DV = 340 \text{ mg to } 2520 \text{ mg}/20 \text{ ml} = 17 \text{ mg/ml to } 126 \text{ mg/ml}$ .

Therefore, for 20 mg of omeprazole to be adequately buffered in 20 ml of solution, the concentration of sodium bicarbonate should be 17 to 126 mg/ml.

**Example 2:** To deliver a 20 mg dose of omeprazole ( $pK_a = 3.9$ ) in dibasic sodium phosphate:

- 20 Step 1: The  $pH_E$  of omeprazole =  $pK_a$  of omeprazole + 0.7. The SRF of omeprazole =  $(3.9 + 0.7)$  to 10.9 = 4.6 to 10.9.

Step 2: The EBC = 4 to 30 mEq buffering capacity equivalent.

Step 3: To determine the amount of dibasic sodium phosphate to administer with the omeprazole, the ANC for dibasic sodium phosphate is calculated. The ANC for dibasic

- 25 sodium phosphate ( $MW=142$ ) =  $(EW)(1/1000mmol)(1mmol/1mEq)(EBC)$ .

$$EW = MW/(\text{valence}) = 142/2 = 71 \text{ g/mol}$$

$$(71 \text{ g/mol})(1\text{mol}/1000\text{mmol})(1\text{mmol}/1\text{mEq})(4 \text{ to } 30 \text{ mEq}) = 0.28 \text{ g to } 2.13 \text{ g}$$

5        Step 4: For liquid formulations, if the DV = 20 ml, then  $DV = EB \text{ (mg)}/\text{Buffer conc.}$   
 (mg/ml)

Buffer conc. =  $EB/DV = 280 \text{ mg to } 2130 \text{ mg}/20 \text{ ml} = 14 \text{ mg/ml to } 107 \text{ mg/ml}$ .

Therefore, for 20 mg of omeprazole to be adequately buffered in 20 ml of solution,  
 the concentration of dibasic sodium phosphate should be 14 to 107 mg/ml. The pKa of  
 10    disodium phosphate is 7.21. Therefore, an amount of disodium phosphate equivalent to the  
 amount of acid to be encountered would produce a pH of approximately 7.2. Thus, disodium  
 phosphate would make a suitable choice as a buffer.

**Example 3:** To deliver a 30 mg dose of lansoprazole ( $pK_a = 4.1$ ) in sodium  
 bicarbonate:

15        Step 1: The  $pH_E$  of lansoprazole =  $pK_a$  of lansoprazole + 0.7. The SRF of  
 lansoprazole =  $(4.1 + 0.7)$  to  $10.9 = 4.8$  to  $10.9$ .

Step 2: The EBC = 4 – 30 mEq buffering capacity equivalent.

Step 3: To determine the amount of sodium bicarbonate to administer with the  
 lansoprazole, the ANC for sodium bicarbonate is calculated. The ANC for sodium  
 20    bicarbonate ( $MW=84$ ) =  $(EW)(1/1000\text{mmol})(1\text{mmol}/1\text{mEq})(EBC)$

$EW = MW/\text{valence} = 84/1 \text{ g/mol}$

$(84\text{g/mol})(1\text{mol}/1000\text{mmol})(1\text{mmol}/1\text{mEq})(4 \text{ to } 30 \text{ mEq})=0.34 \text{ g to } 2.52 \text{ g}$

Step 4: For liquid formulations, if the DV = 20 ml, then  $DV = EB \text{ (mg)}/\text{Buffer conc.}$   
 (mg/ml)

25        Buffer conc. =  $EB/DV = 340 \text{ mg to } 2520 \text{ mg}/20 \text{ ml} = 17 \text{ mg/ml to } 126 \text{ mg/ml}$ .

Therefore, for 30 mg of lansoprazole to be adequately buffered in 20 ml of solution,  
 the concentration of sodium bicarbonate should be about 17 to about 126 mg/ml.

**Example 4:** To deliver a 40 mg dose of pantoprazole ( $pK_a = 3$ ) in sodium  
 bicarbonate:

5        Step 1: The  $\text{pH}_E$  of pantoprazole =  $\text{pK}_a$  of pantoprazole + 0.7. The SRF of pantoprazole =  $(3 + 0.7)$  to  $10.9 = 3.7$  to  $10.9$ .

Step 2: The EBC =  $4 - 30$  mEq buffering capacity equivalent.

Step 3: To determine the amount of sodium bicarbonate to administer with the pantoprazole, the ANC for sodium bicarbonate is calculated. The ANC for sodium

10 bicarbonate ( $\text{MW}=84$ ) =  $(\text{EW})(1/1000\text{mmol})(1\text{mmol}/1\text{mEq})(\text{EBC})$

$$\text{EW} = \text{MW}/(\text{valence}) = 84/1 \text{ g/mol}$$

$$(84 \text{ g/mol})(1\text{mol}/1000\text{mmol})(1\text{mmol}/1\text{mEq})(4 \text{ to } 30 \text{ mEq}) = 0.34 \text{ g to } 2.52 \text{ g}$$

Step 4: For liquid formulations, if the DV = 20 ml, then  $\text{DV} = \text{EB} (\text{mg})/\text{Buffer conc.}$   
(mg/ml)

15        Buffer conc. =  $\text{EB}/\text{DV} = 340 \text{ mg to } 2520 \text{ mg}/20 \text{ ml} = 17 \text{ mg/ml to } 126 \text{ mg/ml}$ .

      Therefore, for 40 mg of pantoprazole to be adequately buffered in 20 ml, the concentration of sodium bicarbonate should be about 17 to 126 mg/ml.

**Example 5:** To deliver a 20 mg dose of rabeprazole ( $\text{pK}_a = 5$ ) in sodium phosphate dibasic:

20        Step 1: The  $\text{pH}_E$  of rabeprazole =  $\text{pK}_a$  of rabeprazole + 0.7. The SRF of rabeprazole =  $4.9 + 0.7$  to  $10.9 = 5.6$  to  $10.9$ .

Step 2: The EBC =  $4 - 30$  mEq buffering capacity equivalent.

Step 3: Therefore, to determine the amount of sodium phosphate dibasic to administer with the rabeprazole, the ANC for potassium sodium dibasic is calculated. The

25        ANC for sodium phosphate dibasic (duohydrate) ( $\text{MW}=174$ ) =

$$(\text{EW})(1/1000\text{mmol})(1\text{mmol}/1\text{mEq})(\text{EBC})$$

$$\text{EW} = \text{MW}/\text{valence} = 178/1 \text{ g/mol}$$

- 5 (178g/mol)(1mol/1000mmol)(1mmol/1mEq)(4 to 20 mEq)=0.712 g to 5.34 g sodium phosphate dibasic.

Step 4: For liquid formulations, if the DV = 20 ml, then DV = EB (mg)/Buffer conc. (mg/ml).

- Buffer conc. = EB/DV = 0.712 g to 2 g/20 ml = 35.6 mg/ml to 100 mg/ml. In this case, the solubility of disodium phosphate would limit the amount that could be dissolved in 20 mL. Obviously, this would exceed the solubility of disodium phosphate (sodium phosphate dibasic). Therefore, for 20 mg of rabeprazole to be adequately buffered in 20 ml of solution, the concentration of sodium phosphate dibasic should be about 35.6 mg/ml to 100 mg/ml at a pH range of about 6.9 to 10.9. The pKa of disodium phosphate is 7.21. Thus, an amount of disodium phosphate equivalent to the amount of acid to be encountered would produce a pH of approximately 7.2. Accordingly, disodium phosphate would make a suitable choice as a buffer.

- It should be noted that the suitability of buffers relates to their use immediately after mixing. In order to enhance the shelf-life, higher pH values would be anticipated within the range of acceptable pH<sub>E</sub> for a given PPI. As an example, rabeprazole suspensions containing various buffers were evaluated for color change because degradation of PPIs results in a color change to brown or black. All buffer suspensions started out white in color. After 2 weeks the following observations were made:

20 mg Rabeprazole in Various Buffers Stored Under Refrigerated Conditions As Suspensions			
Buffer	Original Color	Color 14 days	pH at 14 days
Sodium bicarbonate 800mg/10mL	white	brown	8.3
Disodium phosphate 800mg/10mL	white	white	10.3
Disodium phosphate 700mg;	white	white	10.5

Trisodium phosphate 100mg/10mL			
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5 Similar calculations may be performed for any substituted benzimidazole PPI and appropriate buffer(s) including, but not limited to, those exemplified above. One skilled in the art will appreciate that the order of the above steps is not critical to the invention. The above calculations may be used for formulations comprising one or more PPI and one or  
10 more buffers.

### **I. Veterinary Formulations**

Horses produce stomach acid continuously throughout the day. It is the basal acid secretion from the stomach in the absence of feeding that is responsible for the erosion of the squamous mucosa in the stomach and ulcers. Horses on pasture normally secrete a  
15 continuous supply of saliva, which buffers the stomach acid. When horses are being ridden regularly, trained for shows or prepared for sales, they are usually kept in stalls much of the day. Under these conditions, the natural salivary buffering mechanism is disrupted and acid indigestion often results.

Almost 40 to about 100 mEq of buffer capacity should provide approximately 2.5  
20 hours of neutralization for a horse. The usual dose of omeprazole ranges from 0.7 to 1.5 mg/kg/day (doses up to 4 mg/kg/day may be required) and a typical weight for a horse is 500 kg. Similar dosages are expected for rabeprazole and lansoprazole.

Dogs can also suffer from ulcers and their dosage is approximately 1 mg/kg/day. The following formulations are designed for use in horses but smaller amounts can be used in  
25 dogs with an EBC of 10 to 20 mEq.

5

<b>Formulation 5: Veterinary Formulation of Omeprazole</b>	
This formulation is particularly well suited for animals rather than humans because the dose of PPI is high. EBC = 75 mEq	
Essential pH (omeprazole $pK_a=3.9 + 0.7 \geq 4.6$ )	
<b>PPI:</b> Omeprazole powder	500 mg (a range of 350 to 700mg)
<b>Primary Essential Buffer(s):</b>	
Sodium bicarbonate	5 g (59.5 mEq)
Dibasic sodium phosphate (anhydrous)	2 g (14 mEq)
<b>Optional Secondary Essential Buffer(s):</b>	
Tribasic sodium phosphate	200 mg. (1.2mEq)

(\* Any Secondary Essential Buffer(s) may be added in higher or lower amounts to adjust pH for desired stability and additive antacid or buffering effect.)

Powders of the above compounds are combined as is known in the art to create a

- 10 homogenous mixture with the addition of a thickener such as guar gum 350 mg, artificial maple flavor powder 100 mg, thaumatin powder 10 mg (to mask the bitterness of omeprazole), and sucrose 25 Gm. Q.s. to 100 mL with distilled water to achieve a final omeprazole concentration of 5 mg/mL. Different volumes of water may be added to achieve omeprazole concentrations ranging from about 0.8 to about 20 mg/mL.

- 15 Alternatively, this formulation may be divided into two parts. The dry part may be reconstituted with the liquid part at the time of use.

<b>Formulation 6: Veterinary Formulation of Lansoprazole</b>	
Essential pH (lansoprazole $pK_a=4.1 + 0.7 \geq 4.8$ ) EBC = 71.4 mEq	
<b>PPI:</b> Lansoprazole powder	750 mg
<b>Primary Essential Buffer(s):</b>	
Sodium bicarbonate	6 g (71.4 mEq)

(\* Any Secondary Essential Buffer(s) may be added in higher or lower amounts to adjust pH for desired stability and additive antacid or buffering effect.)

- 20 Powders of the above compounds are combined as is known in the art to create a homogenous mixture with the addition of a thickener such as xanthan gum 300 mg, artificial peanut butter flavor powder 100 mg, and sucrose 35 Gm. Q.s. to 100 mL with distilled water to achieve a final lansoprazole concentration of 7.5 mg/mL. The suspension should be

- 5 refrigerated after reconstitution. Different volumes of water may be added to achieve lansoprazole concentrations ranging from 0.8 to 20 mg/mL.

Alternatively, this formulation may be divided into two parts. The dry part may be reconstituted with the liquid part at the time of use.

<b>Formulation 7: Veterinary Formulation of Lansoprazole</b>	
Essential pH (lansoprazole pKa = $4.1 + 0.7 \geq 4.8$ )	
EBC = 63.3 mEq	
<b>PPI:</b>	
Lansoprazole powder	750 mg
<b>Primary Essential Buffer(s)</b>	
Sodium bicarbonate	5 g (59.5 mEq)
<b>Secondary Essential Buffer(s):</b>	
Sodium carbonate	400 mg* (3.8 mEq)

- 10 (\* Any Secondary Essential Buffer(s) may be added to adjust pH for desired stability and additive antacid or buffering effect.)

- Powders of the above compounds are combined as is known in the art to create a homogenous mixture with the addition of a thickener such as hydroxypropyl methyl cellulose 300 mg, artificial maple flavor 100 mg, and sucrose 35 Gm. Q.s. to 100 mL with distilled
- 15 water to achieve a final lansoprazole concentration of 7.5 mg/mL. Different volumes of water may be added to achieve lansoprazole concentrations ranging from 0.3 to 20 mg/mL.

Alternatively, this formulation may be divided into two parts. The dry part may be reconstituted with the liquid part at the time of use.

5

<b>Formulation 8: Veterinary Formulation of Esomeprazole Magnesium</b>	
Essential pH (esomeprazole $pK_a = 3.9 + 0.7 \geq 4.6$ )	
EBC = 53.2 mEq	
<b>PPI:</b>	
Esomeprazole magnesium powder	500 mg
<b>Primary Essential Buffer(s):</b>	
Sodium bicarbonate	5 g (47.6 mEq)
Dibasic sodium phosphate	800 mg (5.6 mEq)

(\* Any Secondary Essential Buffer(s) may be added in higher or lower amounts to adjust pH for desired stability and additive antacid or buffering capacity.)

Powders of the above compounds are combined as is known in the art to create a

- 10 homogenous mixture with the addition of a thickener such as hydroxypropyl cellulose 300 mg, artificial butterscotch flavor 100 mg, thaumatin powder 5 mg, and sucrose 30 Gm. Q.s. to 100 mL with distilled water to achieve a final esomeprazole concentration of 7.5 mg/mL. Different volumes of water may be added to achieve esomeprazole concentrations ranging from 0.8 to 20 mg/mL.

<b>Formulation 9: Veterinary Formulation of Pantoprazole Sodium or Pantoprazole Base Powder</b>	
Essential pH (pantoprazole sodium $pK_a = 3 + 0.7 \geq 3.7$ )	
EBC = 53.8 mEq	
Pantoprazole sodium or pantoprazole powder	1000 mg
<b>Primary Essential Buffer(s):</b>	
Sodium bicarbonate	4 g (47.6 mEq)
<b>Secondary Essential Buffer(s):</b>	
Trisodium phosphate	1000 mg* (6.2 mEq)

15 (\* Any Secondary Essential Buffer(s) may be added in higher or lower amounts to adjust pH for desired stability and additive antacid or buffering capacity.)

Powders of the above compounds are combined as is known in the art to create a homogenous mixture with the addition of a thickener such as hydroxypropyl cellulose 300



- 5 mg, artificial butterscotch flavor 100 mg, thaumatin powder 5 mg, and sucrose 30 Gm. Q.s. to 100 mL with distilled water to achieve a final pantoprazole concentration of 10 mg/mL. Different volumes of water may be added to achieve esomeprazole concentrations ranging from 0.2 to 20 mg/mL.

<b>Formulation 10: Veterinary Formulation: Buffer Base Without PPI</b> EBC = 71.4 mEq	
<b>Primary Essential Buffer:</b>	
Sodium bicarbonate	6 g 71.4 mEq
<b>Optional Secondary Essential Buffer:</b>	
Tribasic sodium phosphate	1000 mg*

- 10 (\* Any Secondary Essential Buffer may be added in higher or lower amounts to adjust pH for desired stability and additive antacid or buffering capacity.)

- Powders of the above compounds are combined as is known in the art to create a homogenous mixture with the addition of a thickener such as hydroxypropyl cellulose 300 mg, artificial butterscotch flavor 100 mg, thaumatin powder 5 mg, and sucrose 30 Gm. Q.s. to 100 mL with distilled water. A PPI or other acid-labile drug may be added by the compounding pharmacist selected from available PPIs or acid-labile drugs from powder or enteric-coated oral solid dosage forms. Different volumes of water may be added to achieve PPI concentrations ranging from 0.8 to 20 mg/mL. If other acid labile drugs are employed, the range of concentrations would be as required to deliver the normal dosage in an acceptable volume of 1 mL to 30 mL. The amount of buffer required to protect the drug in question will also determine the minimal feasible volume. This formulation may be in the form of a one-part product (liquid or dry) or a two-part product (liquid and dry), for examples. In the two-part example, the drug to be added to the formulation may be added to the dry formulation and the liquid part may be added at the time of use, or the drug may be added to the liquid portion which would be buffered to a pH above that required for disintegration of enteric-coated drug formulations (typically pH of 6.8 or greater).

- 5 For all of the veterinary and human oral dosage forms disclosed herein, sweeteners, parietal cell activators, thickeners, preservatives, and flavoring agents may also be added. Sweeteners include but are not limited to corn syrup, simple syrup, sugar, thaumatin, and aspartame. Thickeners include but are not limited to methylcellulose, xanthan gum, carrageenan, and guar gum. Preservatives may be added to retard spoilage and include but are not limited to sodium benzoate, methylparaben and propylparaben. Flavoring agents in these formulations include but are not limited to apple, caramel, maple, peanut butter, meat, etc.

#### J. Other Formulations

- For all formulations herein, the total amount of Essential Buffer may range from about 4 mEq to about 30 mEq per dose.

<b>Formulation 11: Oral Buffer Complex Without PPI (for general use to protect acid labile drugs) Multidose Composition</b>	
<b>Primary Essential Buffer:</b>	
Dibasic sodium phosphate or sodium bicarbonate	10 g (range 2 g to 10 g)
<b>Optional Secondary Essential Buffer:</b>	200 mg
Tribasic sodium phosphate or sodium carbonate	
<b>Other ingredients:</b>	
Sucrose	26 g
Maltodextrin	2 g
Cocoa processed with alkali	1800 mg
Corn syrup solids	6000 mg
Sodium caseinate	100 mg
Soy lecithin	80 mg
(*Any Secondary Essential Buffer may be added in higher or lower amounts to adjust pH for desired stability and additive antacid or buffering capacity.)	

- Thoroughly blend the powder, then store in a container protected from light and moisture, such as in a foil packet. Preservatives may be added to retard spoilage and include but are not limited to sodium benzoate, methylparaben, and propylparaben. Thickeners such as xanthan gum, guar gum, or hydroxymethyl propyl cellulose can be flavoring agents in these formulations include chocolate, caramel, maple, butter pecan and other flavorings as

- 5 have been outlined previously. Different volumes of water may be added to achieve PPI concentrations ranging from 0.8 to 20 mg/mL.

Weigh out approximately 60 g of the formulation. Add PPI (or other acid-labile drug) typically in the amount equivalent to 10 doses (range 1 dose to 30 doses).

Q.s. to 100 mL with distilled water.

<b>Formulation 12: Oral Buffer Complex Without PPI For General Use to Protect Acid Labile Drugs; Protein Free, Multi-Dose Example</b>	
<b>Primary Essential Buffer:</b>	
Sodium bicarbonate	5 g (range 2 g to 10 g) (59.5 mEq)
<b>Optional: Secondary Essential Buffer</b>	
None*	
(* Any Secondary Essential Buffer may be added in higher or lower amounts to adjust pH for desired stability and additive antacid or buffering capacity.)	
<b>Other ingredients</b>	
Sucrose	26 g
Maltodextrin	2 g
Cocoa processed with alkali	1800 mg
Corn syrup solids	6000 mg
Soy lecithin	80 mg

- 10 Note that cocoa is a parietal cell activator.

Thoroughly blend the powder, then store in a container protected from light and moisture, such as in a foil packet. Weigh out approximately 60 g of the formulation. Add PPI (or other acid-labile drug) typically in the amount equivalent to 10 doses (range = 1 dose  
15 to 30 doses).

Q.s. to 100 mL with distilled water. Different volumes of water may be added to achieve PPI concentrations ranging from 0.8 to 20 mg/mL.

5

<b>Formulation 13: Buffer Complex Without PPI For General Use to Protect Acid Labile Drugs; Protein Free, Lactose Free Multidose Example</b>	
<b>PPI:</b>	
None (to be added later, e.g. by compounding pharmacist)	
<b>Primary Essential Buffer(s):</b>	
Sodium bicarbonate	8 g (range 2 g to 10 g)
<b>Other ingredients:</b>	
Sucrose	26 g
Maltodextrin	2 g
Corn syrup solids	6000 mg
Partially hydrogenated soybean oil	400 mg
Dipotassium phosphate	300 mg
Caramel flavor	270 mg
Soy lecithin	80 mg
Sodium silico aluminate	20 mg
Titanium dioxide	10 mg

Thoroughly blend the powder, then store in a container protected from light and moisture, such as in a foil packet.

- 10      Optional Secondary Essential Buffer:  
           Tribasic sodium phosphate 1000 mg

- Weigh out approximately 60 g of the formulation. Add PPI (or other acid-labile drug) typically in the amount equivalent to 10 doses (range = 1 dose to 30 doses). Q.s. to 100 mL with distilled water. Different volumes of water may be added to achieve PPI concentrations
- 15    ranging from 0.3 to 20 mg/mL.

<b>Formulation 14: Buffer Complex Without PPI For General Use to Protect Acid Labile Drugs; Protein Free, Multi-Dose Example</b>	
<b>PPI:</b>	
None (to be added later, e.g. by compounding pharmacist)	
<b>Primary Essential Buffer(s):</b>	
Dibasic sodium phosphate	8 g (range 2 g to 10 g)
<b>Other ingredients:</b>	
Sucrose	26 g
Maltodextrin	2 g
Butterscotch flavor	270 mg
Corn syrup solids	6000 mg

- 5 Thoroughly blend the powder, then store in a container protected from light and moisture, such as in a foil packet.

Weigh out approximately 60 g of the formulation. Add PPI (or other acid-labile drug) typically in the amount equivalent to 10 doses (range = 1 dose to 30 doses). Q.s. to 100 mL with distilled water. Different volumes of water may be added to achieve PPI concentrations ranging from 0.8 to 20 mg/mL.

<b>Formulation 15: Buffer Complex Without PPI For General Use to Protect Acid Labile Drugs; Protein Free, Multi-Dose Example</b>	
<b>PPI:</b>	
None (to be added later, e.g. by compounding pharmacist)	
<b>Primary Essential Buffer(s):</b>	
Sodium bicarbonate	8 g (range 1 g to 10 g)
<b>Secondary Essential Buffer(s):</b>	
Trisodium phosphate	1.5 g (range 0 g to 5 g)
<b>Other ingredients:</b>	
Sucrose	26 g
Maltodextrin	2 g
Butterscotch flavor	270 mg
Corn syrup solids	6000 mg

- Thoroughly blend the powder, then store in a container protected from light and moisture, such as in a foil packet. Weigh out approximately 60 g of the formulation. Add PPI (or other acid-labile drug) typically in the amount equivalent to 10 doses (range = 1 dose to 30 doses). Q.s. to 100 mL with distilled water. Different volumes of water may be added to achieve PPI concentrations ranging from 0.8 to 20 mg/mL.

5

<b>Formulation 16: One Phase Lansoprazole 30 mg Tablet</b>	
Lansoprazole has a pKa of 4.1; thus, the Essential pH = $4.1 + 0.7 \geq 4.8$	
Examples of buffers that produce a solution with pH 4.8 or greater and produce the Essential Buffering Capacity include, but are not limited to, sodium bicarbonate, sodium carbonate, dibasic sodium phosphate, and dipotassium phosphate.	
Enough powder for 11 tablets is weighed out:	
<b>PPI:</b>	
Lansoprazole powder	330 mg
<b>Primary Essential Buffer(s):</b>	
Sodium bicarbonate USP	5500 mg
Dibasic sodium phosphate	2200 mg

The resultant powder is thoroughly mixed. Then 720 mg of the homogeneous mixture is poured into a tablet reservoir (1/2 inch diameter) and pressed through a full motion of the press as is known in the art. The resultant tablet contains:

Lansoprazole	30 mg
Sodium bicarbonate USP	500 mg
Disodium hydrogen phosphate	200 mg

10

The tablet contains 6 mEq sodium bicarbonate and 1.4 mEq dibasic sodium phosphate. Variations in this tablet may include a tablet containing all dibasic sodium phosphate or all sodium bicarbonate or other buffers from the Essential Buffers list. The amount of Effective Buffer Capacity per tablet may range from as little as about 4 mEq to as much as about 30 mEq.

15

Additional tablet disintegrants such as croscarmellose sodium, pregelatinized starch, or providone, and tablet binders such as tapioca, gelatin, or PVP may be added. Further, a film coating may be placed on the tablet to reduce the penetration of light and improve ease of swallowing.

20

5

<b>Formulation 17: One Phase Omeprazole 20 mg Tablet</b>	
Omeprazole has a pKa of 3.9; thus, the Essential pH = $3.9 + 0.7 \geq 4.6$	
Examples of buffers that are soluble at pH 4.6 or greater include, but are not limited to, sodium bicarbonate, sodium carbonate, disodium hydrogen phosphate (dibasic sodium phosphate), and dipotassium phosphate.	
Enough powder for 11 tablets is weighed out:	
<b>PPI:</b>	
Omeprazole powder USP	220 mg
<b>Primary Essential Buffer(s):</b>	
Sodium bicarbonate USP	6500 mg
Magnesium oxide powder	1650 mg
Croscarmellose sodium	300 mg

The resultant powder is thoroughly mixed. Then 788 mg of the homogeneous mixture is poured into a tablet reservoir (1/2 inch diameter) and pressed through a full motion of the press as is known in the art. The resultant tablet contains:

Omeprazole USP	20 mg
Sodium bicarbonate USP	590 mg
Magnesium oxide	150 mg
Croscarmellose sodium	27.27 mg

10

The tablet contains 7 mEq sodium bicarbonate and 3.75 mEq magnesium oxide. The amount of Effective Buffer Capacity may range from as little as about 4 mEq to as much as about 30 mEq. The tablet excipients, tablet binders, and film coating of Formulation 16 may also be added.

<b>Formulation 18: One Phase Omeprazole 40 mg Tablet</b>	
Enough powder for 11 tablets is weighed out:	
<b>PPI:</b>	
Omeprazole powder USP	440 mg
<b>Primary Essential Buffer(s):</b>	
Sodium bicarbonate USP	6500 mg
Magnesium oxide	1650 mg
Pregelatinized starch	500 mg

15

The resultant powder is thoroughly mixed. Then 826 mg of the homogeneous mixture is poured into a tablet reservoir (1/2 inch diameter) and pressed through a full motion of the press as is known in the art. The resultant tablet contains:

Omeprazole USP	40 mg
Sodium bicarbonate USP	590 mg
Magnesium oxide	150 mg
Pregelatinized starch	45.45 mg

5           The tablet contains 7 mEq sodium bicarbonate and 3.75 mEq magnesium oxide. The amount of Effective Buffer Capacity may range from as little as 4 mEq to as much as 30 mEq. The tablet excipients, tablet binders, and film coating of Formulation 16 may also be added.

10           Esomeprazole magnesium or other proton pump inhibitors which are of low solubility (such as the base forms) may be used in place of omeprazole or lansoprazole in the above formulations. The tablet excipients, tablet binders, and film coatings of Formulation 16 may also be added. In addition, powders of any of the formulations disclosed herein may be manufactured by thoroughly mixing the powders as when making tablets and omitting the  
15   pressing of the tablets. The powder is packaged in a suitable container protecting the formulation from air moisture and light such as a foil pack or sachet. When added to a volume of water (e.g. 3 to 20 mL) the formulation may be taken orally or administered down a feeding or NG tube, etc. Flavoring agents such as are outlined in the above formulations may be used, for example, carmel flavor 0.1% w/w. For bitter tasting PPIs such as  
20   pantoprazole, omeprazole, esomeprazole and rabeprazole, the use of thaumatin in a quantity of 5 to 10 ppm may be useful in masking the bitterness. Sweeteners such as sucrose or aspartame may also be employed. Tablet disintegrants such as croscarmellose sodium and glidants such as magnesium stearate may additionally be used.



5

<b>Formulation 19: Omeprazole Powder Formulations (single dose)</b>	
<b>PPI:</b>	
Omeprazole powder USP (or esomeprazole magnesium).	20 mg or 40 mg
<b>Primary Essential Buffer(s):</b>	
Sodium bicarbonate USP powder (60 micron)	1000 mg
Magnesium oxide USP powder	500 mg
<b>Optional Secondary Essential Buffer(s):</b>	
Tribasic sodium phosphate	200 mg*
<b>Other ingredients:</b>	
Dextrose	60 mg
Xanthan gum (Rhodigel ultra fine)	15 mg
Thaumatococcus (Flavor enhancer)	5 to 10 ppm

Thoroughly blend the powder, reconstitute all of the powder with 5 ml to 20 ml distilled water and administer the suspension enterally to the patient.

<b>Formulation 20: Unflavored Omeprazole Powder (single dose)</b>	
Omeprazole powder USP	20 mg or 40 mg
Sodium bicarbonate USP	1500 mg
<b>Parietal cell activator:</b>	
Calcium chloride	200 mg
<b>Other ingredients:</b>	
Dextrose	60 mg
Xanthan gum (Rhodigel ultra fine)	15 mg
Thaumatococcus (Flavor enhancer)	5 to 10 ppm

10

Thoroughly blend the powder. Reconstitute all of the powder with 5 mL to 20 mL distilled water and administer the suspension enterally to the patient.

<b>Formulation 21: Flavored Omeprazole Powder (single dose)</b>	
Omeprazole powder USP	20 mg
Dibasic sodium Phosphate duohydrate	2000 mg
Sodium bicarbonate USP	840 mg to 1680 mg
Sucrose	2.6 g
Maltodextrin	200 mg
Cocoa processed with alkali*	180 mg
Corn syrup solids	600 mg
Xanthan gum	15 mg
Aspartame	15 mg
Thaumatococcus	2 mg
Soy lecithin	10 mg

\*Parietal cell activator

- 5 Thoroughly blend the powder. Reconstitute all of the powder with 10 mL to 20 mL distilled water at the time of use.

<b>Formulation 22: Unflavored Lansoprazole Powder (single dose)</b>	
Lansoprazole powder USP	15 mg or 30 mg
Sodium bicarbonate USP	400 mg to 1500 mg

Optionally: Tribasic sodium phosphate to adjust pH for longer stability and enhanced buffering capacity (alternatively other Essential Buffers may be employed)

- 10 Thoroughly blend the powder. Reconstitute all of the powder with 5 mL to 20 mL distilled water at the time of use.

<b>Formulation 23: Flavored Lansoprazole Powder (single dose)</b>	
<b>PPI:</b>	
Lansoprazole powder USP	30 mg
<b>Primary Essential Buffer(s):</b>	
Dibasic Sodium Phosphate USP or Sodium bicarbonate USP	1500 mg
Sucrose	26 g
Maltodextrin	2 g
Cocoa processed with alkali*	18 mg
Corn syrup solids	600 mg
Soy lecithin	80 mg

\*Parietal cell activator

- Thoroughly blend the powder. Reconstitute all of the powder with 5 mL to 20 mL distilled water at the time of use.
- 15

<b>Formulation 24: Unflavored Rabeprazole Powder (single dose)</b>	
<b>PPI:</b>	
Rabeprazole sodium powder USP	20 mg
<b>Primary Essential Buffer(s):</b>	
Disodium phosphate dihydrate USP	2000 mg
<b>Optional Secondary Essential Buffer(s)</b>	
Tribasic sodium phosphate	100 mg

- Thoroughly blend the powder and reconstitute with distilled water prior to administration. Optionally, thickeners and flavoring agents may be added as stated throughout this application. The anticipated volume for this powder would be 20 mL per dose. This formulation is designed to enhance stability of rabeprazole through the use of the
- 20

- 5 common ion effect whereby sodium causes a “salting out” of rabeprazole sodium. This causes the rabeprazole sodium to remain insoluble thereby increasing its stability.

Formulation 25: Unflavored Rabeprazole Powder (single dose)	
<b>PPI:</b>	
Rabeprazole sodium powder USP	20 mg
<b>Primary Essential Buffer(s):</b>	
Sodium bicarbonate USP	1200 mg
<b>Secondary Essential Buffer(s):</b>	
Trisodium phosphate USP	300 mg
<b>Optional Secondary Essential Buffer(s):</b>	
Sodium hydroxide or Tribasic potassium may be added in higher or lower amounts to adjust pH for desired stability and additive antacid or buffering capacity.	

Thoroughly blend the powder and reconstitute with 15 mL distilled water at the time of use.

- 10 Alternatively, a two part product may be employed comprising one part of about 5 to about 15 mL distilled water with a low concentration of Secondary Essential Buffer (e.g. trisodium phosphate (100 mg) or sodium hydroxide (50 mg)) used to dissolve an enteric-coated tablet of rabeprazole thereby producing a stable solution/suspension. This highly alkaline suspension containing low neutralization capacity and rabeprazole sodium may then
- 15 be added with a second part containing the Primary Essential Buffer(s) having significant neutralization capacity. If desired other Secondary Essential Buffer(s) may be included with the Primary Essential Buffers. This formulation is designed to enable the use of the commercially available enteric-coated tablet of rabeprazole as the source of the PPI. This tablet requires disintegration prior to use as a liquid formulation. Part 1 (the low
- 20 concentration of Secondary Essential Buffer) produces rapid dissolution of the delayed-release tablet as well as prolonged stability of rabeprazole sodium in the liquid form. This enables the preparation to be prepared prior to administration and simply added to the Primary Essential Buffer(s) (part 2) prior to use.

<b>Formulation 26: Unflavored Rabeprazole Powder (single dose)</b>	
<b>PPI:</b>	
Rabeprazole sodium powder USP	20 mg
<b>Primary Essential Buffer(s):</b>	
Calcium lactate USP	700 mg
Calcium glycerophosphate	700 mg
<b>Secondary Essential Buffer(s):</b>	
Calcium hydroxide USP	15 mg

- 5 (Other Secondary Essential Buffers with cations of sodium or potassium may be added in higher or lower amounts to adjust pH for desirable stability.)

Thoroughly blend the powder. Reconstitute the powder with a liquid part comprising 10 mL glycerol and 10 mL distilled water at the time of use. Alternatively, the liquid for reconstitution may be only water (e.g. distilled) and contain some of the buffer. The liquid for reconstitution may be supplied as a buffered product (to pH 9-11) for dissolving rabeprazole sodium delayed-release tablets (if used as a source of rabeprazole sodium).

<b>Formulation 27: Unflavored Esomeprazole Powder (single dose)</b>	
<b>PPI:</b>	
Esomeprazole magnesium powder USP	20 mg
<b>Primary Essential Buffer(s):</b>	
Calcium lactate USP	800 mg
Calcium glycerophosphate	800 mg
<b>Secondary Essential Buffer(s):</b>	
Calcium hydroxide USP	15 mg

- 15 (Other Secondary Essential Buffers with cations of calcium or magnesium may be added in higher or lower amounts to adjust pH for desirable stability.)

Thoroughly blend the powder. Reconstitute the powder with a liquid part comprising of 10 mL distilled water at the time of use. The liquid for reconstitution may be supplied as a buffered product (to pH 8-11) for dissolving esomeprazole magnesium delayed release granules (if used as a source of esomeprazole magnesium).

5

<b>Formulation 28: Omeprazole Two Part Tablet</b>	
Two part tablets contain an outer buffer phase and inner buffer/PPI core. Enough for 6 tablets is weighed out.	
<b>Inner Core:</b>	
<b>PPI:</b>	
Omeprazole powder USP (or esomeprazole magnesium or omeprazole sodium).	120 mg
<b>Primary Essential Buffer(s):</b>	
Sodium bicarbonate USP	1200 mg
<b>Outer Phase:</b>	
Sodium bicarbonate USP	3960 mg

(Secondary Essential Buffers such as trisodium phosphate, tripotassium phosphate or sodium carbonate or others may be added to enhance neutralization capacity.)

Thoroughly blend the powders for the inner core, then weigh out approximately 220

- 10 mg of the resultant blend and add to a die of 3/8" diameter. The powder mixture is then formulated into small tablets by conventional pharmaceutical procedures. Repeat for five additional tablets, then set these small inner tablets aside.

- The outside layer surrounding the PPI tablet serves as a pH-buffering zone. Enough sodium bicarbonate for 6 tablets is weighed out with approximately 280 mg per tablet for a
- 15 total of 1680 mg sodium bicarbonate USP. Then weigh out approximately 280 mg of the resultant blend and add to a die of 1/2" diameter. Press through a full motion to compact the powder into a tablet. Place the tablet back into the 1/2 inch die and then place the smaller 3/8" tablet (inner tablet) on top of the 1/2" tablet and center it. Add approximately 380 mg sodium bicarbonate to the die on top of the 1/2" tablet and the 3/8" tablet. Press through a full
- 20 motion to compact the materials into one tablet. The approximate weight of each tablet is 815 mg to 890 mg containing 20 mg omeprazole. Binders such as tapioca or PVP and disintegrants such as pregelatinized starch may be added. The outer layer may also comprise pharmaceutically acceptable tablet excipients. Optional coatings can also be employed, for example, light film coatings and coatings to repel ultraviolet light as is known in the art.

- 5 Magnesium oxide or magnesium hydroxide may be substituted for the sodium bicarbonate outer phase. Enough magnesium oxide for 6 tablets is weighed out with approximately 280 mg per tablet for a total of 1680 mg magnesium oxide USP. Then weigh out approximately 280 mg of the resultant blend and add to a die of ½" diameter. Press through a full motion to compact the powder into a tablet. Place the tablet back into the ½
- 10 inch die and then place the smaller 3/8" tablet (inner tablet) on top of the ½" tablet and center it. Add approximately 380 mg magnesium oxide to the die on top of the ½" tablet and the 3/8" tablet. Press through a full motion to compact the materials into one tablet. The approximate weight of each tablet is 815 mg to 890 mg containing 20 mg omeprazole.
- 15 Binders such as tapioca or PVP and disintegrants such as pregelatinized starch, croscarmellose sodium or microcrystalline cellulose (MCC) and colloidal silicone dioxide (CSD) may be added. The outer layer may also comprise pharmaceutically acceptable tablet excipients. Optional coatings can also be employed, for example, light film coatings and coatings to repel ultraviolet light as is known in the art.

- The outer phase can alternatively comprise a combination of sodium bicarbonate and
- 20 magnesium oxide.

<b>Formulation 29: Lansoprazole Two Part Tablet</b> Enough for 6 tablets is weighed out.	
<b>Inner Core:</b>	
<b>PPI:</b>	
Lansoprazole powder USP	180 mg
<b>Primary Essential Buffer:</b>	
Sodium bicarbonate USP	1200 mg
<b>Outer Phase:</b>	
Sodium bicarbonate USP	3960 mg

Thoroughly blend the powders of the inner core, then weigh out approximately 230 mg of the resultant blend and add to a die of 3/8" diameter. The inner and outer tablets are then formed as described in Formulation 28. The approximate weight of each tablet is 825

- 5 mg to 900 mg. Binders such as tapioca or PVP and disintegrants such as pregelatinized starch may be added.

<b>Formulation 30: Pantoprazole Two Part Tablet</b> Enough for 6 tablets is weighed out.	
<b>Inner Core:</b>	
<b>PPI:</b>	
Pantoprazole powder USP (or pantoprazole sodium)	240 mg
<b>Primary Essential Buffer:</b>	
Sodium bicarbonate USP	1200 mg
<b>Outer Phase:</b>	
Sodium bicarbonate USP	3960 mg

- Thoroughly blend the powders for the inner core, then weigh out approximately 220 mg of the resultant blend and add to a die of 3/8" diameter. The inner and outer tablets are then formed as described in Formulation 28. The approximate weight of each tablet is 835 mg to 910 mg. Binders such as tapioca or PVP and disintegrants such as pregelatinized starch or croscarmellose sodium may be added.

<b>Formulation 31: Omeprazole or esomeprazole two part tablet.</b> Enough for 6 tablets is weighed out.	
<b>Inner Core:</b>	
<b>PPI:</b>	
Omeprazole powder USP (or esomeprazole or omeprazole sodium).	120 mg
<b>Primary Essential Buffer:</b>	
Sodium bicarbonate	1200 mg
<b>Outer Phase:</b>	
Sodium bicarbonate	3960mg

- Thoroughly blend the powders of the inner core, then weigh out approximately 220 mg of the resultant blend and add to a die of 3/8" diameter. The inner and outer tablets are then formed as described in Formulation 28. The approximate weight of each tablet is 815 mg to 890 mg. Binders such as tapioca or PVP and disintegrants have been mentioned and may be added. Secondary Essential Buffers such as trisodium phosphate, tripotassium phosphate or sodium carbonate or others may be added to enhance neutralization capacity.

<b>Formulation 32: Lansoprazole Two part tablet</b> Enough for 6 tablets is weighed out.	
<b>Inner Core:</b>	
<b>PPI:</b>	
Lansoprazole powder USP	180 mg
<b>Primary Essential Buffer:</b>	
Sodium bicarbonate	1200 mg
<b>Outer Phase:</b>	
Sodium bicarbonate	3960mg

5

Thoroughly blend the powder of the inner core, then weigh out approximately 230 mg of the resultant blend and add to a die of 3/8" diameter. The inner and outer tablets are then formed as described in Formulation 28. The approximate weight of each tablet is 825 mg to 900 mg. Binders such as tapioca or PVP and disintegrants have been mentioned and may be added. Secondary Essential Buffers such as trisodium phosphate, tripotassium phosphate or sodium carbonate or others may be added to enhance neutralization capacity.

10

<b>Formulation 33: Pantoprazole Two part tablet</b> Enough for 6 tablets is weighed out.	
<b>Inner Core:</b>	
<b>PPI:</b>	
Pantoprazole sodium powder USP	240 mg
<b>Primary Essential Buffer:</b>	
Sodium bicarbonate	1200 mg
<b>Outer Phase:</b>	
Sodium bicarbonate	3960mg

15

Thoroughly blend the powders of the inner core, then weigh out approximately 220 mg of the resultant blend and add to a die of 3/8" diameter. The inner and outer tablets are then formed as described in Formulation 28. The approximate weight of each tablet is 835 mg to 910 mg. Binders such as tapioca or PVP and disintegrants may also be added. Secondary Essential Buffers, such as trisodium phosphate, tripotassium phosphate, sodium carbonate or others, may be added to enhance neutralization capacity.



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<b>Formulation 34: Omeprazole 20 mg Two-Part Tablet</b>	
<b>Inner Core:</b>	
<b>PPI:</b>	
Omeprazole enteric coated granules (base, or sodium salt or esomeprazole sodium or magnesium)	20 mg
<b>Outer Phase:</b>	
Sodium bicarbonate powder USP	1000 mg

The inner core is created as is known in the art such that the enteric coatings on the granules remain substantially intact. The outer phase is bound to the inner core as described in Formulation 28. Other variations of this tablet include a uniform enteric coating

- 10 surrounding the PPI of the inner core instead of separate enteric coated granules.

<b>Formulation 35: Lansoprazole 30 mg Two-Part Tablet</b>	
<b>Inner Core:</b>	
<b>PPI:</b>	
Lansoprazole enteric coated granules	30 mg
<b>Outer Phase:</b>	
Sodium bicarbonate powder USP	1000 mg

This two-part tablet is formulated as per Formulation 34.

<b>Formulation 36: Rabeprazole 20 mg Two-Part Tablet</b>	
<b>Inner Core:</b>	
<b>PPI:</b>	
Rabeprazole enteric coated granules	20 mg
<b>Outer Phase:</b>	
Sodium bicarbonate powder USP	1000 mg

This two-part tablet is formulated as per Formulation 34.

<b>Formulation 37: Omeprazole Two Part Tablet</b>	
Enough for 6 tablets is weighed out	
<b>Inner Core:</b>	
Omeprazole	120 mg
Sodium bicarbonate powder USP	1200 mg
<b>Outer Phase:</b>	
Magnesium oxide	1500 mg
Optional - calcium carbonate	3000 mg

15

- 5 The omeprazole and sodium bicarbonate of the inner core are homogeneously mixed and formed as in Formulation 28. The outer phase is combined with the inner core as in Formulation 28.

<b>Formulation 38: Combination Antacid and Enteric Coated Dosage Form</b>	
Omeprazole enteric coated granules or enteric coated tablet	20 mg (or an equivalent dose of another PPI)
Calcium carbonate	1000 mg

- The above components are combined with care exerted to ensure that the enteric coating is not crushed or otherwise compromised. The resulting combination is then formed into compressed tablets or placed in capsules as is known in the pharmaceutical art. If enteric coated granules are employed, they are generally, but not required, dispersed throughout the tablet or capsule. If an enteric coated tablet is alternatively utilized, it forms a central core, which is uniformly surrounded by the calcium carbonate in either a compressed tablet or in a larger capsule. In another embodiment, a capsule containing enteric coated granules of PPI can be placed within a larger capsule containing the calcium carbonate.

- It should be noted that other buffering agents can be utilized in lieu of or in combination with calcium carbonate. The buffer(s) employed is present in an amount of at least about 5 mEq per dose of the composition with the preferred range been 7.5 to 15 mEq. For example, sodium bicarbonate may be preferred over calcium carbonate and other antacids (such as magnesium or aluminum salts) because in many cases, sodium bicarbonate more quickly lowers gastric pH.

<b>Formulation 39: Combination Rapid Release and Delayed Released PPI and Antacid</b>	
<b>Inner core:</b> Omeprazole enteric coated granules or enteric coated tablet	10 or 20 mg (or an equivalent dose of another PPI)
<b>Outer phase:</b> Omeprazole powder	10 or 20 mg (or equivalent dose of another

	PPI)
Calcium Carbonate powder	1000 mg

5 The constituents of the outer phase are uniformly mixed. The inner core is created as is known in the art such that the enteric coatings on the granules or tablet remain substantially intact. The outer phase is bound to the inner core as described herein and as known in the art.

**Formulation 40: Soft Chewable PPI-Buffer Dosage Form**

10 Omeprazole 10 or 20 mg (or an equivalent dose of another PPI) is combined with the ingredients of a soft chewable antacid tablet (e.g., Viactiv®), which comprises calcium carbonate 500 or 1000 mg, corn syrup, sugar, chocolate non fat milk, cocoa butter, salt, soy lecithin, glyceryl monostearate, flavoring (e.g., caramel), carrageenan, and sodium phosphate. Vitamins D3 and/or K1 can also be added. The finished chew tablets are administered to  
15 patients once to thrice daily for gastric acid related disorders.

For all formulations herein, multiple doses may be proportionally compounded as is known in the art.

The invention has been described in an illustrative manner, and it is to be understood the terminology used is intended to be in the nature of description rather than of limitation.

20 All patents and other references cited herein are incorporated herein by reference in their entirety. Obviously, many modifications, equivalents, and variations of the present invention are possible in light of the above teachings. Therefore, it is to be understood that within the scope of the appended claims, the invention may be practiced other than as specifically described.

5

## CLAIMS

What is claimed is:

1. A solid composition for oral administration to a subject, comprising:
  - a) a non-enteric coated inner core comprising an acid labile proton pump inhibitor selected from the group consisting of omeprazole, lansoprazole, pantoprazole, 10 rabeprazole, esomeprazole, pariprazole, and leminoprazole, or an enantiomer, isomer, derivative, free base, or salt thereof, in an amount of approximately 5 mg to approximately 300 mg, and an optional Primary Essential Buffer; and
  - b) an outer layer surrounding the inner core comprising a Primary Essential Buffer and an optional Secondary Essential Buffer;
- 15 wherein, the total amount of the Primary Essential Buffer of the inner core and the Primary Essential Buffer and the Secondary Essential Buffer of the outer layer is approximately 0.1 mEq to approximately 2.5 mEq per mg of proton pump inhibitor; and the composition is a non-enteric coated two-part tablet.
2. The composition of claim 1, wherein the proton pump inhibitor is in an 20 amount from approximately 10 mg to approximately 100 mg.
3. The composition of claim 1, wherein the proton pump inhibitor is omeprazole.
4. The composition of claim 1, wherein the proton pump inhibitor is lansoprazole.
5. The composition of claim 1, wherein the proton pump inhibitor is 25 pantoprazole.
6. The composition of claim 1, wherein the proton pump inhibitor is rabeprazole.
7. The composition of claim 1, wherein the proton pump inhibitor is esomeprazole.

5           8.     The composition of claim 1, wherein the proton pump inhibitor is pariprazole.

          9.     The composition of claim 1, wherein the proton pump inhibitor is  
leminoprazole.

          10.    The composition of claim 1, wherein the Primary Essential Buffer of the inner  
core and the Primary Essential Buffer of the outer surrounding layer independently comprise  
10   sodium bicarbonate, sodium sesquicarbonate, dibasic sodium phosphate, sodium  
tripolyphosphate, tetrasodium pyrophosphate, sodium citrate, calcium citrate, calcium  
carbonate, magnesium oxide, sodium gluconate, sodium lactate, sodium acetate, dipotassium  
phosphate, tetrapotassium pyrophosphate, potassium bicarbonate, calcium lactate, calcium  
glycerophosphate, calcium gluconate, magnesium lactate, magnesium gluconate, or  
15   magnesium hydroxide.

          11.    The composition of claim 10, wherein the Primary Essential Buffer comprises  
sodium bicarbonate.

          12.    The composition of claim 11, wherein the sodium bicarbonate is in an amount  
from about 400 mg to about 4000 mg.

20          13.    The composition of claim 11, wherein the sodium bicarbonate is in an amount  
of at least about 700 mg.

          14.    The composition of claim 10, wherein the Primary Essential Buffer comprises  
calcium carbonate.

          15.    The composition of claim 14, wherein the calcium carbonate is in an amount  
25   from about 400 mg to about 4000 mg.

5           16.     The composition of claim 14, wherein the calcium carbonate is in an amount  
from about 500 mg to about 1000 mg.

          17.     The composition of claim 14, wherein the calcium carbonate is in an amount  
of at least about 700 mg.

          18.     The composition of claim 10, wherein the Primary Essential Buffer of the  
10 inner core is sodium bicarbonate and calcium carbonate.

          19.     The composition of claim 10, wherein the Primary Essential Buffer of the  
outer surrounding layer is sodium bicarbonate and calcium carbonate.

          20.     The composition of claim 1, wherein the Secondary Essential Buffer  
comprises sodium carbonate, potassium carbonate, trisodium phosphate, tripotassium  
15 phosphate, calcium hydroxide, or sodium hydroxide.

          21.     The composition of claim 1, wherein the composition is dissolvable by gastric  
fluid upon oral administration to a subject and upon dissolution releases the Primary Essential  
Buffer, the Secondary Essential Buffer and the proton pump inhibitor into the gastric fluid.

          22.     The composition of claim 1, wherein the amount of the Primary Essential  
20 Buffer is sufficient to elevate gastric fluid pH of the subject to at least 3.7 from time the  
proton pump inhibitor comes in contact with the gastric acid throughout dwell time.

          23.     The composition of claim 22, wherein the gastric fluid pH of the subject is at  
least 4.6.

          24.     The composition of claim 22, wherein the gastric fluid pH of the subject is at  
25 least 4.8.

          25.     The composition of claim 22, wherein the gastric fluid pH of the subject is at  
least 5.6.

- 5           26.     The composition of claim 22, wherein the gastric fluid pH of the subject is at least 6.5.
27.     The composition of claim 22, wherein the dwell time is equal to or less than about 30 minutes.
28.     The composition of claim 1, further comprising at least one flavoring agent.
- 10          29.     The composition of claim 28, wherein the flavoring agent comprises aspartame, chocolate, root beer, peppermint, spearmint, or watermelon, and combinations of any of the foregoing.
30.     The composition of claim 1, further comprising an anti-foaming agent.
31.     The composition of claim 1, further comprising a disintegrant, flow aid, 15 lubricant, adjuvant, excipient, colorant, diluent, moistening agent, preservative, or pharmaceutically compatible carrier.
32.     The composition of claim 1, wherein the composition comprise multiple inner cores.
33.     A solid composition for oral administration to a subject, comprising:
- 20          a)     a non-enteric coated inner core comprising an acid labile proton pump inhibitor selected from the group consisting of omeprazole, lansoprazole, pantoprazole, rabeprazole, esomeprazole, pariprazole, and leminoprazole, or an enantiomer, isomer, derivative, free base, or salt thereof, in an amount of approximately 5 mg to approximately 300 mg, and an optional Primary Essential Buffer; and
- 25          b)     an outer layer surrounding the inner core comprising a Primary Essential Buffer and an optional Secondary Essential Buffer;
- wherein the Primary Essential Buffer of the inner core and the Primary Essential Buffer of the outer surrounding layer are independently selected from the group consisting of

- 5 sodium bicarbonate, sodium sesquicarbonate, dibasic sodium phosphate, sodium tripolyphosphate, tetrasodium pyrophosphate, sodium citrate, calcium citrate, calcium carbonate, magnesium oxide, sodium gluconate, sodium lactate, sodium acetate, dipotassium phosphate, tetrapotassium pyrophosphate, potassium bicarbonate, calcium lactate, calcium glycerophosphate, calcium gluconate, magnesium lactate, magnesium gluconate, and
- 10 magnesium hydroxide;

the Secondary Essential Buffer is selected from the group consisting of sodium carbonate, potassium carbonate, trisodium phosphate, tripotassium phosphate, calcium hydroxide, and sodium hydroxide;

- the total amount of the Primary Essential Buffer of the inner core and the Primary
- 15 Essential Buffer and the Secondary Essential Buffer of the outer layer is approximately 0.1 mEq to approximately 2.5 mEq per mg of proton pump inhibitor; and
- the composition is a non-enteric coated two-part tablet.

34. A process for the manufacture of a solid composition according to claim any of claims 1-33, comprising:

- 20 a) blending the proton pump inhibitor and the optional Primary Essential Buffer of the inner core;
- b) compacting the proton pump inhibitor and the optional Primary Essential Buffer of the inner core into a tablet;
- c) compacting the Primary Essential Buffer and the Secondary Essential Buffer
- 25 of the outer layer surrounding the inner core into a tablet with a diameter larger than that of the tablet of the inner core;
- d) placing the inner core tablet in contact with the tablet of the outer layer surrounding the inner core; and



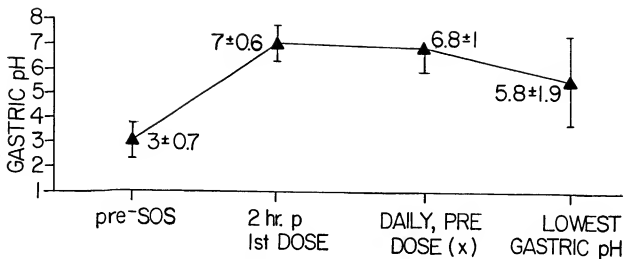
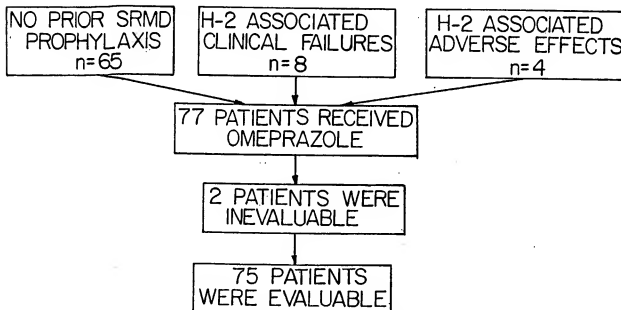
- 5           e)       compacting the inner core tablet and the Primary Essential Buffer and  
Secondary Essential Buffer tablet into a two-part tablet by addition of an  
amount of Primary Essential Buffer and an optional Secondary Essential  
Buffer;

wherein the two-part tablet is suitable for oral administration to a subject.

- 10           35.     Use of a solid composition according to any of claims 1-33 for preparing a  
medicament for treating a gastrointestinal disorder in a subject.

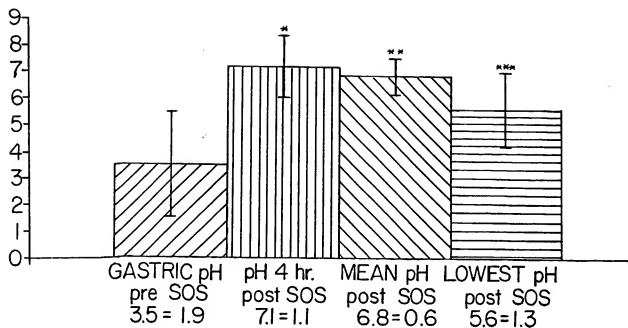
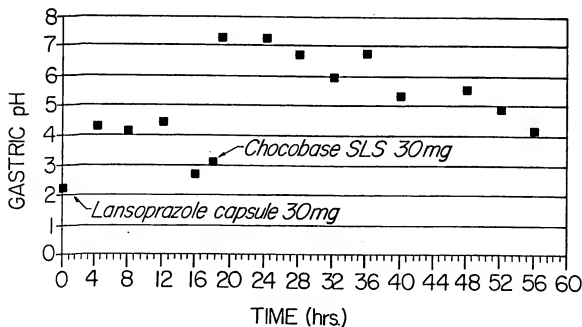
36.     Use of a solid composition according to any of claims 1-33 for the  
manufacture of an oral administration dosage form.

37.     A method of treating a gastrointestinal disorder in a subject in need thereof,  
15   comprising administering to the subject a therapeutically effective amount of a solid  
composition according to any of claims 1-33.

*Fig. 1*

OVERALL PATIENT ENROLLMENT SCHEME

*Fig. 2*

*Fig. 3**Fig. 4*

5

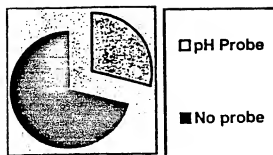
**Graph 1**

FIGURE 5

## Graph 2

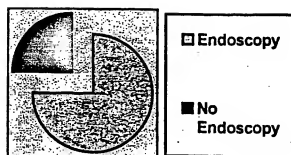


FIGURE 6

### Graph 3

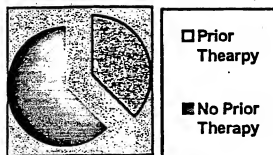


FIGURE 7

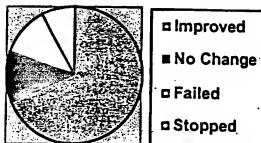
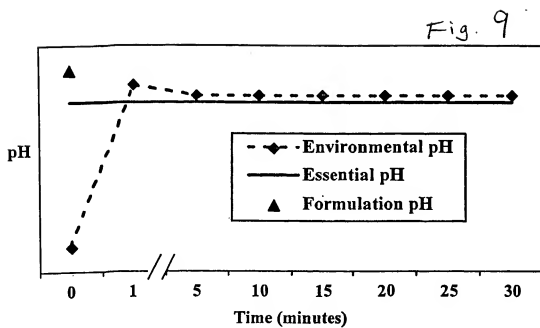
**Graph 4**

FIGURE 8





## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/21368

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : A61K 31/4439; C07D 401/12  
 US CL : 514/338; 546/273.7

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/338; 546/273.7

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
 STN EXPRESS

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	JP HE15-194224 B (YOSHITOMI PHARMACEUTICAL INDUSTRIES, LTD.) 03 August 1993 (03.08.93), see the whole patent.	1-37
A	JP HE15-194225 B (YOSHITOMI PHARMACEUTICAL INDUSTRIES LTD.) 03 August 1993(03.08.93), see the whole patent.	1-37

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:	*T* Later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention.
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Date of the actual completion of the international search

24 September 2002 (24.09.2002)

Name and mailing address of the ISA/US

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